



Preliminary Assessment of the eligibility of a prospective applicant for registration as a certified public accountant under the mutual recognition agreement between the Hong Kong Institute of Certified Public Accountants and CPA Australia ("CPAA")

This FREE preliminary assessment is aimed at assisting prospective membership applicants who have acquired full membership from CPA Australia ("CPAA") to ascertain whether they are eligible to apply for membership of the Hong Kong Institute of Certified Public Accountants ("the Institute") under the new mutual recognition agreement ("MRA") between the Institute and CPAA or the transitional arrangement of the 2000 MRA ("Old MRA") and if so, what further conditions they have to meet before they can apply for the Institute's membership. This Form is not applicable to CPAA students.

This preliminary assessment form is not the application form for registration as a certified public accountant and is designed for the aforementioned purpose only. The result of this preliminary assessment is not binding on the Institute. After they have fulfilled all the conditions of the MRA, prospective membership applicants are required to complete and submit the application through the "Membership Application" online platform. The approval or otherwise of the applications will be subject to the Institute's vetting in accordance with the rules and regulations prevailing then.

NB: All information received through this form will be treated in the strictest confidence and will not be released to third parties without your duly signed written permission.

Please complete all items except for fields marked with an asterisk (*) which are optional items.

Section 1 – Personal particulars

Name in English

(Surname)

(Other name)

Have you ever registered as a Qualification Programme (QP) student? ☐ Yes QP student no. _____ ☐ No

Contact details for communication about this preliminary assessment

Email address _____ Day-time contact phone no. *

Section 2 – Academic qualification

Name of University _____

Place of University ☐ Australia ☐ Hong Kong ☐ Mainland China ☐ Others _____

Degree awarded * _____ Year of award * _____

Section 3 – Current status with CPA Australia

Date of registration as an associate member _____ (dd/mm/yyyy) Membership no. _____

Completion of CPA Program Year _____, Semester _____

Date of registration as a full member of CPA Australia _____ (dd/mm/yyyy)

Attainment under the CPA Program

I. Compulsory Segments:

- Corporate Governance and Accountability / Ethics and Governance
- Business Strategy and Leadership / Global Strategy and Leadership
- Financial Reporting and Disclosure / Financial Reporting
- Reporting and Professional Practice / Strategic Management Accounting

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> | <input type="checkbox"/> |

II. Mandatory Segments:

- Financial Risk Management
- Assurance Services and Auditing / Advanced Audit and Assurance

| | |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> | <input type="checkbox"/> |

Section 4 – Aptitude Test (Note 3)

Have you sat and passed the Institute's Aptitude Test on Hong Kong Taxation? ☐ Yes ☐ No

Please put a "✓" in the appropriate box.

Section 5 – Declaration #

- ☐ I declare that the above information is true and complete to the best of my knowledge and belief.
- ☐ I understand that the purpose of this preliminary assessment is to ascertain whether I am eligible to apply for the Institute's membership under the MRA with CPA Australia and if so, what further conditions I should fulfill before submitting my membership application to the Institute. I understand that the result of this assessment is not binding on the Institute and that approval or otherwise of my future membership application is subject to the Institute's vetting.
- ☐ I waive all claims against the Hong Kong Institute of Certified Public Accountants for any loss or damage I may suffer arising from this assessment.

Signature: _____

Date: _____

(dd/mm/yyyy)

Please put a "✓" in the appropriate box.

NOTES

- The conditions for members of CPA Australia ("CPAA") to obtain membership from the Hong Kong Institute of Certified Public Accountants ("HKICPA") under the new mutual recognition agreement ("MRA") and the transitional arrangements of the 2000 MRA ("old MRA") are set out in our webpage on recognition status of CPAA at https://www.hkicpa.org.hk/-/media/HKICPA-Website/HKICPA/section2_become_a_hk_cpa/recog-oversea-bodies/recognition/cpa-australia.pdf
- Applicants are required to hold a university degree accredited or recognized by CPAA, excluding a university degree awarded by a university in Mainland China or Hong Kong. All applicants will be required to submit degree certificate, transcript and the CPAA professional examination results for assessment at the time of your QP student registration application to HKICPA, with an assessment fee to be charged.
- Applicants who have not passed the Final Examination of the previous version of the QP may satisfy the Aptitude Test requirement by passing the Institute's Aptitude Test in Hong Kong Taxation OR paper PC-Taxation in the Institute's Practising Certificate examinations. Please refer to our website at: <https://www.hkicpa.org.hk/en/Membership/Registration/Members-and-Affiliates/Register-as-a-CPA/Aptitude-Test> for further information.
- All applicants for the Institute's membership under the new MRA with CPAA or the transitional arrangements of the old MRA are required to fulfill the Institute's practical experience requirements for membership. Please refer to our website at <https://www.hkicpa.org.hk/en/Become-a-Hong-Kong-CPA/Qualification-Programme/Revised-Practical-Experience-Framework> for details.
- Information provided for this preliminary assessment will solely be used to assess your eligibility to apply for registration as a member of the Institute under the MRA with CPAA or the transitional arrangement of the old MRA. Prospective student/ membership applicants are required to follow the application procedures and requirements as specified in our student/ membership application form, whichever is applicable to your case, and submit relevant supporting documents and appropriate application fees when you apply for registration as our student/ member in future. Your student/ membership application will be assessed in accordance with the rules and regulations prevailing at the time you submit your formal application to the Institute.

Prospective student/ membership applicants should surf our website at: www.hkicpa.org.hk/en/ for latest student registration/ membership admission requirements/ procedures.

- Personal data collected from this form may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis, for keeping members informed of its services and for other uses internally.

The provision of personal data by means of this form is voluntary. However, insufficient information may result in an inability to process your request.

Applicants may access their personal data kept by the Institute and if applicable correct or update it. Please contact the Membership & Admission Department at 27th Floor, Wu Chung House, 213 Queen's Road East, Wan Chai, Hong Kong (tel: (852) 2287 7228) for the purpose.

Unless otherwise agreed, hard copies of any documents containing your personal data that you provide to the Institute will become the property of the Institute and will not be returned to you. The Institute will destroy any documents it holds in accordance with its internal policy and applicable laws. Please refer to the Institute's privacy policy and personal information collection statement on its website at: <https://www.hkicpa.org.hk/en/Tools/Privacy-policy>

- Please submit the completed form by email to member@hkicpa.org.hk. Please contact the Membership & Admission Department at (852) 2287-7228 for questions relating to this preliminary assessment.