



# Combating money laundering and terrorist financing

## 打擊清洗黑錢及恐怖分子融資活動

### About the seminar

The international anti-money laundering body, the Financial Action Task Force (“FATF”), expects accountants, lawyers and other Designated Non-Financial Businesses and Professions (“DNFBP”) to play an increasingly important role, alongside banks and other financial institutions, in the fight against money laundering and terrorist financing. As one of the world’s major financial centres, Hong Kong also needs to safeguard its financial system against criminal abuse of this kind, and legislation is in the pipeline to implement key FATF requirements. In this seminar, Hong Kong SAR Government and Institute representatives will explain and discuss what accountants should do to prevent money laundering and terrorist financing.

This forum is one of a series of anti-money laundering (“AML”) seminars organised by the Security Bureau for DNFBP, the objectives of which are:

- ✓ To provide DNFBP with an overview of the latest international and domestic AML developments.
- ✓ To enhance understanding of the statutory obligations and practices of suspicious transaction reporting.
- ✓ To provide a forum for discussion of matters of common concern on AML compliance and reporting.

### Target audience

Institute members in practice are encouraged to attend.

### Programme

<b>Date</b>	: 4 March 2010 (Thursday)	二零一零年三月四日 (星期四)
<b>Time</b>	: 9:00 a.m. – 12:00 p.m.	2:00 p.m. – 5:00 p.m.
<b>Venue</b>	: Lecture Theatre, G/F., Hong Kong Central Library, Causeway Bay, Hong Kong.	香港銅鑼灣 香港中央圖書館地下 演講廳
<b>Fee</b>	: Free of charge	全免
<b>Language</b>	: English	粵語
<b>Competency</b>	: Values, Ethics and Attitudes	Values, Ethics and Attitudes
<b>Rating</b>	: Foundation	Foundation
<b>Enrolment Deadline</b>	: 25 February 2010	二零一零年二月二十五日
<b>Programme Code</b>	: AML100304	CAML100304
<b>CPD Hour</b>	: 2.5 hours	2.5 個持續專業進修學分

\* Please refer [here](#) for descriptions of the various ratings.

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### Rundown

<b>Registration</b>	8:30 a.m. – 9:00 a.m.	1:30 p.m. – 2:00 p.m.
<b>Opening</b>	9:00 a.m. – 9:10 a.m.	2:00 p.m. – 2:10 p.m.
<b>Address</b>	Mr Albert AU, Past President, HKICPA	蔡永忠先生, 香港會計師公會副會長
<b>Sessions</b>	9:10 a.m. – 9:40 a.m. <b>International Standards on Regulating DNFBPs and the Way Forward</b> Mr PY MA, Assistant Secretary, Narcotics Division, Security Bureau	2:10 p.m. – 2:40 p.m. <b>規管指定非金融企業及行業的國際標準與未來方向</b> 郭仲佳先生, 保安局禁毒處助理秘書長
	9:40 a.m. – 10:10 a.m. <b>Suspicious Transaction Reporting: Legal Obligations and Protection</b> Ms Elizabeth LIU, Senior Government Counsel, International Law Division, Department of Justice	2:40 p.m. – 3:10 p.m. <b>舉報可疑交易 – 法律責任與保障</b> 馮淑賢女士, 律政司刑事檢控科高級檢控官
	10:10 a.m. – 10:40 a.m. <b>Suspicious Transaction Reporting: Statistics and Case Examples</b> Mr Stewart MCGLYNN, Chief Inspector of Police, Joint Financial Intelligence Unit	3:10 p.m. – 3:40 p.m. <b>舉報可疑交易 – 統計數字和個案分享</b> 謝振中先生, 聯合財富情報組總督察
	10:40 a.m. – 11:00 a.m. <b>Break</b>	3:40 p.m. – 4:00 p.m. <b>休息</b>
	11:00 a.m. – 11:30 a.m. <b>Experience Sharing Session</b> Mr Steve ROGERSON, Senior Manager, Transaction & Restructuring, Forensic, KPMG	4:00 p.m. – 4:30 p.m. <b>經驗分享</b> 黃頌賢小姐, 德勤財務諮詢服務有限公司法證會計服務副總監
<b>Panel Discussion / Q&amp;A</b>	11:30 a.m. – 12:00 p.m. <b>Panel Chair:</b> Mr Raphael DING, Council Member, HKICPA	4:30 p.m. – 5:00 p.m. <b>主持:</b> 陳美寶小姐, 香港會計師公會理事

### Registration

The number of places is limited and in the event of over-subscription, places will be allocated on a first-come-first-served basis.

To register:

- **By post or by fax:** complete the attached enrolment form and return it to the Institute by fax or by post on or before the enrolment deadline of 25 February 2010.

Confirmation of registration will be sent by e-mail. If confirmation has not been received by 1 March 2010, please contact Ms May Hung at 2287 7009 / Ms Canace Leung at 2287 7089.



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**Enrolment Form**

Please allow 4 days to process your application. In order not to delay the process, please DO NOT make any enquiries on your enrolment status. You can check it at "My CPA" at <http://www.hkicpa.org.hk>.

**AML100304 (English): 4 Mar 2010 or CAML100304 (Cantonese):  
 4 Mar 2010**

**(Enrolment deadline: 25 Feb 2010)**

**FOR OFFICE USE**

Seq. no.: \_\_\_\_\_

Handled by: \_\_\_\_\_

**Please use BLOCK letters:-**

Name: (\*Mr./Mrs./Ms.) \_\_\_\_\_ HKICPA Membership No: \_\_\_\_\_

Company Name: \_\_\_\_\_

Position: \_\_\_\_\_ Email: \_\_\_\_\_

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

I **shall** attend the following event (Choose **one** session only):

	<u>Event Code</u>	<u>Date</u>	<u>Time</u>	<u>Language</u>
FULL	AML100304	4 Mar 2010	9:00 a.m.—12:00 p.m.	English
OR				
FULL	CAML100304	4 Mar 2010	2:00 p.m.—5:00 p.m.	Cantonese

**Notes:**

1. Enrolments are accepted on a first-come-first-served basis. No telephone reservation will be accepted.
2. Applicant can register for one session only.
3. The closing date for enrolment is 25 February 2010.
4. Confirmation will be sent by e-mail on or before 1 March 2010 unless your application for registration is unsuccessful.
5. Bad weather arrangement:  
 The seminar will be cancelled if typhoon signal no.8 or above/ Black rainstorm warning is hoisted at or after 6:30 a.m. on the date of seminar.

**Enrolment Status Enquiry: 2287 7381**  
 e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry: 2287 7009 (May Hung)**  
 e-mail: [mcs.msce@hkicpa.org.hk](mailto:mcs.msce@hkicpa.org.hk)