



**Preliminary Assessment of the eligibility of a prospective applicant for registration as a certified public accountant under the mutual recognition agreement between the Hong Kong Institute of Certified Public Accountants and CPA Australia ("CPAA")**

This **FREE** preliminary assessment is aimed at assisting prospective membership applicants who have acquired full membership from CPA Australia ("CPAA") to ascertain whether they are eligible to apply for membership of the Hong Kong Institute of Certified Public Accountants ("the Institute") under the new mutual recognition agreement ("MRA") between the Institute and CPAA or the transitional arrangement of the 2000 MRA ("Old MRA") and if so, what further conditions they have to meet before they can apply for the Institute's membership. This Form is not applicable to CPAA students.

This preliminary assessment form is not the application form for registration as a certified public accountant ("Form R-3") and is designed for the aforementioned purpose only. The result of this preliminary assessment is not binding on the Institute. After they have fulfilled all the conditions of the MRA, prospective membership applicants are required to submit a completed Form R-3 along with a full set of supporting documents, duly certified, and application fees to the Institute. The approval or otherwise of the applications will be subject to the Institute's vetting in accordance with the rules and regulations prevailing then.

**NB:** All information received through this form will be treated in the strictest confidence and will not be released to third parties without your duly signed written permission.

Please complete all items except for fields marked with an asterisk (\*) which are optional items.

**Section 1 – Personal particulars**

Name in English \_\_\_\_\_  
 (Surname) (Other name)

**Contact details for communication about this preliminary assessment**

Email address \_\_\_\_\_ Day-time contact phone no. \* \_\_\_\_\_

**Section 2 – Academic qualification** (Note 2) #

Name of University \_\_\_\_\_

Degree awarded \* \_\_\_\_\_ Year of award \* \_\_\_\_\_

Place of study  Australia  Hong Kong  Others \_\_\_\_\_

**Section 3 – Current status with CPA Australia** #

Date of registration as an associate member \_\_\_\_\_ Membership no. \_\_\_\_\_  
 (dd/mm/yyyy)

Place of registration as an associate member  Australia  Hong Kong  Others \_\_\_\_\_

Place of sitting and passing the CPA Program  Australia  Hong Kong  Others \_\_\_\_\_

Completion of CPA Program Year \_\_\_\_\_, Semester \_\_\_\_\_

Date of registration as a full member of CPA Australia \* \_\_\_\_\_  
 (dd/mm/yyyy)

**Attainment under the CPA Program** #

	Yes	No
<b>I. Compulsory Core Segments:</b>		
Corporate Governance and Accountability / Ethics and Governance	<input type="checkbox"/>	<input type="checkbox"/>
Business Strategy and Leadership / Global Strategy and Leadership	<input type="checkbox"/>	<input type="checkbox"/>
Reporting and Professional Practice / Strategic Management Accounting	<input type="checkbox"/>	<input type="checkbox"/>
<b>II. Mandatory Elective Segments:</b>		
Financial Reporting and Disclosure / Financial Reporting	<input type="checkbox"/>	<input type="checkbox"/>
Financial Risk Management	<input type="checkbox"/>	<input type="checkbox"/>
Assurance Services and Auditing / Advanced Audit and Assurance	<input type="checkbox"/>	<input type="checkbox"/>

# Please put a "✓" in the appropriate box.

**Section 4 – Declaration #**

- I declare that the above information is true and complete to the best of my knowledge and belief.
- I understand that the purpose of this preliminary assessment is to ascertain whether I am eligible to apply for the Institute's membership under the MRA with CPA Australia and if so, what further conditions I should fulfill before submitting my membership application to the Institute. I understand that the result of this assessment is not binding on the Institute and that approval or otherwise of my future membership application is subject to the Institute's vetting.
- I waive all claims against the Hong Kong Institute of Certified Public Accountants for any loss or damage I may suffer arising from this assessment.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

(dd/mm/yyyy)

# Please put a "✓" in the appropriate box.

**NOTES**

- The conditions for members of CPA Australia ("CPAA") to obtain membership from the Hong Kong Institute of Certified Public Accountants ("HKICPA") under the new mutual recognition agreement ("MRA") and the transitional arrangements of the 2000 MRA ("old MRA") are set out in our webpage on recognition status of CPAA at [https://www.hkicpa.org.hk/-/media/HKICPA-Website/HKICPA/section2\\_become\\_a\\_hk\\_cpa/recoq-oversea-bodies/recognition/cpa-australia.pdf](https://www.hkicpa.org.hk/-/media/HKICPA-Website/HKICPA/section2_become_a_hk_cpa/recoq-oversea-bodies/recognition/cpa-australia.pdf)
- Applicants are required to hold an accredited or recognised degree from an Australian university through a course wholly undertaken in Australia or a university degree accredited or recognised by the Institute, excluding a university degree awarded by a university in Hong Kong. All applicants are required to apply for assessment of degree at the time you submit your QP student registration application to HKICPA, with an assessment fee to be charged.
- All applicants for the Institute's membership under the new MRA with CPA Australia or the transitional arrangements of the old MRA are required to fulfill the Institute's practical experience requirements for membership. Please refer to our website at <https://www.hkicpa.org.hk/en/Become-a-Hong-Kong-CPA/New-QP/Revised-Practical-Experience-Framework> for details.
- Information provided for this preliminary assessment will solely be used to assess your eligibility to apply for registration as a member of the Institute under the MRA with CPA Australia or the transitional arrangement of the old MRA. Prospective student/ membership applicants are required to follow the application procedures and requirements as specified in our student/ membership application form, whichever is applicable to your case, and submit relevant supporting documents and appropriate application fees when you apply for registration as our student/ member in future. Your student/ membership application will be assessed in accordance with the rules and regulations prevailing at the time you submit your formal application to the Institute.

Prospective student/ membership applicants should surf our website at: [www.hkicpa.org.hk/en/](http://www.hkicpa.org.hk/en/) for latest student registration/ membership admission requirements/ procedures.

- Personal data collected from this form may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis, for keeping members informed of its services and for other uses internally.

The provision of personal data by means of this form is voluntary. However, insufficient information may result in an inability to process your request.

Applicants may access their personal data kept by the Institute and if applicable correct or update it. Please contact the Membership & Admission Department at 27th Floor, Wu Chung House, 213 Queen's Road East, Wan Chai, Hong Kong (tel: (852) 2287 7228) for the purpose.

Unless otherwise agreed, hard copies of any documents containing your personal data that you provide to the Institute will become the property of the Institute and will not be returned to you. The Institute will destroy any documents it holds in accordance with its internal policy and applicable laws. Please refer to the Institute's privacy policy and personal information collection statement on its website at: <https://www.hkicpa.org.hk/en/Tools/Privacy-policy>

- Please submit the completed form by email to [member@hkicpa.org.hk](mailto:member@hkicpa.org.hk). Please call Membership & Admission Department at (852) 2287-7228 for questions relating to this preliminary assessment.