

Evening seminar Think Compliance (re-run for SMPs)



Date & Time 27 June 2016, Monday

6:30 p.m. - 8:00 p.m.

Venue HKICPA, 27th Floor, Wu Chung House,

213 Queen's Road East, Wan Chai, Hong Kong.

Speakers Members of the Compliance Department:

Ms. Elaine Chung, Deputy Director Ms. Winnie Leung, Associate Director Ms. Ada Cheung, Associate Director

Highlights - Examine common findings from past cases

- Identify common pitfalls in professional work

- Learn tips to enhance the quality of assurance work

- Gain perspective from the Professional Conduct Committee

Competency* Audit and Assurance; Accounting and Financial Reporting; Ethics and

Regulations

Rating* Foundation and Intermediate Level

Admission fee \$190 (HKICPA member)

\$330 (non-HKICPA member)

Target audience SMPs

(priority will be given to SMP Discussion Group members)

CPD hour 1.5 hours

Language Cantonese (supplemented with English for technical terms)

* Details of Competency and Rating information

REGISTRATION

To register:

- Online registration (for HKICPA members only): Click here or visit www.hkicpa.org.hk
- By post or by fax: Complete the attached enrolment form and return it to the Institute on or before <u>30</u> <u>June 2016</u>, together with full payment. Cheque should be made payable to the "Hong Kong Institute of Certified Public Accountants" or "HKICPA".
- Fax registration will only be accepted if payment is made by any VISA / MasterCard or the BOC HKICPA UnionPay card. Acceptance is on a first-come-first-served basis with priority given to SMPDG members.
- If you are not a SMPDG member, please <u>click here</u> to download the Enrolment Form. <u>No</u> registration fee is required.
- If you have not received the confirmation by <u>23 June 2016</u>, please contact Jenny Lam at 2287 7067 or email: <u>jenny@hkicpa.org.hk</u>



Seminar: Think Compliance (re-run for SMPs)

Enrolment Form

MS Event Code: SMPC160627

Finance & Operations Department, Hong Kong Institute of CPAs

37th Floor, Wu Chung House, 213 Queen's Road East, Hong Kong.

Fax no: 2893 9853

Online enrolment (for HKICPA members only)

27 June 2016(Please reply on or before <u>20 June 2016</u>)

Please allow 4 working days to process your application. You can check your enrolment status at "My CPA" at www.hkicpa.org.hk.	
Please use BLOCK letters	
Name (Mr./ Mrs./ Ms):	Membership no.:
Company:	SMPDG member: ☐ Yes ☐ No
Position:	Email:
Telephone no.:	Mobile no.:
(Please tick the appropriate box)	
Admission Fee:	\$330 (non-HKICPA member)
☐ Cheque (no) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"	
□ VISA / MasterCard □ BOC HKICPA UnionPay card	
Card Number:	Card Expiry Date (MM/YY):
Cardholder's Name (block letters): Cardholder's	S Signature: Date:
I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)	
 Notes: Enrolments are accepted on a first-come-first-served basis with priority given to SMP Discussion Group members. Full payment must be made before your application will be processed. No telephone reservation will be accepted. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. The closing date for enrolment is 20 June 2016. Successful enrollees will receive confirmation on or before 23 June 2016 by email, whereas unsuccessful enrollees will receive full refund. If you do not hear from us by then, please contact Ms. Jenny Lam at 2287 7067. Unless the event is cancelled due to unforeseen circumstances or bad weather, no refund for withdrawal will be given after the enrolment has been processed. The enrolment is non-transferrable to other CPD course / participant. Bad weather arrangement: The event will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 2:30 p.m. on the date of event. The Institute reserves the right to change the venue, date, speaker and/or rundown of the event due to unforeseen circumstances. 	
Personal Data: Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link https://www.hkicpa.org.hk/en/members-area/comm-preference/ . Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacy-policy/	
Payment & Enrolment Status Enquiry: 2287 7381 e-mail: finance@hkicpa.org.hk	Event Information Enquiry: 2287 7067 (Jenny Lam) e-mail: jenny@hkicpa.org.hk
For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.	
Name :	Name :
Address:	Address: