



# IIA Hong Kong Annual Conference 2015

29 June 2015  
JW Marriott Hotel



Organizer



<http://theiahk.org>

# Overview

The Institute of Internal Auditors in Hong Kong is delighted to advise that we will be hosting our full day IIA Hong Kong Annual Conference on 29 June 2015 at the JW Marriott Hotel, Admiralty, Hong Kong. The Governors of IIA Hong Kong are pleased to invite you to attend this year's conference which is designed for professionals in the fields of audit, assurance, risk management, compliance and governance.

The conference theme this year is "A Step-up Year Ahead for the Profession in Hong Kong" in view of the recent revision of the Hong Kong Corporate Governance Code, particularly the new sections of C.2.5, relating to the mandatory requirement to have an internal audit function, and C.2.2, in respect of ensuring adequate resources, staff qualifications and experience, training programmes and budget of the internal audit function.

The topics this year will cover a broad range of interests including strategies to align with stakeholder expectations, a regulator's perspective on the new Hong Kong Corporate Governance Code, attributes of an effective internal audit function, data analytics to predict & quantify emerging risks and how to stay ahead of cyber attacks. There will be panel discussions that focus on how to audit compliance in the new environment and enhance auditors' business acumen.

## The IIA Hong Kong Limited - Board of Governors

- Mr. Stephen LEE, IIA HK President
- Mr. Stephen ANDERSON, Former Global Head of Risk and Asia Pacific Audit, HSBC Holdings Limited
- Mr. Pont CHIU, Head of Internal Audit, Hong Kong Exchanges and Clearing Limited
- Mr. Duncan FITZGERALD, Partner, PwC
- Ms. Helen LI, Group Chief Auditor, The Bank of East Asia Limited
- Ms. Patty WU, IIA HK Secretary
- Mr. Frank YAM, CEO, Focus Strategic Group Limited

# Programme

- 08:30 – 09:00 Registration and Morning Refreshments
- 09:00 – 09:10 Opening Address by IIA HK President (Mr. Stephen Lee)
- 09:10 – 09:55 Keynote Address: CAE Strategies for Aligning with Stakeholder Expectations
- 09:55 – 10:40 Keynote Address: A Regulator's Perspective on the New HK Corporate Governance Code
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- 10:40 – 11:10 Coffee Break
- 11:10 – 11:55 Attributes of an Effective Internal Audit Function
- 11:55 – 12:40 Data Analytics to Predict & Quantify Emerging Risks
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- 12:40 – 14:00 Lunch at The Lounge (Level 4)
- 14:00 – 14:45 Staying Ahead of Cyber Attacks
- 14:45 – 15:35 Panel Discussion 1 – Auditing Compliance in the New Environment
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- 15:35 – 16:00 Coffee Break
- 16:00 – 16:50 Panel Discussion 2 – The Business-Minded Auditor
- 16:50 – 17:00 Closing Remarks by IIA HK President (Mr. Stephen Lee)
- 17:30 Networking Cocktail at Flint Grill & Bar (Level 5)

The event will be awarded 6 CPE hours

All participants will receive the 'certificate of attendance' and a token gift from IIA HK governors on the conference day

# Registration Information

IIA HK Annual Conference 2015

Monday, 29<sup>th</sup> June 2015

One Form per Participant

For Group Registrations, see below 'Remarks'

Name: (Mr. / Mrs. / Miss. / Ms.) \_\_\_\_\_

Company: \_\_\_\_\_

Position: \_\_\_\_\_

Professional Designation:  CIA  CCSA  CGAP  CFSA  CRMA  Others

IIA Membership No.: \_\_\_\_\_

Name of (if applicable)  Supporting Organisation(s): \_\_\_\_\_ / \_\_\_\_\_

Membership No.: \_\_\_\_\_ / \_\_\_\_\_

Sponsoring Organisation(s): \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel. No.: \_\_\_\_\_

Fax No.: \_\_\_\_\_ E-mail: \_\_\_\_\_

## Receipt

Please tick this box if you require a receipt

Kindly note that receipts will be sent to email above before conference date.

## Registration Fee

\*Remarks: -There will be a 10% discount on the fees below for group registration of 5+ simultaneously from the same organization.

Category	Regular	Early Bird [10% Discount] Till Monday, 18 <sup>th</sup> May	Cocktail Only
IIA Member	<input type="checkbox"/> HK\$ 3,800	<input type="checkbox"/> HK\$ 3,420	<input type="checkbox"/> HK\$ 500
Non-IIA Member	<input type="checkbox"/> HK\$ 4,300	<input type="checkbox"/> HK\$ 3,870	<input type="checkbox"/> HK\$ 700
Member of Sponsoring / Supporting Organisation	<input type="checkbox"/> HK\$ 4,050	<input type="checkbox"/> HK\$ 3,645	<input type="checkbox"/> HK\$ 600

Registration Deadline: **Monday, 15<sup>th</sup> June 2015**

## Method of Payment

1. Cheque made payable to: THE INSTITUTE OF INTERNAL AUDITORS HONG KONG LIMITED  
To be sent to the billing address below.
2. Bank deposit / transfer to: THE INSTITUTE OF INTERNAL AUDITORS HONG KONG LIMITED  
Account No.: 808-898225-838 Bank: HSBC  
To be sent to email / billing address below with bank (transfer) slips as proofs.

## Payment Information

Seats are based on FIRST COME, FIRST SERVED. All registrations MUST be accompanied with payment. Upon receipt of your registration, you are deemed to have read and accepted the terms and conditions contained therein.

## Cancellation

Once registered, there will be no refunds.

The organizer reserves the right to change conference programmes and assumes no liability if the conference is changed, postponed, or cancelled due to unforeseen circumstances.

## Contact Information

The Institute of Internal Auditors Hong Kong

Attn. to The Event Managers

Tel: +852 3426 9043

Fax: +852 2851 6064

Billing Address: 603, 6/F, Catic Building, 44 Tsun Yip Street, Kwun Tong, Kowloon, Hong Kong

E-mail: [conference@theiahk.org](mailto:conference@theiahk.org)

Website: <http://theiahk.org>



IIA Hong Kong Annual Conference 2015

Date: Monday, 29<sup>th</sup> June 2015

Venue: JW Marriott Hotel, Level 3, 88 Queensway, Admiralty, Hong Kong