



Hong Kong Institute of CPAs & Securities and Futures Commission Joint Seminar on The Audit of Licensed Corporations

Date	Tuesday, 23 November 2010
Time	6:30 p.m. – 8:30 p.m.
Venue	Auditorium, Hong Kong Institute of Certified Public Accountants, 27th Floor, Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
Chairperson	- Mr. Steve Ong , Director, Standard Setting, Hong Kong Institute of Certified Public Accountants
Speakers	- Mr. Adams Chan , Partner, Regulatory Advisory Services PricewaterhouseCoopers - Mr. Desmond Cheng , Senior Manager, Intermediaries Supervision Department Securities and Futures Commission
Admission Fee	HK\$190 for member / HK\$330 for non-member
Event Code	F101123
CPD credit	2 hours
Competency	Audit and Assurance
Rating	Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.
Application Deadline	18 November 2010

ABOUT THE SEMINAR

The seminar comprises two parts as follows:

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| (A) Practice Note | (B) Consultation Conclusions on Proposals to Enhance Protection for the Investing Public – Conduct of Intermediaries |
| <ul style="list-style-type: none">• Highlight of the key reporting responsibilities of the auditors• Discussion of topical issues and areas of concern over applying PN 820• Impact of the key Auditing Standards issued | |

REGISTRATION

To register, please complete the enclosed enrolment form and return it with full payment to the Institute. Enrolments will be accepted **on a first-come-first-served basis** with priority given to the Institute's members.

For enquiries, please contact:

- 2287 7012 (Katrina Tsang) for event information;
- 2287 7379 (David Lok) for payment and enrolment status.

* Please refer [here](#) for descriptions of other competencies and ratings.

Acceptance is on a first-come-first-served basis. Confirmation of registration will be sent by e-mail. If confirmation has not been received by 22 November 2010, please contact Ms. Katrina Tsang at 2287 7012 / Kay Choy at 2287 7220 or email: mcs.msce@hkicpa.org.hk



Hong Kong Institute of CPAs & Securities and Futures Commission -

Joint Seminar on The Audit of Licensed Corporations

Enrolment Form

Registration deadline: 18 November 2010

MCS2 Event Code: F101123

Finance & Operations Department,
Hong Kong Institute of CPAs
37th Floor, Wu Chung House,
213 Queen's Road East, Hong Kong.

Fax no: 2893 9853

FOR OFFICE USE

Seq. no.: _____

Handled by: _____

[Please click here for online enrolment](#) (for HKICPA members only)

Please type or print: -

Name: (*Mr. / Mrs. / Ms.) _____ HKICPA Membership No.: _____
(if applicable)

Company name: _____

Position held: _____ Email: _____
(for enrolment confirmation purpose)

Telephone No.: _____ Fax No.: _____

Please ✓ as appropriate

Enrolment Fee: <input type="checkbox"/> HK\$ 190 Member <input type="checkbox"/> HK\$ 330 Non-member			
Payment by: <input type="checkbox"/> Cheque no.: _____ (Bank: _____) <input type="checkbox"/> Visa / Master credit card			
*Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants"			
For payment by credit card, please fill in the following:		Card no.:	
Cardholder's Name : (Please print)		Card Expiry Date (month/year) :	
Date :		Cardholder's Signature :	
FOR OFFICE USE	Auth. code no.		Date

- Note:
- No telephone reservation will be accepted.
 - Full payment must be made before your enrolment will be processed.
 - The closing date for enrolment is **18 November 2010**.
 - Confirmation email will be sent to successful participants by the Member & Corporate Services Department two working days prior to the event. If you do not hear from us by then, please contact Ms. Katrina Tse at 2287 7012
 - Unsuccessful enrolment will be notified two days before the event with full refund by the Finance & Operations Department.
 - Unless the event is cancelled due to unforeseen circumstances, no refund will be entertained after your enrolment has been processed.
 - Bad weather arrangement: When typhoon signal no. 8 or above / black rainstorm warning is hoisted at or after 2:30 p.m. on the date of event. Admission fee will be refunded.

Payment & Enrolment Enquiry: 2287 7379
e-mail: finance@hkcipa.org.hk

Event Information Enquiry: 2287 7012
e-mail: mcs.msce@hkcipa.org.hk