

Listing Rule Changes relating to the Statutory Inside Information Disclosure Regime

About the topic

On 1 January 2013, the Securities and Futures (Amendment) Ordinance 2012 will bring in a statutory obligation on listed corporations to disclose price sensitive information (referred to as “inside information”, under the amended Securities and Futures Ordinance). With the implementation of the statutory disclosure regime, changes to the Listing Rules are needed to minimise duplication and overlaps with the new law.

At this seminar, Ms. Christine Kan, senior vice president of Hong Kong Exchanges and Clearing's Listing Division, will discuss the Listing Rule changes and the Stock Exchange's and Securities and Futures Commission (SFC)'s respective roles following the introduction of the statutory regime, in particular:

- the consequential changes to the Listing Rules, with reference to the consultation conclusions published by the Stock Exchange on 30 November 2012
- the Stock Exchange's role and responsibilities and how they will be segregated from those of the SFC

About the programme

Date & Time	18 December, Tuesday 6:45 p.m. – 8:15 p.m.
Venue	Theatre of the Joint Professional Centre Unit 1, G/F, The Center 99 Queen's Road Central, Hong Kong (Please click here for the location map)
Fee	HK\$ 170 per person for CFG members HK\$ 190 per person for HKICPA members or students; IA / HKIAAT members or students HK\$ 330 per person for others <i>Priority is given to CFG members. Not a CFG member? Click HERE to join.</i>
CPD credit	1.5 hours (subject to actual attendance)
CPT credit	Please check with your compliance officer or other relevant personnel of your firm, as this event may be acceptable for CPT recognition for SFC licensed persons.
Language	English
Competency	Business Finance*
Rating	Intermediate level*
Application deadline	13 December 2012

Rundown

6:15pm	Registration (Light refreshments provided)
6:45pm	Introduction
6:50pm	Presentation by speaker
7:50pm	Q&A / Discussion
8:15pm	Closing remarks

Speaker

Ms. Christine Kan
 Senior Vice President, Listing Division
 Hong Kong Exchanges and Clearing Limited

Chairperson

Ms. Connie Ho
 CFGI convenor & CFC member
 Partner, Deloitte Touche Tohmatsu

* Please refer [here](#) for descriptions of the various ratings and competencies.



About the speaker

Ms. Christine Kan
Senior Vice President, Listing Division
Hong Kong Exchanges and Clearing Limited

Ms. Kan is the Head of the Compliance and Monitoring Department of the Listing Division of Hong Kong Exchanges and Clearing, which is in charge of monitoring listed issuers' compliance with the Exchange listing rules. She first joined HKEx in October 1996 and rejoined in April 2001. Prior to joining HKEx, Ms. Kan worked for international public accounting firms in Canada and Hong Kong.

Ms Kan is a Chartered Accountant, a member of the Institute of Chartered Accountants of Ontario in Canada and a fellow member of the Hong Kong Institute of CPAs in Hong Kong.

Registration

The number of places is limited (maximum capacity: 180 persons). In the event of over-subscription, **places will be allocated on a first-come-first-served basis with priority given to CFGI members.** If you are not a CFGI member, click [HERE](#) to download a CFGI membership application form.

To register:

- **Online registration:** For the time being, this facility ([click here](#)) is available only to members of the Institute. If you are a CFGI member or others, please reply by post or fax.
- **By post or fax:** Complete the attached reply slip and return it to the Institute **on or before 13 December 2012**, together with a cheque made payable to the "Hong Kong Institute of Certified Public Accountants". Fax registration will be accepted for payment by any Visa/Master credit card. Confirmation of registration will be sent by e-mail. If confirmation has not been received by 17 December 2012, please contact Ms May Hung at 2287 7009 / Ms Canace Leung at 2287 7089.



MCS-2 Event Code: CFG121218

CFG evening seminar – Listing Rule Changes relating to the Statutory Inside Information Disclosure Regime

Finance & Operations Department
Hong Kong Institute of CPAs
37th Floor, Wu Chung House
213 Queen's Road East
Wanchai, Hong Kong

Fax no: 2893 9853

[*Click here](#) for online enrolment (read conditions set out under "Registration")

18 December 2012

(Reply on or before 13 December 2012)

FOR OFFICE USE

Seq. no.:
Handled by:

Please allow 4 days to process your application. In order not to delay the process, please DO NOT make any enquiries on your enrolment status. You can check it at ["My CPA"](#). Unsuccessful enrolment will be notified before the commencement of the event with full refund.

Please type or print:-

Name: (*Mr./ Mrs. /Ms.) _____ Institute membership no.: _____

Company name: _____

Position held: _____ Email: _____

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You may use a separate sheet to list out the name, position and Institute membership no. (if applicable) of additional participant(s) from the same firm/company as the above applicant.

Please "✓" as appropriate

Admission Fee:	<input type="checkbox"/> HK\$170 per person x _____ person(s) = HKD _____
	<input type="checkbox"/> HK\$190 per person x _____ person(s) = HKD _____
	<input type="checkbox"/> HK\$330 per person x _____ person(s) = HKD _____
Payment by:	<input type="checkbox"/> Cheque no.*: _____ (Bank: _____)
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Please put a "✓" if you require a receipt and indicate your choice of delivery: by email by post (to the address as shown below)

Name:	Name:
Address:	Address:

- Notes:**
- Enrolments are accepted on a first-come-first-served basis with **priority given to CFG members**. Telephone reservations will not be accepted.
 - Full payment must be made before applications will be processed.
 - The closing date for enrolment is 13 December 2012.
 - Confirmation will be sent by e-mail. If you have not received any confirmation by 17 December 2012, please contact Ms May Hung, on 2287 7009, or Ms Canace Leung, on 2287 7089.
 - Unless the event is cancelled due to unforeseen circumstances, no refunds will be given after applications have been processed.
 - Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 2:30 p.m. on the date of the event. In the event of cancellation due to bad weather, the admission fee will be refunded.

Personal Data: All information provided in this form will only be used by the Institute or its agent for the purposes of processing the registration and/or organising the event in which you have enrolled. Although you are not obliged to provide the data sought by this form, failing to do so may result in an inability to process your enrolment. Collected data will only be used for this specific event and promotion of any future similar events. By completing the form you agree that the staff of the Institute or its agent may use your personal data for the purposes specified above. The detailed privacy policy of the Institute is available at <www.hkicpa.org.hk>.

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