



Hong Kong Institute of CPAs Industry Update Relating to Investment Funds

Date	Tuesday, 10 November 2015
Time	6:30 p.m. – 8:30 p.m.
Venue	Hong Kong Institute of Certified Public Accountants 27th Floor, Wu Chung House 213 Queen's Road East, Wanchai, Hong Kong
Chair	Ms Vivian Chui Chair of Investment Funds Regulatory Liaison Group, HKICPA and Partner, KPMG
Speakers	Ms. Janet Cheung Partner, Head of Valuation and Modelling KPMG Mr. Trevor Lee Director, Investment Products Securities and Futures Commission Ms. Wynnne Yeung Senior Manager, Supervision Division Mandatory Provident Fund Schemes Authority
Admission Fee	HK\$190 for member / HK\$330 for non-member
Event Code	TUE151110 / TUE1519
CPD credit	2 hours
Language	English
Competency	Industry Knowledge
Rating	Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.
Application Deadline	6 November 2015

ABOUT THE SEMINAR

This seminar will cover the latest industry and regulatory updates relating to investment funds in the following areas:

- Valuations of financial assets - Certain financial assets are required to be recorded at fair value for financial reporting purposes. In the case of publicly traded assets or securities, fair value measurement would be a relatively straight forward exercise. However, what if trading of these were suspended? How should fair value be measured? In this segment, Ms. Cheung will first examine the circumstances under which traded shares are suspended. Next, she will give an overview of the common valuation methodologies and assess their relative pros and cons. Finally, she will discuss how specific circumstances may alter the appropriateness of a valuation methodology.
- Key market and regulatory developments in asset management in Hong Kong - Mr. Lee will take the audience through some of the recent market and regulatory developments in the asset management space in Hong Kong. They include the key findings in the SFC's latest Fund Management Activities Survey, the announcement of the Mainland-Hong Kong Mutual Recognition of Funds, and the revamp of the SFC's fund authorization process. Mr. Lee will also share with the audience the SFC's ongoing regulatory focus related to the fund industry, for example, on the risks surrounding liquidity management and valuation of funds.
- Update on the Regulatory Matters of MPF Schemes – Some of the recent key market developments and regulatory reforms in the MPF industry will be discussed including the default investment strategy and the streamlining of scheme administration. The MPFA's ongoing regulatory focus, and the challenges and opportunities arose from the latest market developments and regulatory reform will also be shared with the audience.

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Hong Kong Institute of
Certified Public Accountants
香港會計師公會

Finance & Operations Department,
Hong Kong Institute of CPAs
37th Floor, Wu Chung House,
213 Queen's Road East, Hong Kong
Fax no.: 2893-9853

Date: 10 November 2015

Registration Deadline: 6 November 2015

Event Code: TUE151110

[Please click here for online enrolment](#)
(for HKICPA members only)

Name: _____ HKICPA Membership /Student No. _____
(if applicable)

Company name: _____

Position held: _____ Email: _____

Telephone No.: _____ Fax No.: _____ (for enrolment confirmation purpose)

Admission Fee: <input type="checkbox"/> HK\$ 190 (HKICPA members) <input type="checkbox"/> HK\$ 330 (Non-HKICPA members)	
<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"	
<input type="checkbox"/> VISA / MasterCard	<input type="checkbox"/> BOC HKICPA UnionPay card
Card Number: _____	Card Expiry Date (MM/YY): _____
Cardholder's Name (block letters): _____	Cardholder's Signature: _____ Date: _____
<input type="checkbox"/> I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)	

Note:

- Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
- Enrolment is accepted on a first-come-first-served basis. No telephone reservation will be accepted.
- For credit card payment, please fax the enrolment form to 2893-9853. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
- Confirmation of registration will be sent by e-mail. If confirmation has not been received 3 days prior to the event date, please email to tls@hkicpa.org.hk
- If typhoon signal no.8 or above / Black rainstorm warning is hoisted at or 2:30 p.m. on the date of event, the activity will be cancelled. Your admission fee will be refunded in the event of cancellation due to bad weather.
- No refund will be entertained unless your enrolment is unsuccessful or the event is cancelled due to unforeseen circumstance.
- The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in [here](#). Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please click [here](#).
- Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.

Payment & Enrolment Status Enquiry:

2287-7381

e-mail: finance@hkicpa.org.hk

Event Information Enquiry:

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For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

Name :	Name :
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