



Joint Seminar on AATB 3 - Implementation Guidance on Revised HKSIR 400 *Comfort Letters and Due Diligence Meetings*

| Date | 25 June 2013 (Tuesday) | | | | | |
|------------------|--|--|--|--|--|--|
| Time | 7:00 p.m. – 8:30 p.m. | | | | | |
| Venue | Hong Kong Institute of CPAs, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong | | | | | |
| Chairperson | Mr. Simon Riley Director, Standard Setting Hong Kong Institute of CPAs | | | | | |
| Speakers | Mr. Pius Chong Managing Director and Head of Transaction Management HSBC | | | | | |
| | Mr. Ian Parker Partner KPMG | | | | | |
| | Mr. Matt Fairclough Partner Clifford Chance | | | | | |
| Highlights | This joint seminar addresses: | | | | | |
| | (a) Practical issues in respect of the implementation of the revised HKSIR 400. AAT 3 is intended to address, and provide practical guidance on, a few of those issue and serve as a reminder of certain basic principles that reporting accountants (including independent accountants reporting in connection with a debt offering) should keep in mind when conducting their engagement to issue a comfort letter to take part in a due diligence meeting. (b) Issues for lawyers and syndicate banks arising out of the HKSIR400 and AATB3 on capital markets transactions, including issues around length of change period year-end comfort and the overall diligence process. | | | | | |
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| Language | English | | | | | |
| Fee | Member (HKICPA/ASIFMA) HK\$190 Non-member HK\$330 | | | | | |
| CPD Credit hours | 1.5 hours | | | | | |
| Competency | Auditing and Assurance | | | | | |
| Rating | Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations. | | | | | |
| Enquiries | Please contact: 2287-7381 (David Lok) for payment and enrolment status 2287-7057 (Yvonne Chew) for event information | | | | | |





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Date: 25 June 2013

Registration Deadline: 18 June 2013 21 June 2013

MCS2 Event Code: F130625

Please click here for online enrolment (for HKICPA members only)

| FOR OFFICE USE | | | | | | |
|----------------|--|--|--|--|--|--|
| Seq. no.: | | | | | | |
| Handled by: | | | | | | |

Finance & Operations Department, Hong Kong Institute of CPAs 37th Floor, Wu Chung House, 213 Queen's Road East, Hong Kong

Fax no: 2893 9853

| Please type or | pri | nt: - | | | | | |
|---|-------------------------------|--|------------------------------------|-------------------------|-----------------------|--|--|
| Name: | | | HKICPA Membership /Student No. | | | | |
| Company name: | | | | | (if applicable) | | |
| Position held: | | | Email: | (for enrolment confi | | | |
| | | | | (for enrolment confi | irmation purpose) | | |
| Telephone No.: _ | | | Fax No.: | | | | |
| | | | | | | | |
| Admission Fee: | | HK\$ 190 per person (HKICPA member or student/ASIFMA member) | | | | | |
| | | HK\$ 330 per person (Non me | ember) | | | | |
| Payment by: | | Cheque no.: | (Bar | k: |) | | |
| Visa / Master cre | edit c | ard / BOC HKICPA UnionPay o | card | | | | |
| *Cheque should be | made | e payable to "Hong Kong Institute of | f Certified Public Accountants", p | lease issue SEPARATE Cl | HEQUE for each forum. | | |
| For payment by credit card, please fill in the following: | | | Card no.: | | | | |
| Cardholder's Name : (Please print) | | Card Expiry Date (month/year) : | | | | | |
| Date : | te : Cardholder's Signature : | | | | | | |
| FOR OFFICE USE | | Auth. code no. | Handled by | Date | | | |
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- Note:
- 1. Please complete the Enrolment Form and return it to the Finance & Operations Department on or before the deadline, together with full payment.
- 2. Enrolment is accepted on a first-come-first-served basis. No telephone reservation will be accepted.
- 3. For credit card payment, please fax the enrolment form to 2893 9853. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
- 4. Confirmation of registration will be sent by e-mail. If confirmation has not been received before the forum, please contact Ms. Yvonne Chew at 2287 7057 or email: <u>tts@hkicpa.org.hk</u>
- 5. If typhoon signal no.8 or above / Black rainstorm warning is hoisted at or after 2:30 p.m. on the date of event, the activity will be cancelled. Your admission fee will be refunded in the event of cancellation due to bad weather.
- 6. No refund will be entertained unless your enrolment is unsuccessful or the event is cancelled due to unforeseen circumstance.
- 7. All personal data collected from the enrolment process, and administration of the programme will only be used for the purpose of the administration of the course on which members are enrolled.

Payment & Enrolment Status Enquiry: 2287 7381

e-mail: finance@hkicpa.org.hk

Event Information Enquiry: 2287 7057

e-mail: tts@hkicpa.org.hk