



Supporting Organisation

Supporting Organisation

## The *Companies Ordinance* Turns Two 2 March 2016 (Wednesday)

As the *Companies Ordinance* (Cap 622) moves into its third year this seminar brings together industry experts to highlight and discuss issues that are of interest to the accountant, chartered secretary and lawyer. The distinguished speakers will identify areas of difficulties facing the practitioner and will advance proposals for consideration in the next stage of reform. The seminar will include an interactive panel discussion during which members of the floor will be engaged on ways to make our *Companies Ordinance* even more business and user friendly.

Date	: Wednesday, 2 March 2016
Time	: 2.30 pm – 5.45 pm (Registration at 2.00 pm)
Keynote speaker	: <b>Ms Ada Chung JP</b> , Registrar of Companies, Companies Registry
Speakers & Panellists	: <b>Mr Ernest Lee FCIS FCS(PE)</b> , Council Member, HKICS; Partner, Assurance, Professional Practice, EY <b>Mrs Natalia Seng FCIS FCS(PE)</b> , Past President, HKICS; Chief Executive Officer - China & Hong Kong, Tricor Group/Tricor Services Limited <b>Professor Say Goo FCIS FCS</b> , Professor, Faculty of Law, The University of Hong Kong <b>Mrs Karen Ho</b> , Consultant (Company Law), Companies Registry <b>Professor CK Low FCIS FCS</b> , Associate Professor in Corporate Law, CUHK Business School (Seminar Chair)
Level	: Intermediate
Language	: English
Venue	: Hong Kong General Chamber of Commerce, 22/F United Centre, 95 Queensway, Hong Kong
Fee	: HK\$450 for members of the HKICS, HKICPA or Law Society of Hong Kong HK\$750 for non-members
Accreditations	: HKICS (3 ECPD points) HKICPA (3 CPD hours) The Law Society of Hong Kong (3 CPD points(TBC))

In association with: Sponsors:



## The Companies Ordinance Turns Two 2 March 2016 (Wednesday)

### Programme Rundown

<b>2.00 pm – 2.30 pm</b>	<b>Registration</b>
<b>2.30 pm – 2.40 pm</b>	<b>Welcoming remarks</b>
<b>2.40 pm – 3.00 pm</b>	<b>Ms Ada Chung JP</b> , Registrar of Companies, Companies Registry (Keynote speaker)
<b>3.00 pm – 3.30 pm</b>	<b>Mrs Natalia Seng FCIS FCS(PE)</b> , Past President, HKICS; Chief Executive Officer - China & Hong Kong, Tricor Group/Tricor Services Limited
<b>3.30 pm – 4.00 pm</b>	<b>Mr Ernest Lee FCIS FCS(PE)</b> , Council Member, HKICS; Partner, Assurance, Professional Practice, EY
<b>4.00 pm – 4.30 pm</b>	<b>TBC</b>
<b>4.30 pm – 4.45 pm</b>	<b>Coffee Break</b>
<b>4.45 pm – 5.45 pm</b>	<b>Panel Discussion &amp; Q&amp;A</b>
	<b>Professor Say Goo FCIS FCS</b> , Professor, Faculty of Law, The University of Hong Kong
	<b>Mrs Karen Ho</b> , Consultant (Company Law), Companies Registry
	<b>Mr Ernest Lee FCIS FCS(PE)</b> , Council Member, HKICS; Partner, Assurance, Professional Practice, EY
	<b>Professor CK Low FCIS FCS</b> , Associate Professor in Corporate Law, CUHK Business School (Seminar and Panel Chair)
	<b>Mrs Natalia Seng FCIS FCS(PE)</b> , Past President, HKICS; Chief Executive Officer - China & Hong Kong, Tricor Group/Tricor Services Limited

In association with:

Sponsors:

## About the Presenters

### Keynote Speaker

#### Ms Ada Chung

##### **Ms Ada Chung JP**

Registrar of Companies, Companies Registry

Ms Ada Chung is a FCPA and a barrister. She was appointed as the Registrar of Companies in August 2007 and has since been heavily involved in the rewrite of the Companies Ordinance and the implementation of the new Companies Ordinance. As a representative of the Financial Secretary, Ms Chung is an ex-officio council member of the HKICPA. She also sits on the Financial Reporting Council. Before her appointment as the Registrar, she was a Deputy Law Officer of the Department of Justice.

### Speakers and Panellists

#### Mrs Natalia Seng

**Mrs Natalia Seng FCIS FCS(PE)** is Chief Executive Officer – China & Hong Kong of Tricor Group and an Executive Director of Tricor Services Limited. She leads the strategic development and management of Tricor's operations in China and Hong Kong. Mrs Seng has been in the founding team of the Company Secretarial Practice of EY in Hong Kong for 20 years before the practice is sold to Tricor Group. Her professional practice area covers business advisory, corporate governance, fiduciary services and regulatory compliance.

Mrs Seng is a Chartered Secretary, a Past President and retired Council Member of The Hong Kong Institute of Chartered Secretaries ("HKICS") and a retired Council Member of The Institute of Chartered Secretaries and Administrators in the United Kingdom ("ICSA").

Mrs Seng has been appointed by government as a lay member to the Council of the Hong Kong Institute of Certified Public Accountants ("HKICPA") from December 2013 to November 2015. She holds a Master's degree in Business Administration (Executive) from City University of Hong Kong.

She is also a Fellow of The Taxation Institute of Hong Kong ("TIHK") and The Hong Kong Institute of Directors ("HKIoD") and an appointed member of the Inland Revenue Department Users Group since 2009.

#### Mr Ernest Lee

**Mr Ernest Lee FCIS FCS(PE)** is a Partner of the Professional Practice Department of EY, China. He has over 20 years' experience in auditing and providing technical advice on accounting and auditing related matters in a variety of industries in the Asia-Pacific Area. Mr Lee is a Council Member of the Hong Kong Institute of Chartered Secretaries (the "HKICS"). He is a Vice-Chairman of the Professional Development Committee and the Membership Committee of the HKICS. Mr Lee is also a member of the Financial Reporting Standards Committee of the Hong Kong Institute of Certified Public Accountants (the "HKICPA"). He has been a member of the Review Panel for the HKICPA's Best Corporate Governance Disclosures Awards since 2003, and was a technical reviewer of the Professional Standards Monitoring Panel of the HKICPA for more than 10 years. He is also a technical reviewer of the Risk-Based Financial Statements Review Programme of the Financial Reporting Council.

## Speakers and Panellists

### Professor Say Goo

**Professor Say H Goo FCIS FCS** is Professor and Director of the Faculty's Asian Institute of International Financial Law (AIIFL) and Japan and Korea Programme. He joined HKU in 1995 after five years of teaching at the University of Exeter and the University of East Anglia, England. Over the years, he has taught many subjects including Contract Law, Land Law, Company Law, Insolvency Law and Trust, and Corporate Governance. In the past, he has also served as Deputy Head of Department of Law, Admissions Tutor, Founding Director of AIIFL and Founding Director of LLM (CFL) Programme.

He has acted as reviewer for Cambridge University Press, HKU Press, Ashgate Publishing Ltd, Cavendish Publishing Ltd, Journal of Corporate Law Studies (UK), Hong Kong Law Journal, Company and Securities Law Journal (Australia), and Journal of Business Law (UK), and some universities' promotion exercises. He has also appeared in local TV interviews.

He has delivered lectures in Beijing, Taipei, Tokyo, Zurich, Melbourne, Brisbane and Okinawa, and was invited by Professor John Ruggie of Harvard University (Special Representative of the Secretary General of UN on Business and Human Rights), to speak at an Expert Consultation in Toronto in Support of the Corporate Law Tools Project of the UN Special Representative and nominated by the HK Government to speak at an APEC conference in Washington DC. He has also delivered conference papers in Shanghai, Berlin, Reading, Hong Kong, Macau, Sydney, and Singapore.

### Mrs Karen Ho

**Mrs Karen Ho** is formerly the Deputy Principal Solicitor (Company Law Reform) of the Companies Registry, Mrs Karen Ho is now a Consultant in Company Law. Her main duty is to review and assist in any legislative amendments of the Companies Ordinance.

### Professor CK Low

**Professor Chee Keong Low ('CK') FCIS FCS** is an Associate Professor in Corporate Law at CUHK Business School with research interests in issues pertaining to corporate governance and the regulatory framework of capital markets. His research, which has published in journals in Australasia, Europe and the United States of America, is supported by private sector grants from EY, Noble Group, Sino Group and Tricor Services.

An Advocate and Solicitor of the High Court of Malaya, CK is a member of the Securities and Futures Appeals Tribunal as well as the Process Review Panel of the Financial Reporting Council in Hong Kong. He was previously a member of the Listing Committee of The Stock Exchange of Hong Kong from May 2006 to July 2010; the Financial Reporting Review Panel from July 2007 to July 2013 and served as a Director of the Asian Institute of Finance in Malaysia for three years through April 2012.

THE HONG KONG INSTITUTE OF CHARTERED SECRETARIES  
 ENHANCED CONTINUING PROFESSIONAL DEVELOPMENT PROGRAMME  
 Seminar Enrolment Form



Please complete this form and send with payment to enroll.  
 Please use a separate form for each seminar, one form for one attendee.

Seminar Title: The Companies Ordinance Turns Two  
 Seminar Date: 2 March 2016 Time: 2.30 pm – 5.45 pm  
 Full Name (Mr/Ms/Mrs): \_\_\_\_\_ Membership No.: \_\_\_\_\_  
 Company Name: \_\_\_\_\_ Job Title: \_\_\_\_\_  
 Mobile No.: \_\_\_\_\_ (SMS notification in case of urgent notice)

**For Non-member ONLY**

Corresponding Address: \_\_\_\_\_  
 Email Address: \_\_\_\_\_

I agree to subscribe to HKICS eNewsletter

Seminar Fee\*: HKICS / HKICPA / Law Society Members ..... HK\$ \_\_\_\_\_  
 (Please circle\*) Non-member ..... HK\$ \_\_\_\_\_

CPD points required from The Law Society of Hong Kong

Total	HK\$
-------	------

Payment Method (Please tick as appropriate)

EPS / Octopus / UnionPay (In person)

Cheque (Payable to 'HKICS' and submit to HKICS office. Post-dated cheque will NOT be accepted; please mark your '[Name]', '[Description]' and '[Membership/Student No.]' at the back of the cheque.)

Bank : \_\_\_\_\_ Cheque No. : \_\_\_\_\_

Credit Card (I authorise HKICS to debit the payment from my credit card)

Chartered Secretaries American Express     VISA     MasterCard

Name of Cardholder (in BLOCK letters) : \_\_\_\_\_

Credit Card No. : \_\_\_\_\_ Card Expiry date: \_\_\_\_\_ MM \_\_\_\_\_ YY

Cardholder's signature: \_\_\_\_\_ Date: \_\_\_\_\_

(Must be the same signature as on the credit card)

Statement on Collection of Personal Data

- (i) Your supply of personal data to us is on a voluntary basis.
- (ii) The information provided by you to us will be used for administration and management; membership admission, registration maintenance and administration; enforcement of members' compliance of the provisions of the Royal Charter and Bye-laws of ICSA and the Articles of Association of HKICS for the time being in force and related matters; communications; assessment of qualifications and experience; examinations; continuing professional development; surveys, analysis, research and development; promotion of the profession, ICSA and HKICS; and marketing and provision of services and benefits and organising activities to members, graduates and students.
- (iii) The information provided by you herein may be made available to our related companies or associates, group sister associations, agents, contractors, business associates or service providers or other professional bodies or government bodies or regulators, as may be necessary for any of the above purposes.
- (iv) Apart from the purposes stated above, personal information will not be transferred to any other parties, unless such parties are authorised by law and request the information.
- (v) Under the Personal Data (Privacy) Ordinance, you have a right to request access to and correction of your personal data. Such requests may be made in writing to our Data Protection Officer at 3rd Floor, Hong Kong Diamond Exchange Building, 8 Duddell Street, Central, Hong Kong or by email to us at [privacyofficer@hkics.org.hk](mailto:privacyofficer@hkics.org.hk)

Terms & Conditions

- (i) Enrolment can only be processed upon receipt of full payment on a first-come first-served basis. Priority enrolment for HKICS Fellows and/or Practitioner's Endorsement (PE) holders with seat guarantee if registered ten clear working days prior to the date of the event.
- (ii) Payment MUST be received 2 days before the event, or you are NOT allowed to attend the event.
- (iii) Post-dated cheque will not be accepted.
- (iv) NO REFUND/CANCELLATION will be entertained after the application is processed. Substitution is allowed with HK\$100 administration fee made by cheque, credit card or in person made by EPS to the Institute with 'Transfer of Enrolment Form', which should be received by the Institute at least 2 clear working days prior to the event date. Substitution of enrollee is not applicable to ECPD Programme Package (individual) holder.
- (v) Transfer of registration is allowed for medical reason, request of transfer with medical certificate MUST be made to the Institute within 5 clear working days immediately after the event date.
- (vi) The Institute reserves the right to change the listed presenter(s), date, time and venue if necessary. Any change of date, time and venue will be notified by email/sms. Please visit [www.hkics.org.hk](http://www.hkics.org.hk) for more seminars of update information.
- (vii) When attending courses, attendees should make sure that their attendance at the entire course is noted by signing in and signing out of the course. No ECPD point will be awarded without sign in/out.
- (viii) ECPD points will be deducted for late arrival or early departure of the course. According to the Attendance Policy (clause 5.1 of HKICS' ECPD Programme Guide), 0.5 ECPD point will be deducted for late arrival or early departure over 10 minutes to 30 minutes. 1 ECPD point will be deducted for late arrival or early departure over 30 minutes to 60 minutes. NO ECPD point will be awarded for late arrival or early departure over 60 minutes.

I hereby declare that I have read and fully understand the above.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_