



## Financial Services Interest Group (FSIG) Lunch Seminar

### *New Regulatory Regime for Stored Value Facilities and Retail Payment Systems in Hong Kong*

<b>Speaker</b>	Mr. Shu-Pui LI Head (Financial Infrastructure Development Division) Hong Kong Monetary Authority ( <a href="#">HKMA</a> )
<b>Chairperson</b>	Ms. Maria Xuereb Member, FSIG Organizing Committee
<b>Date &amp; Time</b>	<b>31 March 2015, Tuesday</b> <b>1:00 p.m. – 2:00 p.m.</b>
<b>Venue</b>	HKICPA, 27th Floor, Wu Chung House, 213 Queen's Road East, Wan Chai.
<b>Competency*</b>	Industry knowledge
<b>Rating*</b>	Intermediate level
<b>Admission Fee</b>	\$130 (HKICPA member) \$220 (non-HKICPA member) <b><i>(Sandwiches and drinks will be provided at 12:30 p.m.)</i></b>
<b>Recommended CPD hour</b>	1 hour (subject to actual attendance)
<b>Language</b>	English
<b>Enrolment deadline</b>	<b>25 March 2015</b>

\* Details of [Competency and Rating](#) information

## ABOUT THE SEMINAR

The global retail payments market has been developing rapidly. In Hong Kong, technological advancements and increasing acceptance of new technologies by the public have led to the emergence of new forms of retail payment products and services in recent years.

To ensure the safety and soundness of these payment facilities and systems, the government has introduced the [Clearing and Settlement Systems \(Amendment\) Bill 2015](#) to provide for a new regulatory framework for stored value facilities (SVF) and retail payment systems (RPS).

The new regulatory framework comprises a licensing regime for SVF, a designation regime for RPS and relevant supervisory and enforcement powers of the HKMA to implement the relevant regulatory requirements. The Bill was introduced into the Legislative Council on 4 February 2015 and is currently being scrutinized.

At the seminar, Mr. Shu-Pui LI, Head of Financial Infrastructure Development Division of the HKMA, will cover the latest development of the Bill and introduce the new framework for SVF and RPS.



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#### **ABOUT THE SPEAKER**

**Mr. Shu-Pui LI** is currently the Head of Financial Infrastructure Development Division of the HKMA. His major responsibilities include development and promotion of the financial infrastructure in Hong Kong; and coordination with overseas central banks and service providers to facilitate cross-border cooperation in financial infrastructure development. He has over 20 years of experience in auditing and management consultancy services, technology risk management, banking supervision and financial infrastructure development.

Prior to joining the HKMA, Mr. Li was the Head of Asia Technology Risk Management of Chase Manhattan Bank and the Regional Information Risk Management Officer of JPMorgan Chase in Asia. His major responsibilities included the formulation and execution of the Bank's technology/information risk management programme, performance of risk assessment of Internet and E-commerce projects, review and enforcement of the Bank's information technology control policy and standards, development and testing of the business continuity plans, and implementation of information security awareness programmes.

Mr. Li is a Chartered Accountant (FCA) of the Institute of Chartered Accountants in England & Wales, a Chartered Information System Engineer (CEng), a Certified Information Systems Auditor (CISA) and a member of the British Computer Society.

He has also obtained a master's degree in Research of Computer Graphics and a bachelor's (1st Class Hons) degree in Computing Science at the University of Manchester.

#### **REGISTRATION**

##### **To register:**

- Online registration (for HKICPA members only): [Click here](#) or visit [www.hkicpa.org.hk](http://www.hkicpa.org.hk)
- By post or by fax: Complete the attached enrolment form and return it to the Institute on or before **25 March 2015**, together with full payment. Cheque should be made payable to the "Hong Kong Institute of Certified Public Accountants" or "HKICPA".
- Fax registration will only be accepted if payment is made by any VISA / MasterCard or the BOC HKICPA UnionPay card. **Acceptance is on a first-come-first-served basis with priority given to FSIG members.**
- If you are not the FSIG member, please click [HERE](#) to download the FSIG membership application form. **NO** registration fee is required.
- If you have not received the confirmation by 30 March 2015, please contact May Hung at 2287 7009 / Canace Leung at 2287 7089 or email: [may@hkicpa.org.hk](mailto:may@hkicpa.org.hk).



**MS Event Code: FSIG150331**

**FSIG Lunch Seminar -**

***New regulatory regime for stored value facilities  
 and retail payment systems in Hong Kong***

**Enrolment Form**

**\*Online enrolment (for HKICPA members only)\***

**Finance & Operations Department,  
 Hong Kong Institute of CPAs**  
 37th Floor, Wu Chung House,  
 213 Queen's Road East, Hong Kong.  
 Fax no: 2893 9853

**31 March 2015**  
 (Please reply on or before **25 March 2015**)

**FOR OFFICE USE**  
 Seq. no.: \_\_\_\_\_  
 Handled by: \_\_\_\_\_

You can check it at "My CPA" at <http://www.hkicpa.org.hk>. Unsuccessful enrolment will be notified before the commencement of the event with full refund.

**Please use BLOCK letters :-**

Name: \_\_\_\_\_

Company Name.: \_\_\_\_\_

HKICPA Membership no.: \_\_\_\_\_ FSIG member:  Yes  No

Position: \_\_\_\_\_ Email: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax No.: \_\_\_\_\_

*(Please tick the appropriate box(es))*

**Admission Fee:**  \$130 (HKICPA member)  \$220 (non-HKICPA member)

Cheque (no. \_\_\_\_\_) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

VISA / MasterCard  BOC HKICPA UnionPay card

Card Number: \_\_\_\_\_ Card Expiry Date (MM/YY): \_\_\_\_\_

Cardholder's Name (block letters): \_\_\_\_\_ Cardholder's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

I would like to have an official receipt. *(Remarks: Official receipt will be sent to your email address provided above.)*

- Notes:**
- Enrolments are accepted on a first-come-first-served basis with priority given to FSIG members.
  - Full payment must be made before your application will be processed. No telephone reservation will be accepted.
  - The closing date for enrolment is **25 March 2015**. However, the Institute has the discretion to accept late applications as it sees fit.
  - Successful enrollees will receive confirmation on or before 30 March 2015 by email whereas unsuccessful applicants will receive full refund by mail.
  - Unless the event is cancelled due to unforeseen circumstances or bad weather, no refund for withdrawal will be given after the enrolment has been processed. The enrolment is non-transferrable to other CPD course / participant.
  - Bad weather arrangement:** The event will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 6:30 a.m. on the date of event. In the event of bad weather, the admission fee will be refunded.
  - The Institute reserves the right to change the venue, date, speaker and/or rundown of the event due to unforeseen circumstances.

**Personal Data:** Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://www.hkicpa.org.hk/en/members-area/comm-preference/>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>

**Payment & Enrolment Status Enquiry:** 2287 7381  
 e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry:** 2287 7009 (May Hung)  
 e-mail: [may@hkicpa.org.hk](mailto:may@hkicpa.org.hk)

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

Name :	Name :
Address :	Address :