

Practitioners' Forum on Suspicious Transaction Reporting and Compliance Culture

Suspicious transaction reporting ("STR") is an **obligation of the accounting profession** as set out in the relevant local legislations. As the way forward, the profession is expected to establish internal policies, procedures and controls to cover customer due diligence and record keeping. **Failing to report knowledge or suspicion of crime proceeds or terrorist property is a criminal offence.**

This Forum will help practitioners understand more about STR to protect themselves from unwittingly committing the related offences. This will also help practitioners keeping away from the possible exploitation by money launderers and terrorist financiers.

- Date** Thursday, 9 September 2010
- Time** 6:30 p.m. – 8:30 p.m.
- Venue** HKICPA Conference Centre, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
- Fee** \$100 per HKICPA member / \$200 per non-HKICPA member



Priority will be given
to SMPDG members

- Objectives**
- ♦ Provide practitioners with an overview of the latest international and domestic anti-money laundering developments
 - ♦ Enhance practitioners' understanding of the statutory obligations and practices of suspicious transaction reporting
 - ♦ Provide a forum for discussion on matters of common concern relating to anti-money laundering compliance and reporting.

- Speakers**
- ♦ **International Standards on Regulating Designated Non-Financial Businesses and Professions and the Way Forward**
Mr. Gordon YAM, Assistant Secretary, Narcotics Division, Security Bureau
 - ♦ **Suspicious Transaction Reporting: Legal Obligations and Protection Statistics and Case Examples**
Ms. Ruby Look, Senior Inspector of Police, Joint Financial Intelligence Unit
 - ♦ **Panel Discussion / Q&A Session**

Participants Practitioners are encouraged to attend

Language Cantonese

Competency Values, Ethics and Attitudes

Rating Foundation (*Please [click here](#) for the Rating details*)

CPD Hours 2

Enrolment Deadline 27 August 2010

To enroll please complete the attached application form and return it, together with the payment, to the Institute by fax or post **before 27 August 2010**.

Acceptance is on a first-come-first-served basis. Priority will be given to members of [SMP Discussion Group](#). Confirmation on enrolment will be sent out **by email** to successful candidates latest **by 3 September 2010**.

Enquiries
**Member & Corporate
Services Department**
Tel: 2287 7065 / 008
Email:
mcs.scm@hkicpa.org.hk



**Practitioners' Forum on Suspicious Transaction Reporting
and Compliance Culture (2nd Re-run Session)**

(MCS-1 Event Code : PAML100909)

Date: Thursday, 9 September 2010

Time: 6:30 p.m. – 8:30 p.m.

Venue: 27/F., Wu Chung House, 213 Queen's Road East,
Wanchai, Hong Kong

FOR OFFICE USE

Seq. no.: _____

Handled by: _____

Enrolment Form

(Please reply on or before 27 August 2010)

Please click [here](#) for online enrolment

Please allow 4 days to process your application and payment. You can check your enrolment status at "My CPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified by 3 September 2010 with full refund.

(One form for one participant)

Name: (Mr./Mrs./Ms.) English: _____ Chinese: _____

HKICPA

Membership no.: _____ PC holder: Yes No

Company name: _____

Position held: _____

Email: _____

Telephone no.: _____ Fax no.: _____

Participation fee: <input type="checkbox"/> HK\$100 per HKICPA member <input type="checkbox"/> HK\$200 per non-HKICPA member																					
Payment by: <input type="checkbox"/> Cheque no.: _____ (Bank: _____)																					
<input type="checkbox"/> VISA Card <input type="checkbox"/> Master Card																					
For payment by credit card, please fill in the following:	Card no.: <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </table>																				
Cardholder's Name : (Please print)	Card Expiry Date (month/year) :																				
Date :	Cardholder's Signature :																				
FOR OFFICE USE																					
Auth. code no.	Handled by																				
Date																					

Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants"

- Notes:**
- Enrolments are accepted on a first-come-first-served basis. Priority will be given to members of SMP Discussion Group. No telephone reservation will be accepted.
 - Full payment must be made before an application will be processed.
 - Confirmation will be issued by email to participants **on or before 3 September 2010**. If you do not receive it by then, please contact the Member & Corporate Services Department at telephone no.: 2287 7065 / 008.
 - No refund will be made for cancellation by the enrollee after payment has been received by the Institute. However, an alternate to attend the Forum may be accepted in case the participant is unable to attend. Please inform the Institute 3 working days before the event if such arrangement is required.
 - The Institute reserves the right to change the venue, date and / or speaker of the event due to unforeseen circumstances.

Payment & Enrolment Status Enquiry: 2287 7381
Email: finance@hkicpa.org.hk

Event Information Enquiry: 2287 7065 / 008
Email: mcs.scm@hkicpa.org.hk