# **Prepare** your CPA firm for Aguide to Quality Contro Quality Quality Control. **Assurance** Order "A guide to Quality Control" today Co-authors Mr. Stuart Hartley, FCA, CA·IT Mr. Marcus A. Guenther, MBA, CA **Price** CPA Horny Korny Institute of Certified Public Accountants HK\$1,000 Member/Student HK\$1,500 Non-member

# Over 200 pages of practical advice for equipping your firm on quality control

- A guide to Quality Control will assist you and your CPA firm in understanding, developing and documenting quality control policies and procedures in accordance with Hong Kong Standard on Quality Control 1.
- The guide outlines objectives of good control and risks associated with poor quality control.
- The guide helps CPA firms establish a system of quality control which
  provides the firms with a reasonable assurance that they and their
  personnel comply with professional standards and regulatory and
  legal requirements, and that their reports issued are appropriate in
  the circumstances.
- The guide focuses on the development of quality control policies and procedures for CPA firms.

## A Value-Added Guide

- The guide contains sample quality control manuals and practice aids to suit different size of CPA firms:
  - sole practitioners without professional staff
  - sole practitioners with professional staff
  - small to medium-sized firms without listed entity audits
  - small to medium-sized firms with listed entity audits
- Other reference materials that may be helpful in addressing specific issues.
- For ease-of-use, templates of the sample quality control manuals in Word format and the appendices are included in the CD-Rom that accompanies the guide. The Word files can be used to customise the appropriate policies, forms and sample engagement letters to meet your firm's specific needs.



### Part A

Objectives, risks and strategies:

- Managing professional risk
- Independence and ethics
- The firm environment
- Human resources risk
- Engagement risk

#### Part B

Sample quality control manuals and appendices

## Part C

Practice aids

#### Part D

Other reference materials

The guide is now available at the Institute's Member Services Counter on 27th Floor, Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong. Please complete the attached order form together with payment to purchase your copy today.

For enquiry, please contact Member & Corporate Services Department at tel: 2287 7067/2287 7008.



# Order Form - A Guide to Quality Control

To: Member & Corporate Services Department

Enquiries: 2287 7067 / 2287 7008 Email: mcs.scm@hkicpa.org.hk

Fax: 2803 4620

To purchase the Guide, please complete this order form together with payment and visit our service counter, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong.

House, 213 Queen's Road East, Wanchai, Ho	ong Kong.															
Subscriber's Information																
Practice/firm name:																
Name of member/subscriber: (Ms./Mr.	)															
Membership/student no. (if applicable):																
Telephone no.:																
Email address:																
Correspondence address:																
	Unit p	rice			Quan	titv				T	otal	lar	no	unt		
(Please "⊠" as appropriate)									-							
☐ Member/Student	HK\$1,0	000	Х					_								
□ Non-member	HK\$1,5	500	Χ -					_ =	_							
For delivery, please add postage ar			arges:					•	_							
Local	HK\$60	•	х					=								
Overseas	HK\$29	0	x -					=	_							
						Total:				HK\$						
for local subscribers and registered airmail address provided serves this purpose. In cas Institute's office. Postage and handling charge 2. The Institute will not bear any responsibility	se of unsu ges paid w	ccessful d ill not be r	elivery, t efunded	he su	ıbscribe	r will	be n	otifie	ed to	colle	ect th	ne pu	ublic			
D +0		/D1					`									
Payment by:		_(Bank:					_ )									
		O = u = l = = .											Ī	$\top$	Τ	Т
For payment by credit card, please fill in the follo	owing:	Card no.:											<u></u>	Ш	<u>L</u>	
Cardholder's Name : (Please print)		Card Exp	iry Date	(mo	nth/yea	ır) :										
Date :		Cardholder's Signature :														
* The cheque should be in Hong Kong Dollar and made payable to "Hong Kong Institute of Certified Public Accountants".						FOR OFFICE USE										
		Auth. code no.				Handled by				Date						
	 low)															
Name:								F	or	Offic	ce U	lse	On	lly		
Delivery address:					Serial no.:											
						Receipt no.:										
			Н	andle	d by	<i>י</i> :										