



## Seminar on consultation conclusions on risk management and internal control: Review of the corporate governance code and corporate governance report (“Code”)

<b>Date</b>	Thursday, 5 March 2015
<b>Time</b>	7:00 pm to 8:30 pm
<b>Venue</b>	Hong Kong Institute of CPAs 27/F., Wu Chung House 213 Queen’s Road East Wanchai, Hong Kong
<b>Speaker</b>	Ms. Avon Yue Partner – Advisory Ernst & Young Advisory Services Limited
<b>CPD Credit hours</b>	1.5 hours
<b>Fee</b>	Member                    HK\$190 Non-member            HK\$330
<b>Language</b>	English
<b>Competency</b>	Risk management, corporate governance
<b>Rating</b>	Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.

### Highlights

***Are you familiar with the latest enhancement / expectation of the Code?***

***How far is the current status of your company when compare to the latest Code?***

This 1.5 hours seminar will provide you the followings regarding the consultation conclusions issued by the Hong Kong Exchange and Clearing Limited (the “Exchange”) published in December 2014 on risk management and internal control: Review of the corporate governance code and corporate governance report (“Code”):

- An overview of the latest enhancement / expectation of the consultation conclusions which cover the following:
  - a. Emphasize that internal controls are an integrated part of risk management and impact to the role of the audit committee
  - b. Enhance accountability of the board and management by clearly defining their roles and responsibilities in risk management and internal controls
  - c. Improve transparency by disclosing policies, processes and details of the annual review carried out in respect of the effectiveness of the risk management and internal control systems
  - d. Strengthen oversight of the risk management and internal control systems by having an internal audit function
- Discuss the impacts of the consultation conclusions to your current reporting
- What are the adjustments required within the board, management and internal audit and considerations in developing a road-map in response to the latest enhancement / expectation of the Code

This forum is for preparers, auditors, CEOs, CFOs, professional accountants in business and others interested in risk management and corporate governance.

### About the speaker

Avon Yue is the Partner of EY’s Advisory Services Practice in Hong Kong, specializing in corporate governance, risk assessment and management, internal control assessment and internal audit review. She is also the leader of quality management team of Greater China. Avon has extensive experiences in providing assurance and advisory services to Hong Kong and China subsidiaries/ operations of multinational companies, Hong Kong listed companies, China’s stated-owned enterprises and large China-based enterprises operating in various industries such as utilities, telecommunication, public transportation, hospitality, financial services, consumer products, media, technology, real estate, and food and beverage.

# Seminar on consultation conclusions on risk management and internal control: Review of the corporate governance code and corporate governance report (“Code”)

5 March 2015

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Hong Kong Institute of Certified Public Accountants  
香港會計師公會

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Hong Kong Institute of CPAs  
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213 Queen's Road East, Hong Kong

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<b>Seminar on consultation conclusions on risk management and internal control: Review of the corporate governance code and corporate governance report (“Code”) 5 March 2015 (F150305)</b>
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