



HKICPA/ICAEW joint seminar: Quality Control in Audit and Auditing in a Group Context

Programme Code: S141103J

Why do audit firms need to have good quality control? How do you improve the culture and people within the firm? What is needed for effective challenging and how do auditors demonstrate their professional scepticism? How can you raise the bar and find innovative ways to improve audit quality? By sharing ICAEW experience, the speaker will provide answers to these questions and cover the key features of the standard ISQC1 and the issues for firms interpreting this standard.

What do you need to do for an effective group audit? What are the practical considerations for both group auditors and component auditors in dealing with the requirements of the challenging clarified ISA 600 and undertaking effective and efficient group audits? How do we address the international consequences of the standard's requirements and specific issues around communications between group and component auditors and managing the group audit process? The speaker will share ICAEW's perspective on these matters.

Latest international auditing developments and some key challenges for firms will also be covered.

Date Monday, 3 November 2014

Time 6:30 p.m. – 8:00 p.m.

Venue Hong Kong Institute of CPAs,
27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong.

Format Seminar

Language English

Fee HK\$190 for HKICPA member or student; IA/ HKIAAT member or student; and ICAEW member
HK\$180 for online enrolment
HK\$330 for non-member

Objectives

- Understand the importance of good quality control
- Key features of the standard ISQC1
- Considerations of group audits under clarified ISA 600

Speaker **Chris Cantwell**, Technical Manager, Practice Regulation, Audit and Assurance Faculty, ICAEW

Mr. Cantwell has worked for the ICAEW's Audit and Assurance Faculty since June 2000. He is responsible for a variety of technical audit policy issues as secretary to the Faculty's Technical and Practical Auditing Committee, the Committee with responsibility for ICAEW representations on auditing matters and issuing guidance material for members. He is involved with ICAEW's work on the UK implementation of the EU auditing requirements and is active in internationalising the Faculty's work. This includes this current tour to Hong Kong and five countries in South East Asia and presenting at events in some European countries as well as to international delegations to Chartered Accountants' Hall from all over the world. He is currently concentrating on developing further audit quality guidance to add to the existing ICAEW library of publications and webinars.

Participants Members who are in the field of auditing or with an interest in auditing and risk management

Competency Auditing and assurance

Rating Intermediate Level (Please refer to the [Institute's online CPD Learning Resource Centre](#))

CPD hour 1.5



**To confirm your CPD booking,
 just log on to "My CPA" at
<http://www.hkicpa.org.hk>**

HKICPA Event Enrolment Form (S141103J)

Finance & Operations Department,
 Hong Kong Institute of CPAs,
 37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

**Please click here for online enrolment
 (for HKICPA members only)**

Payment & Enrolment Status Enquiry: 2287 7381
 e-mail: finance@hkicpa.org.hk
 Fax : 2893 9853

Course Information Enquiry:
 2287 7386 / 2287 7253
 e-mail: cpd@hkicpa.org.hk

FOR OFFICE USE

Deadline: 7 working days before the date of the programme

No.	Membership		Full Name of Participant(s) (Block Letters)	Company	Email address ^{*(2)} (Block Letters)	Programme Code	Fee (HK\$)	
	No.	Status (1)						
1								
2								
3								
4								
							Total (HK\$)	

** Email address is for enrolment confirmation purpose. Please refer to note (2) for details.*

Contact Person : _____ Tel No. : _____ Fax No. : _____ E-mail : _____

Payment Method (Please tick the appropriate box)

Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA" ⁽⁶⁾

VISA / MasterCard BOC HKICPA UnionPay card

Card Number:		Card Expiry Date (MM/YY):
Cardholder's Name (block letters):	Cardholder's Signature:	Date:

I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above).

- Notes:**
- Membership Status: **NP** = Non-Practising, **P** = Practising, **IA** = International Affiliate, **S** = Student, **HKIAAT** = HKIAAT Student or Member, **GAA** = GAA Passport holder, **ICAEW** = ICAEW member, **NM** = Non-Member.
 - Successful applicants will receive confirmation of registration by email at least 3 working days before the event. Unsuccessful applicants will also be notified around the same time. If you have not heard from the Institute regarding your registration 2 working days before the event and if you have other enquiries related to the programme, please make enquiry at 2287 7386 or 2287 7253.
 - NO ADMISSION TICKET will be issued. Please bring your HKICPA membership card or confirmation email for admission purpose.
 - All applications are on a first-come-first-served basis.
 - Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
 - Please issue SEPARATE CHEQUE for each event.
 - NO REFUND/CANCELLATION will be entertained after the application is processed.
 - All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
 - The Institute reserves the right to change the venue, date, speaker or to cancel the event due to unforeseen circumstances.
 - Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled and will be provided to ICAEW for the purpose of course administration. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://www.hkicpa.org.hk/en/members-area/comm-preference/>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>
 - No unauthorized audio or video recording is allowed at CPD events.

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.
 (Remarks: For payment by credit card, refund will be made directly to your credit card.)

Name:	Name:
Address:	Address: