



Hong Kong Institute of CPAs & Securities and Futures Commission Joint Seminar on The Audit of Licensed Corporations

Date	Monday, 4 February 2013
Time	6:30 p.m. – 8:30 p.m.
Venue	Auditorium Hong Kong Institute of Certified Public Accountants 27th Floor, Wu Chung House 213 Queen's Road East, Wanchai, Hong Kong
Chairperson	- Mr. Simon Riley Director, Standard Setting Hong Kong Institute of Certified Public Accountants
Speakers	- Mr. Eric Tong Partner Deloitte Touche Tohmatsu - Mr. Leo Lam , Director Intermediaries Supervision Department Securities and Futures Commission - Mr. Ben Lau , Senior Manager Intermediaries Supervision Department Securities and Futures Commission - Ms. Emily Wong , Senior Manager Intermediaries Supervision Department Securities and Futures Commission
Admission Fee	HK\$190 for member / HK\$330 for non-member
Event Code	F130204
CPD credit	2 hours
Competency	Audit and Assurance
Rating	Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.
Application Deadline	22 January 2013

ABOUT THE SEMINAR

The seminar comprises two parts as follows:

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| (A) Practice Note | (B) Review of Financial and Fraud Risks – A regulator's perspective |
| <ul style="list-style-type: none">Highlight of the key reporting responsibilities of the auditorsDiscussion of topical issues and areas of concern over applying PN 820Impact of the key Auditing Standards issued | The subject is suitable for internal/external auditors and accountants responsible for setting up internal controls and/or exercising compliance monitoring |

REGISTRATION

To register, please complete the enclosed enrolment form and return it with full payment to the Institute. Enrolments will be accepted **on a first-come-first-served basis** with priority given to the Institute's members.

For enquiries, please contact:

- 2287 7057 (Yvonne Chew) for event information;
- 2287 7379 (David Lok) for payment and enrolment status.

* Please refer [here](#) for descriptions of other competencies and ratings.

Acceptance is on a first-come-first-served basis. Confirmation of registration will be sent by e-mail. If confirmation has not been received on 23 January 2013, please contact Ms. Yvonne Chew at 2287 7057 / Kay Choy at 2287 7220 or email: mcs.msce@hkicpa.org.hk



**Hong Kong Institute of CPAs &
Securities and Futures Commission**
**Joint Seminar on The Audit of Licensed
Corporations (4 February 2013)**

Finance & Operations Department,
Hong Kong Institute of CPAs
37th Floor, Wu Chung House,
213 Queen's Road East, Hong Kong.

Fax no: 2893 9853

Enrolment Form

Registration deadline: 22 January 2013

[Please click here for online enrolment](#) (for HKICPA members only)

FOR OFFICE USE	
Seq. no.:	_____
Handled by:	_____

Please type or print: -

Name: (*Mr. / Mrs. / Ms.) _____ HKICPA Membership No.: _____
(if applicable)

Company name: _____

Position held: _____ Email: _____
(for enrolment confirmation purpose)

Telephone No.: _____ Fax No.: _____

Please ✓ as appropriate

Enrolment Fee: <input type="checkbox"/> HK\$ 190 Member	
<input type="checkbox"/> HK\$ 330 Non-member	
Payment by: <input type="checkbox"/> Cheque no.: _____ (Bank: _____)	
<input type="checkbox"/> Visa / Master credit card	
*Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants"	
For payment by credit card, please fill in the following:	Card no.:
Cardholder's Name : (Please print)	Card Expiry Date (month/year) :
Date :	Cardholder's Signature :
FOR OFFICE USE	Auth. code no. Handled by Date

- Note:**
- No telephone reservation will be accepted.
 - Full payment must be made before your enrolment will be processed.
 - The closing date for enrolment is **22 January 2013**.
 - Confirmation email will be sent to successful participants by the Member & Corporate Services Department two working days prior to the event. If you do not hear from us by then, please contact Ms. Yvonne Chew at 2287 7057
 - Unsuccessful enrolment will be notified two days before the event with full refund by the Finance & Operations Department.
 - Unless the event is cancelled due to unforeseen circumstances, no refund will be entertained after your enrolment has been processed.
 - Bad weather arrangement: When typhoon signal no. 8 or above / black rainstorm warning is hoisted at or after 2:30 p.m. on the date of event. Admission fee will be refunded.

Payment & Enrolment Enquiry: 2287 7379
E-mail: finance@hkicpa.org.hk

Event Information Enquiry: 2287 7057
E-mail: mcs.msce@hkicpa.org.hk