



Hong Kong Institute of CPAs Seminar on the Audit of Licensed Corporations

Date	Friday, 7 November 2014
Time	7:00 p.m. – 8:30 p.m.
Venue	Hong Kong Institute of Certified Public Accountants 27th Floor, Wu Chung House 213 Queen's Road East, Wanchai, Hong Kong
Speakers	Mr. Bonn Liu Chair of Securities Regulatory Liaison Group, Hong Kong Institute of CPAs and Partner KPMG Mr. Adams Chan Member of Securities Regulatory Liaison Group, Hong Kong Institute of CPAs and Partner PricewaterhouseCoopers
Admission Fee	HK\$190 for member / HK\$330 for non-member
Language	English
Event Code	F141107
CPD credit	1.5 hours
Competency	Auditing and Assurance
Rating	Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.
Application Deadline	31 October 2014

ABOUT THE SEMINAR

This seminar will discuss certain common issues and areas of concern which auditors may encounter during their audit of Licensed Corporations, and the corresponding work procedures which auditors should consider in order to discharge their professional responsibilities under Practice Note 820 "The Audit of Licensed Corporations and Associated Entities of Intermediaries". Topics covered in the seminar will include:

- General audit issues on the financial statements of Licensed Corporations
- Compliance issues arising from internal control review
- Financial Resources Rules compliance matters

In addition, some of the more significant regulatory developments and supervisory focuses in relation to Licensed Corporations will also be highlighted in the seminar.

REGISTRATION

To register, please complete the enclosed enrolment form and return it with full payment to the Institute. Enrolments will be accepted **on a first-come-first-served basis** with priority given to the Institute's members.

For enquiries, please contact:

- 2287 7379 (David Lok) for payment and enrolment status.

* Please refer [here](#) for descriptions of other competencies and ratings.

Acceptance is on a first-come-first-served basis. Confirmation of registration will be sent by e-mail. If confirmation has not been received on 3 November 2014, please email to: tls@hkicpa.org.hk

Hong Kong Institute of CPAs

Seminar on the Audit of Licensed Corporations

7 November 2014



Hong Kong Institute of
Certified Public Accountants
香港會計師公會

Finance & Operations Department,
Hong Kong Institute of CPAs
37th Floor, Wu Chung House,
213 Queen's Road East, Hong Kong
Fax no.: 2893-9853

Seminar on the Audit of Licensed Corporations

Date: 7 November 2014

Registration Deadline: 31 October 2014

Event Code: F141107

[Please click here for online enrolment](#)
[\(for HKICPA members only\)](#)

Name: _____ HKICPA Membership /Student No. _____
(if applicable)
Company name: _____
Position held: _____ Email: _____
(for enrolment confirmation purpose)
Telephone No.: _____ Fax No.: _____

Admission Fee: <input type="checkbox"/> HK\$ 190 (HKICPA members) <input type="checkbox"/> HK\$ 330 (Non-HKICPA members)	
<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"	
<input type="checkbox"/> VISA / MasterCard	<input type="checkbox"/> BOC HKICPA UnionPay card
Card Number: _____	Card Expiry Date (MM/YY): _____
Cardholder's Name (block letters): _____	Cardholder's Signature: _____
	Date: _____
<input type="checkbox"/> I would like to have an official receipt. <i>(Remarks: Official receipt will be sent to your email address provided above.)</i>	

Note:

- Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
- Enrolment is accepted on a first-come-first-served basis. No telephone reservation will be accepted.
- For credit card payment, please fax the enrolment form to 2893-9853. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
- Confirmation of registration will be sent by e-mail. If confirmation has not been received 3 days prior to the event date, please email to tls@hkiipa.org.hk
- If typhoon signal no.8 or above / Black rainstorm warning is hoisted at or 2:30 p.m. on the date of event, the activity will be cancelled. Your admission fee will be refunded in the event of cancellation due to bad weather.
- No refund will be entertained unless your enrolment is unsuccessful or the event is cancelled due to unforeseen circumstance.
- The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in [here](#). Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkiipa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please click [here](#).
- Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.

Payment & Enrolment Status Enquiry: 2287-7381 e-mail: finance@hkiipa.org.hk	Event Information Enquiry: e-mail: tls@hkiipa.org.hk
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For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.	
Name :	Name :
Address :	Address :