



**HKICPA seminar:**

# **Responsibilities of Reporting Accountants under the New IPO Regime**

**Programme Code: S140108S**

The Securities and Futures Commission's new regulations for sponsors came into effect on 1 October 2013. To complement such initiative, the Hong Kong Stock Exchange also launched a new IPO regime on 23 July 2013 to streamline the listing vetting process, refine the number of required documents and issue guidance on certain interpretations and procedures. The reform comprises a number of key features, including but not limited to: (i) the requirements of moving the bulk of sponsor's due diligence forward to before submission of the listing application, (ii) the release of a substantially complete draft prospectus at the time of the application to public, and (iii) an 8-week moratorium on listing applications returned together with the names of sponsors and applicants being published on the HKExnews website.

The new IPO regime will change the roles and responsibilities of all professionals in the Hong Kong IPO exercise. The speaker will discuss the impact to the reporting accountants under the new IPO regime.

<b>Date</b>	<b>Wednesday, 8 January 2014</b>
<b>Time</b>	<b>6:30 p.m. – 8:00 p.m.</b>
<b>Venue</b>	Hong Kong Institute of CPAs, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong.
<b>Format</b>	Seminar
<b>Language</b>	English
<b>Fee</b>	HK\$190 for HKICPA member or student; and IA/ HKIAAT member or student HK\$180 for online enrolment HK\$330 for non-member
<b>Objectives</b>	<ul style="list-style-type: none"><li>• Key requirement and vetting process of new IPO regime</li><li>• Revised guidance on advanced filing</li><li>• Deliverables issued by reporting accountants</li><li>• Sponsors' due diligence on reporting accountants</li></ul>
<b>Speaker</b>	<b>Fran Hung</b> , Senior Manager of Deloitte Touche Tohmatsu
<b>Participants</b>	Practitioners and professional accountants
<b>Competency</b>	Auditing and assurance; Accounting and financial reporting
<b>Rating</b>	Intermediate Level (Please refer to the <a href="#">Institute's online CPD Learning Resource Centre</a> )
<b>CPD hours</b>	1.5



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## HKICPA EVENT ENROLMENT FORM (For Support Programme)

Finance & Operations Department,  
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37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

**Please click here for online enrolment  
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Payment & Enrolment Status Enquiry: 2287 7381  
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Course Information Enquiry:  
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**FOR OFFICE USE**

**Deadline: 7 working days before the date of the programme**

No.	Membership		Full Name of Participant(s) (Block Letters)	Company	Email address <sup>*(2)</sup> (Block Letters)	Programme Code	Fee (HK\$)
	No.	Status (1)					
1							
2							
3							
4							
						Total (HK\$)	

\* Email address is for enrolment confirmation purpose. Please refer to note (2) for details.

☐ I am unemployed and not working. I am planning to rejoin the workforce.  
The Institute reserves the right to allocate places to enable the enjoyment of more members in this event.  
The Institute's decision is final. Applicant must submit the enrolment form 10 working days before the event.

Contact Person : \_\_\_\_\_ Tel No. : \_\_\_\_\_ Fax No. : \_\_\_\_\_ E-mail : \_\_\_\_\_

### Payment Method (Please tick the appropriate box)

<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"	
<input type="checkbox"/> VISA / MasterCard	<input type="checkbox"/> BOC HKICPA UnionPay card
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- Notes:**
- Membership Status: **NP** = Non-Practising, **P** = Practising, **IA** = International Affiliate, **S** = Student, **HKIAAT** = HKIAAT Student or Member, **GAA** = GAA Passport holder, **NM** = Non-Member.
  - Successful applicants will receive confirmation of registration by email at least 3 working days before the event. Unsuccessful applicants will also be notified around the same time. If you have not heard from the Institute regarding your registration 2 working days before the event and if you have other enquiries related to the programme, please make enquiry at 2287 7386 or 2287 7253.
  - NO ADMISSION TICKET will be issued. Please bring your HKICPA membership card or confirmation email for admission purpose.
  - All applications are on a first-come-first-served basis.
  - Application by fax will ONLY be accepted when payment is made by credit card. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
  - Cheque(s) should be made payable to "Hong Kong Institute of Certified Public Accountants". Please issue SEPARATE CHEQUE for each event.
  - NO REFUND/CANCELLATION will be entertained after the application is processed.
  - All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
  - The Institute reserves the right to change the venue, date, speaker or to cancel the event due to unforeseen circumstances.
  - Personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which applicants are enrolled. Data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis, for keeping members informed of its services and validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. For member and registered student you may opt out from receiving such materials at any time by login the following link <https://www.hkicpa.org.hk/en/members-area/comm-preference/>. For non-member you may opt out from receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer.
  - No unauthorized audio or video recording is allowed at CPD events.