

Internal Control and Risk Management: Perspective of a Conglomerate

Programme Code: C110514

Date	Saturday, 14 May 2011								
Time	9:30 a.m. – 12:30 p.m. (Registration starts at 9:00 a.m.)								
Venue	Ballroom, Level 3, JW Marriott Hotel Hong Kong, Pacific Place, 88 Queensway, Hong Kong								
Format Language	Seminar English								
Fee	HK\$450 for HKICPA member or student; or IA / HKIAAT's member or student HK\$720 for non-member (Light refreshment will be provided)								
Objectives	What isThe Committee of Sponsoring Organisations of the Treadway Commission (COSO) USA 1992 Framework and Objectives Three Perspectives:The Conglomerate Internal Control Framework • Management Focused Strategy • Board Governance • Management Supervision and Control • Financial Resources Governance • State-of-the-Art Group Financial Reporting Systems • Legal Governance • Stock Exchange Rules • Insurance Governance 								
Speaker	Ms. Edith Shih, BSE, MA, MA, EdM, Solicitor, FCS, FICS (PE) Ms Shih is the Head Group General Counsel and Company Secretary of Hutchison Whampoa Limited. At Hutchison, a Fortune 500 company, she heads a team of over 250 legal counsel and 50 company secretarial executives, working in over 60 offices in 23 countries, overseeing all legal and corporate secretarial affairs of the group. She is a fellow of both the Institute of Chartered Secretaries and Administrators and The Hong Kong Institute of Chartered Secretaries (HKICS). At HKICS, she is currently its Vice President and the Chairman of its Education Committee. A stern proponent of good corporate governance, she spends considerable efforts on inculcating and upholding high standards of corporate governance and ethics within the Hutchison group and in the industry. In addition, Ms Shih is a council member of the Hong Kong Institute of Certified Public Accountants, a committee member of the Listing Committee of the Stock Exchange of Hong Kong Limited and a member of the Standing Committee on Companies Law Reform of the Hong Kong SAR Government.								
Chair	Ms. Elizabeth Law, FCPA, CA(Canada), ACA(England & Wales), FCPA(Australia), MH JP Director, Law & Partners CPA Limited, Member of LAWCPA Network and CPD Advisory Panel, HKICPA								
Participants Competency	Members working in the fields of internal control and risk management Leadership and Business Strategy; Audit and Assurance								
Rating	Advanced Level (Please refer to the Institute's online CPD Learning Resource Centre)								
CPD hours	2.5								

HONG KONG INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS

CPD ENROLMENT FORM

Finance & Operations Department, Hong Kong Institute of CPAs, 37/F, Wu Chung House, 213 Queen's Road East, Hong Kong To confirm your CPD booking, just log on to "MyCPA" at <u>http://www.hkicpa.org.hk</u>

Please click here for online enrolment (for HKICPA members only)

Payment & Enrolment Status Enquiry: 2287 7381 e-mail: finance@hkicpa.org.hk	Course Information Enquiry: 2287 7386 e-mail:cpd@hkicpa.org.hk	FOR OFFICE USE
Fax : 2893 9853		L

Deadline: 7 working days before the date of the programme

No.	Membe No.	rship Status ⁽¹⁾	Full Name of Participant(s) (Block Letters)	Company	Programme Code	Fee (HK\$)	
1							
2							
3							
4							
5							
						Total (HK\$)	

Contact Person :	Tel No. :	Fax No. :

E-mail :

Payment Method (Please tick the appropriate box)

Cheque (payable to "Hong Kong Institute of Certified Public Accountants")														
Cheque No.:		Bank	:											
Visa / Master Credit Card Card Number														
Cardholder's Name : (Please print)	Card Expiry Date (month/year) :													
Date :	Cardholder's Signature :													
				F	OR	OFF	FICE	E US	SE					
	Auth. C	ode N	э.			F	land	dled	by			E	Date	

Notes: 1. Membership Status: NP = Non-Practising, P = Practising, IA = International Affiliate, S = Student, HKIAAT = HKIAAT Student or Member, GAA = GAA Passport holder, NM = Non-Member.

Please put a "v" in this column to indicate you wish to register by Computerized Ticketing System. Instead of a ticket you will receive a confirmation receipt by post. Details of the system can be found at http://www.hkicpa.org.hk/membership/cpd/ticket.php.

3. All applications are on a first-come-first-served basis. In the event of over-subscription, priority will be given to members and students of HKICPA.

- Application by fax will ONLY be accepted when payment is made by Visa/Master credit card. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
 Cheque(s) should be made payable to "Hong Kong Institute of Certified Public Accountants". Please issue SEPARATE CHEQUE for each event.
- Cheque(s) should be made payable to "Hong Kong Institute of Certified Public Accountants". Please issue SEPARATE CHEQUE for each event.
 Successful applicants will receive admission ticket or confirmation notice (for those who opt for Computerised Ticketing System) at least 3 working days before the event. Unsuccessful applicants will also be notified around the same time. If you have not heard from the Institute regarding your registration 2 days before the event and if you have other enquiries related to the programme, please make enquiry at 2287 7386 or 2287 7253.
 NO REFUND/CANCELLATION will be entertained after the application is processed. If you are unable to attend the enrolled programme, you may nominate a

NO REFUND/CANCELLATION will be entertained after the application is processed. If you are unable to attend the enrolled programme, you may nominate a substitute to take your place, provided that the Institute is notified in writing at least two working days prior to the event date.
 All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is

8. All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.

9. The Institute reserves the right to change the venue, date or speaker of the event due to unforeseen circumstances.

10. All personal data collected from the enrolment process, and administration of the CPD Programmes will only be used for the purpose of the administration of the course on which members are enrolled.

Please fill in the following label CLEARLY for mailing of admission ticket:

Name	:	Name :
Company	:	Company :
Address	:	Address :