



# Accountants' role in combating money laundering and terrorist financing

## 打擊清洗黑錢及恐怖分子融資活動 – 會計師的角色

### About the seminar

Accountants, lawyers and other designated non-financial businesses and professions (DNFBP) play an important role in the international fight against money laundering and terrorist financing. The international anti-money laundering (AML) body, the Financial Action Task Force (FATF) looks to DNFBPs as the first line of defence against this form of criminal abuse of the financial system. With the enactment of the Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) Ordinance in 2011, Hong Kong will be expected to introduce similar AML legislation covering customer due diligence and record-keeping for DNFBP, including accountants.

This seminar, one of a series initiated by the Security Bureau for DNFBP, aims to:

- Provide an overview of the latest AML developments in Hong Kong and internationally.
- Enhance understanding of the statutory obligations and practices of suspicious transaction reporting.
- Provide a forum for discussion of matters of common concern on AML compliance and reporting.

### Target audience

Institute members in practice are encouraged to attend.

### Programme

<b>Date</b>	: 20 February 2013 (Wednesday)	2013年2月20日 (星期三)
<b>Time</b>	: 10:15 a.m. – 12:30 p.m.	2:30 p.m. – 4:45 p.m.
<b>Venue</b>	: Lecture Theatre, G/F., Hong Kong Central Library, Causeway Bay, Hong Kong	香港銅鑼灣 香港中央圖書館 演講廳
<b>Fee</b>	: Free of charge	免費
<b>Language</b>	: English	粵語
<b>Competency</b>	: Values, Ethics and Attitudes	Values, Ethics and Attitudes
<b>Rating</b>	: Foundation	Foundation
<b>Enrolment Deadline</b>	: 15 February 2013	2013年2月15日
<b>Programme Code</b>	: AML130220	CAML130220
<b>CPD Hour</b>	: 2 CPD hours	2 個持續專業進修學分

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### Rundown

<b>Registration</b>	9:45 a.m. – 10:15 a.m.	2:00 p.m. – 2:30 p.m.
<b>Opening</b>	10:15 a.m. – 10:25 a.m.	2:30 p.m. – 2:40 p.m.
<b>Address</b>	Mr Clement Chan, Vice President, HKICPA	陳美寶小姐，香港會計師公會副會長
<b>Sessions</b>	10:25 a.m. – 11:00 a.m. <b>Anti-Money Laundering and Counter-Financing of Terrorism - Alignment with the International Standards</b> Mr Thomas Yu, Assistant Secretary for Security, Narcotics Division, Security Bureau	2:40 p.m. – 3:15 p.m. <b>打擊清洗黑錢及恐怖分子融資活動 – 與國際標準</b> 余偉良先生，保安局毒品處助理秘書長
	11:00 a.m. – 11:30 a.m. <b>Suspicious Transaction Reporting, Mutual Obligations and Provisions, Securities</b> <b>Case Examples</b> Mr Alfred Chow, Senior Inspector, Joint Financial Intelligence Unit	3:15 p.m. – 3:45 p.m. <b>可疑交易申報 – 法律責任與保障、統計數字及</b> <b>分享</b> 陳敏女士，聯合財富情報組高級督察
	11:30 a.m. – 12:00 p.m. <b>Experience Sharing Session</b> Ms Mary Wong, Associate Director, Forensic, Deloitte & Touche Financial Advisory Services Limited	3:45 p.m. – 4:15 p.m. <b>經驗分享</b> 黃瑪麗小姐，德勤財務諮詢服務有限公司法證服務副總監
<b>Panel Discussion / Q&amp;A</b>	12:00 p.m. – 12:30 p.m. <b>Panel Chair:</b> Mr Clement Chan, Vice President, HKICPA	4:15 p.m. – 4:45 p.m. <b>主持:</b> 陳美寶小姐，香港會計師公會副會長

### Registration

The number of places is limited and in the event of over-subscription, places will be allocated on a first-come-first-served basis.

To register:

- **By post or by fax:** complete the attached enrolment form and return it to the Institute by fax or by post on or before the enrolment deadline of **15 February 2013**. Confirmation of registration will be sent by e-mail. If confirmation has not been received by **18 February 2013**, please contact Ms May Hung at 2287 7009 / Ms Canace Leung at 2287 7089.



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### Enrolment Form

Please allow 4 days to process your application. In order not to delay the process, please DO NOT make any enquiries on your enrolment status. You can check it at "My CPA" at <http://www.hkicpa.org.hk>.

AML130220 (English): 20 February 2013 or  
CAML130220(Cantonese): 20 February 2013  
(Enrolment deadline: 15 February 2013)

**FOR OFFICE USE**

Seq. no.: \_\_\_\_\_

Handled by: \_\_\_\_\_

Please use **BLOCK** letters:-

Name: (\*Mr./Mrs./Ms.) \_\_\_\_\_ KICPA Membership No: \_\_\_\_\_

Company Name: \_\_\_\_\_

Position: \_\_\_\_\_

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

I **shall** attend the following event (Choose **one** session only):

	<u>Event Code</u>	<u>Date</u>	<u>Time</u>	<u>Language</u>
Full	AML130220	20 February 2013	10:15 a.m. — 12:30 p.m.	English
OR				
Full	CAML130220	20 February 2013	2:30 p.m. — 4:45 p.m.	Cantonese

**Notes:**

- Enrolments are accepted on a first-come-first-served basis. No telephone reservation will be accepted.
- Applicant can register for one session only.
- The closing date for enrolment is 15 February 2013.
- Confirmation will be sent by e-mail on or before 18 February 2013 unless your application for registration is unsuccessful.
- Bad weather arrangement:  
The seminar will be cancelled if typhoon signal no.8 or above/ Black rainstorm warning is hoisted at or after 6:30 a.m. on the date of seminar.

**Enrolment Status Enquiry:** 2287 7381  
e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry:** 2287 7009 (May Hung)  
e-mail: [may@hkicpa.org.hk](mailto:may@hkicpa.org.hk)