



Internal Control Systems for Listed Companies in China and Hong Kong

Programme Code: S120210S

In China, the China Insurance Regulatory Commission and other Chinese regulatory organizations have published a series of regulations and guidance over risk management and internal controls for listed companies. In Hong Kong, the directors of listed companies in Hong Kong should conduct a review of the effectiveness of the Group's internal control systems and report to shareholders annually. Thus, building an effective risk management and internal control system has been increasingly important for listed companies in both China and Hong Kong. The objective of this seminar is to describe in general how listed companies can build up an effective internal control system which enables them to facilitate regulatory compliance and beat market expectations.

Date	Friday, 10 February 2012
Time	6:30 p.m. – 8:00 p.m.
Venue	Hong Kong Institute of CPAs, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong.
Format	Seminar
Language	English
Fee	HK\$190 for HKICPA member or student; and IA/ HKIAAT's member or student HK\$180 for online enrolment HK\$330 for non-member
Objectives	<ul style="list-style-type: none">• Provide an overview of the statutory requirements and guidelines on internal control of listed companies in China and Hong Kong• Discuss on the techniques to monitor and maintain effective internal control to ensure long term success• Share practical experiences on planning an effective internal control system for listed companies
Speakers	Mr. Roy Lo , Deputy Managing Partner, SHINEWING (HK) CPA Limited Ms. Gloria So , Risk Manager, SHINEWING Risk Services Limited
Participants	All professional accountants, Financial Controllers, Directors
Competency	Audit and Assurance; General Accounting and Related Knowledge
Rating	Intermediate to Advanced Level (Please refer to the Institute's online CPD Learning Resource Centre)
CPD hours	1.5



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CPD ENROLMENT FORM (For Support Programme)

Finance & Operations Department,
Hong Kong Institute of CPAs,
37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

Please click here for online enrolment
(for HKICPA members only)

Payment & Enrolment Status Enquiry: 2287 7381
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Fax : 2893 9853

Course Information Enquiry:
2287 7386 / 2287 7253
e-mail: cpd@hkicpa.org.hk

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Deadline: 7 working days before the date of the programme

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- Notes:
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 - Successful applicants will receive confirmation of registration by email at least 3 working days before the event. Unsuccessful applicants will also be notified around the same time. If you have not heard from the Institute regarding your registration 2 working days before the event and if you have other enquiries related to the programme, please make enquiry at 2287 7386 or 2287 7253.
 - NO ADMISSION TICKET will be issued. Please bring your HKICPA membership card or confirmation email for admission purpose.
 - All applications are on a first-come-first-served basis.
 - Application by fax will ONLY be accepted when payment is made by Visa/Master credit card. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
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 - All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
 - The Institute reserves the right to change the venue, date, speaker or to cancel the event due to unforeseen circumstances.
 - All personal data collected from the enrolment process, and administration of the CPD Programmes will only be used for the purpose of the administration of the course on which members are enrolled. In addition, the Institute may use the collected data for statistical research and analysis, for keeping members informed of its services and other uses internally.