



Hong Kong Institute of CPAs Industry Update Relating to Licensed Corporations

Date	Friday, 9 October 2015
Time	7:00 p.m. – 8:30 p.m.
Venue	Hong Kong Institute of Certified Public Accountants 27th Floor, Wu Chung House 213 Queen's Road East, Wanchai, Hong Kong
Speakers	Mr. Louis Mak Board Director Hong Kong Securities and Investment Institute Mr. Wilson Lo Director of Licensing, Intermediaries Securities and Futures Commission Ms. Grace Lau Senior Manager of Licensing, Intermediaries Securities and Futures Commission
Admission Fee	HK\$190 for member / HK\$330 for non-member
Event Code	F151009
CPD credit	1.5 hours
Language	English
Competency	Industry Knowledge, Risk Management
Rating	Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.
Application Deadline	5 October 2015

ABOUT THE SEMINAR

This seminar will cover the latest industry and regulatory updates relating to licensed corporations in the following areas:

- The past, present and prospects of dark pools
- Regulation of alternative liquidity pools (dark pools)

REGISTRATION

To register, please complete the enclosed enrolment form and return it with full payment to the Institute. Enrolments will be accepted **on a first-come-first-served basis** with priority given to the Institute's members.

For enquiries, please contact:

- 2287 7381 (David Lok) for payment and enrolment status.

* Please refer [here](#) for descriptions of other competencies and ratings.

Acceptance is on a first-come-first-served basis. Confirmation of registration will be sent by e-mail. If confirmation has not been received on 6 October 2015, please email to: tls@hki CPA.org.hk

Hong Kong Institute of CPAs

Industry Update Relating to Licensed Corporations

9 October 2015



Hong Kong Institute of
Certified Public Accountants
 香港會計師公會

Finance & Operations Department,
 Hong Kong Institute of CPAs
 37th Floor, Wu Chung House,
 213 Queen's Road East, Hong Kong
 Fax no.: 2893-9853

Industry Update Relating to Licensed Corporations

Date: 9 October 2015

Registration Deadline: 5 October 2015

Event Code: F151009

[Please click here for online enrolment](#)
 (for HKICPA members only)

Name: _____ HKICPA Membership /Student No. _____
 (if applicable)

Company name: _____

Position held: _____ Email: _____
 (for enrolment confirmation purpose)

Telephone No.: _____ Fax No.: _____

Admission Fee: <input type="checkbox"/> HK\$ 190 (HKICPA members) <input type="checkbox"/> HK\$ 330 (Non-HKICPA members)	
<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"	
<input type="checkbox"/> VISA / MasterCard	<input type="checkbox"/> BOC HKICPA UnionPay card
Card Number: _____	Card Expiry Date (MM/YY): _____
Cardholder's Name (block letters): _____	Cardholder's Signature: _____
	Date: _____
<input type="checkbox"/> I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)	

Note:

- Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
- Enrolment is accepted on a first-come-first-served basis. No telephone reservation will be accepted.
- For credit card payment, please fax the enrolment form to 2893-9853. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
- Confirmation of registration will be sent by e-mail. If confirmation has not been received 3 days prior to the event date, please email to tls@hkiipa.org.hk
- If typhoon signal no.8 or above / Black rainstorm warning is hoisted at or 2:30 p.m. on the date of event, the activity will be cancelled. Your admission fee will be refunded in the event of cancellation due to bad weather.
- No refund will be entertained unless your enrolment is unsuccessful or the event is cancelled due to unforeseen circumstance.
- The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in [here](#). Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkiipa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please click [here](#).
- Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.

Payment & Enrolment Status Enquiry: 2287-7381 e-mail: finance@hkiipa.org.hk	Event Information Enquiry: e-mail: tls@hkiipa.org.hk
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For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

Name :	Name :
Address :	Address :