



## PAIB Seminar on Corporate Governance Code and Rule Amendments

### About the topic

Following a consultation by the Stock Exchange on proposed changes to the Corporate Governance Code (previously known as Code on Corporate Governance Practices) and associated listing rules, a number of listing rule changes came into effect on 1 January 2012. The revisions to the code and most of the other listing rule changes will be effective on 1 April 2012.

At this seminar, representatives of the Listing Division of the Stock Exchange will explain the structure of the upgraded corporate governance framework and new substantive changes to rules, code provisions and recommended best practices, covering areas such as:

- Directors' duties, time commitments and training.
- Independent non-executive directors to form at least one-third of the board.
- Board committees, board meetings and board procedures.
- Establishing a "whistleblowing" policy and regular board evaluations.
- Boards to be provided with monthly accounts or monthly updates.
- External auditors to attend annual general meetings to answer questions.
- Shareholders' meetings and communications.
- Requirements in relation to company secretaries.

### About the programme

<b>Date</b>	8 February 2012 (Wednesday)
<b>Time</b>	6:30 p.m. – 8:00 p.m.
<b>Venue</b>	Theatre of the Joint Professional Centre Unit 1, G/F, The Centre 99 Queen's Road Central, Hong Kong (Please click <a href="#">here</a> for the location map)
<b>Fee</b>	HKD190 per person for HKICPA members HKD300 per person for Non-HKICPA members
<b>Recommended CPD hours</b>	1.5 hours (subject to actual attendance)
<b>Language</b>	English
<b>Target audience</b>	PAIBs or members who are interested in the topic
<b>Competency</b>	General Business and Professional Knowledge*
<b>Rating</b>	Intermediate level*
<b>Application deadline</b>	<b>2 February 2012</b>

#### Rundown

- 6:15 p.m.** Registration starts
- 6:30 p.m.** Opening remarks by chair
- 6:35 p.m.** Presentation
- 7:35 p.m.** Q&A session
- 7:55 p.m.** Closing remarks by chair

#### Chair

**Ms. Susanna Chiu**  
Chairman  
PAIB Leadership Panel

\* Please refer [here](#) for descriptions of other competencies and ratings.

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## Speakers

**Ms. Ellie Pang**, Assistant Vice President, Listing Division, Hong Kong Exchanges and Clearing Limited (HKEx)

Ellie Pang joined the Listing Division of HKEx in 2005. Prior to joining HKEx, Ellie was in private practice with an international law firm in Hong Kong.

**Mr. Paul Malam**, Senior Manager, Listing Division, HKEx

Paul Malam joined HKEx in September 2006 as Senior Manager in the Policy and Support Department of the Listing Division. Prior to joining HKEx, Paul worked for the London Stock Exchange's Listing Division and subsequently the Markets Division of the UK Financial Services Authority on policy initiatives and monitoring issuers' compliance with UK Listing Rule continuing obligations.

## Registration

The number of places is limited and in the event of over-subscription, places will be allocated on a first-come-first-served basis with priority given to PAIBs.

To register:

- **Online registration** (for HKICPA members only): Please [click here](#).
- **By post or by fax**: Complete the attached reply slip and return it to the Institute on or before 2 February 2012, together with a cheque made payable to "Hong Kong Institute of Certified Public Accountants". Fax registration will be accepted for payment by any Visa / Master credit card. Confirmation of registration will be sent by e-mail. If confirmation has not been received by 3 February 2012, please contact Ms May Hung at 2287 7009 / Ms Canace Leung at 2287 7089.



**PAIB Seminar on Corporate Governance Code and Rule Amendments  
 Enrolment Form**

**Finance & Operations Department,  
 Hong Kong Institute of CPAs  
 37th Floor, Wu Chung House,  
 213 Queen's Road East, Hong Kong.**

**Fax no: 2893 9853**

[\\*Click here](#) for online enrolment (for HKICPA members only)

**8 February 2012**

(Reply on or before 2 February 2012)

**FOR OFFICE USE**

Seq. no.: .....

Handled by: .....

Please allow 4 days to process your application. In order not to delay the process, please DO NOT make any enquiries on your enrolment status. You can check it at "[My CPA](#)". Unsuccessful enrolment will be notified before the commencement of the event with full refund.

**Please type or print:-**

Name: (Mr./Mrs./Ms.) \_\_\_\_\_ Institute membership no.: \_\_\_\_\_

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_ Email: \_\_\_\_\_

Telephone no.: \_\_\_\_\_ Fax no.: \_\_\_\_\_

**You may use a separate sheet to list out the name, position and Institute membership no. (if applicable) of additional participant(s) from the same firm/company as the above applicant.**

**Please ✓ as appropriate**

- Admission Fee:**      HK\$190 per person (HKICPA members) X \_\_\_\_\_ person(s) = HKD \_\_\_\_\_  
                               HK\$300 per person (Non-HKICPA members) X \_\_\_\_\_ person(s) = HKD \_\_\_\_\_
- Payment by:**        Cheque no.\*: \_\_\_\_\_ (Bank: \_\_\_\_\_)  
                               Visa / Master credit card

\*Cheques should be made payable to "Hong Kong Institute of Certified Public Accountants"

For payment by credit card, please fill in the following:	Card no.:																	
Cardholder's name : (Please print)	Card expiry date (month/year) :																	
Date :	Cardholder's signature :																	
<b>FOR OFFICE USE</b>	Auth. code no.	Handled by					Date											

- Please put a "✓" if you require a receipt and indicate your choice of delivery:  
 by email     by post **(to the address as shown below)**

Name:	Name:
Address:	Address:

- Notes:**
- Enrolments are accepted on a first-come-first-served basis with priority given to PAIBs. Telephone reservations will not be accepted.
  - Full payment must be made before applications will be processed.
  - The closing date for enrolment is 2 February 2012.
  - Confirmation will be sent by e-mail, unless your application for registration is unsuccessful. If you have not received any confirmation by 3 February 2012, please contact Ms May Hung, on 2287 7009, or Ms Canace Leung, on 2287 7089.
  - Unless the event is cancelled due to unforeseen circumstances, no refunds will be given after applications have been processed.
  - Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 2:30 p.m. on the date of event. In the event of cancellation due to bad weather, the admission fee will be refunded.

**Personal Data:** All information provided in this form will only be used by the Institute or its agent for the purposes of processing the registration and/or organising the event in which you have enrolled. Although you are not obliged to provide the data sought by this form, failing to do so may result in an inability to process your enrolment. Collected data will only be used for this specific event and promotion of any future similar events. By completing the form you agree that the staff of the Institute or its agent may use your personal data for the purposes specified above. The detailed privacy policy of the Institute is available at [www.hkicpa.org.hk](http://www.hkicpa.org.hk).

**Payment & Enrolment Status Enquiry: 2287 7381**  
 e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry: 2287 7009 / 2287 7089**  
 e-mail: [may@hkicpa.org.hk](mailto:may@hkicpa.org.hk)