



# Accountants' role in combating money laundering and terrorist financing

## 打擊清洗黑錢及恐怖分子融資活動 – 會計師的角色

### About the seminar

Accountants, lawyers and other "designated non-financial businesses and professions" (DNFBP) are seen as playing an important role internationally in combating money laundering and terrorist financing. The Financial Action Task Force (FATF), the international standard setter in this area, looks to DNFBPs, in addition to financial institutions, as a vital part of the defence against this form of criminal abuse of the financial system. DNFBP, therefore, need to be alert and implement effective controls, including customer due diligence and record keeping, to assist them in documenting and reporting suspicious transactions.

This update seminar, one of a series initiated by the Security Bureau for DNFBP, aims to:

- Provide an overview of the latest AML developments in Hong Kong and internationally.
- Enhance understanding of the statutory obligations and practices of suspicious transaction reporting.
- Provide a forum for discussion of matters of common concern on AML compliance and reporting.

### Programme

|   |  |  |
|---|--|--|
| <b>Session language</b>                     | : English  | Cantonese  |
| <b>Session code</b>                         | : EAML151008   | CAML151008   |
| <b>Date</b>                                 | : Thursday, 8 October 2015                                       | Thursday, 8 October 2015                                       |
| <b>Time</b>                                 | : 10:30 a.m. – 12noon  | 3:30 p.m. – 5:00 p.m.  |
| <b>Venue</b>                                | : Lecture Theatre,<br>Hong Kong Central Library,<br>Causeway Bay | Lecture Theatre,<br>Hong Kong Central Library,<br>Causeway Bay |
| <b>Fee</b>                                  | : Free   | Free   |
| <b>Enrolment</b><br>(Online enrolment only) | : <a href="#">Click to enrol for EAML151008</a><br>(English)     | : <a href="#">Click to enrol for CAML151008</a><br>(Cantonese) |
| <b>Enrolment deadline</b>                   | : 16 September 2015  |  |
| <b>Participants</b>                         | : HKICPA members. Members in practice are encouraged to attend.  |  |
| <b>Competency</b>                           | : Ethics and regulations   |  |
| <b>Rating</b>                               | : Foundation   |  |
| <b>CPD hour</b>                             | : 1.5 hours  |  |

\* Please refer [here](#) for descriptions of the various ratings.

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### Rundown

| Session   | English  | Cantonese   |
|---|--|---|
| <b>Registration</b>   | 10:00 a.m. – 10:30 a.m.  | 3:00 p.m. – 3:30 p.m.   |
| <b>Opening address</b>  | 10:30 a.m. – 10:40 a.m.<br>Ivy Cheung<br>Vice President, HKICPA  | 3:30 p.m. – 3:40 p.m.<br>Mabel Chan<br>Vice President, HKICPA   |
| <b>Presentations:</b>   |  |   |
| - <b>Anti-money laundering and counter-financing of terrorism – be our gatekeeper</b>                     | 10:40 a.m. – 10:50 a.m.<br>Kevin Lai<br>Assistant Secretary for Security,<br>Narcotics Division, Security Bureau         | 3:40 p.m. – 3:50 p.m.<br>Cyril Mak<br>Assistant Secretary for Security,<br>Narcotics Division, Security Bureau  |
| - <b>Suspicious transaction reporting: legal obligations and protection, statistics and case examples</b> | 10:50 a.m. – 11:20 a.m.<br>Arthur Hon<br>Senior Inspector<br>Joint Financial Intelligence Unit<br>Hong Kong Police Force | 3:50 p.m. – 4:20 p.m.<br>Arthur Hon<br>Senior Inspector<br>Joint Financial Intelligence Unit<br>Hong Kong Police Force  |
| - <b>Experience sharing session</b>   | 11:20 a.m. – 11:40 a.m.<br>Hokee Fu<br>Director, Regulatory Advisory Services,<br>PwC                                    | 4:20 p.m. – 4:40 p.m.<br>Sharon Lee<br>Manager, AML and Sanctions Services,<br>KPMG<br><br>Coco Yeung<br>Assistant Manager, AML and Sanctions<br>Services, KPMG |
| <b>Panel discussion / Q&amp;A</b>   | 11:40 a.m. – 12:00 noon  | 4:40 p.m. – 5:00 p.m.   |

### Notes

1. Enrolments are accepted on a first-come-first-served basis. No telephone reservation will be accepted.
2. Each member can enrol for one session only.
3. The closing date for enrolment is 16 September 2015.
4. Confirmation will be sent by e-mail on or before 6 October 2015 unless your enrolment is unsuccessful.
5. Please click [here](#) for bad weather arrangement.

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