HKICPA seminar:
Integrating Internal Audit into the ERM Processes of an Organization

Programme Code: S141020S

In the Consultation Paper "On Risk management and Internal Control: Review of the Corporate Governance Code and Corporate Governance report" issued by the HKEx in June 2014, it is noted that "internal control is most effective when it is integrated with risk management, and both are embedded in the governance processes of an organization". The proposed amendments put forward by the HKEx also included as part of the Code Provisions that "the issuer should have an internal audit function". Therefore, it is important that Internal Audit work is integrated into the Enterprise Risk Management ('ERM') framework and risk management processes of an organization.

This seminar will cover the followings:
- The COSO ERM framework and how it should be applied in an organization
- The risk management processes and the role of Internal Audit
- The integration of risk management with operations and business processes
- Integrating Internal Audit work with the ERM processes

Date	**Monday, 20 October 2014**
Time	**6:30 p.m. – 8:30 p.m.**
Venue	Hong Kong Institute of CPAs, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong.
Format	Seminar
Language	English
Fee	HK$190 for HKICPA member or student; and IA/ HKIAAT member or student HK$180 for online enrolment HK$330 for non-member
Objectives	• Explain the COSO ERM Framework • Illustrate the application of ERM Framework in the risk management processes • Explain how risk management processes can be integrated with business operations • Discuss the integration of Internal Audit work into the risk management processes
Speaker	**Mr. Michael K. H. Chan,** Chief Executive of C&C Advisory Services Limited Mr. Chan is an Adjunct Professor in the School of Accounting and Finance of the Hong Kong Polytechnic University. He is a Fellow of the HKICPA, the Association of Chartered Certified Accountants, CPA Australia and the Hong Kong Institute of Directors. He is also a Certified Information Systems Auditor (CISA).
Participants	Internal auditors, Financial controllers, Senior management
Competency	Risk management
Rating	Intermediate to Advanced Level (Please refer to the Institute's online CPD Learning Resource Centre)
CPD hours	2

HKICPA Event Enrolment Form (For Support Programme)

Finance & Operations Department,
Hong Kong Institute of CPAs,
37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

Please click here for online enrolment (for HKICPA members only)

Payment & Enrolment Status Enquiry: 2287 7381 e-mail: finance@hkicpa.org.hk Fax : 2893 9853	Course Information Enquiry: 2287 7386 / 2287 7253 e-mail: cpd@hkicpa.org.hk	**FOR OFFICE USE**

Deadline: 7 working days before the date of the programme

No.	Membership No.	Status (1)	Full Name of Participant(s) (Block Letters)	Company	Email address *(2) (Block Letters)	Programme Code	Fee (HK$)
1							
2							
3							
4							

* Email address is for enrolment confirmation purpose. Please refer to note (2) for details.

Total (HK$)

☐ I am unemployed and not working. I am planning to rejoin the workforce.
The Institute reserves the right to allocate places to enable the enjoyment of more members in this event.
The Institute's decision is final. Applicant must submit the enrolment form 10 working days before the event.

Contact Person : _____ Tel No. : _____ Fax No. : _____ E-mail : _____

Payment Method *(Please tick the appropriate box)*

☐ Cheque (no._____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA" (6)

☐ VISA / MasterCard ☐ BOC HKICPA UnionPay card

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☐ I would like to have an official receipt. *(Remarks: Official receipt will be sent to your email address provided above).*

Notes:
1. Membership Status: **NP** = Non-Practising, **P** = Practising, **IA** = International Affiliate, **S** = Student, **HKIAAT** = HKIAAT Student or Member, **GAA** = GAA Passport holder, **NM** = Non-Member.
2. Successful applicants will receive confirmation of registration by email at least 3 working days before the event. Unsuccessful applicants will also be notified around the same time. If you have not heard from the Institute regarding your registration 2 working days before the event and if you have other enquiries related to the programme, please make enquiry at 2287 7386 or 2287 7253.
3. NO ADMISSION TICKET will be issued. Please bring your HKICPA membership card or confirmation email for admission purpose.
4. All applications are on a first-come-first-served basis.
5. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
6. Please issue SEPARATE CHEQUE for each event.
7. NO REFUND/CANCELLATION will be entertained after the application is processed.
8. All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
9. The Institute reserves the right to change the venue, date, speaker or to cancel the event due to unforeseen circumstances.
10. Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link https://www.hkicpa.org.hk/en/members-area/comm-preference/ . Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to http://www.hkicpa.org.hk/en/service-tools/privacy-policy/
11. No unauthorized audio or video recording is allowed at CPD events.

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.
(Remarks: For payment by credit card, refund will be made directly to your credit card.)

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