



# What accountants should do to combat money laundering and terrorist financing

## 打擊清洗黑錢及恐怖分子融資活動 - 會計師的角色

### About the seminar

The international anti-money laundering ("AML") body, the Financial Action Task Force ("FATF"), expects accountants, lawyers and other Designated Non-Financial Businesses and Professions ("DNFBP") to play an increasingly important role, alongside financial institutions, in the fight against money laundering and terrorist financing. As one of the world's major financial centres, Hong Kong needs to safeguard its financial system against criminal abuse of this kind. Legislation to give statutory backing to customer due diligence and record keeping requirements for AML purposes is being introduced in Hong Kong - currently for financial service providers and later for DNFBP.

In this seminar, Hong Kong SAR Government Security Bureau and Institute representatives will explain and discuss the role of accountants in AML. This is one of a series of seminars initiated by the Security Bureau for DNFBP, which aim to:

- ✓ Provide DNFBP with an overview of the latest international and domestic AML developments.
- ✓ Enhance understanding of the statutory obligations and practices of suspicious transaction reporting.
- ✓ Provide a forum for discussion of matters of common concern on AML compliance and reporting.

### Target audience

Institute members in practice are encouraged to attend.

### Programme

<b>Date</b>	: <b>3 March 2011 (Thursday)</b>	二零一一年三月三日 (星期四)
<b>Time</b>	: <b>9:00 a.m. – 12:00 p.m.</b>	<b>2:00 p.m. – 5:00 p.m.</b>
<b>Venue</b>	: Lecture Theatre, G/F., Hong Kong Central Library, Causeway Bay, Hong Kong.	香港銅鑼灣 香港中央圖書館地下 演講廳
<b>Fee</b>	: Free of charge	全免
<b>Language</b>	: English	粵語
<b>Competency</b>	: Values, Ethics and Attitudes	Values, Ethics and Attitudes
<b>Rating</b>	: Foundation	Foundation
<b>Enrolment Deadline</b>	: 24 February 2011	二零一一年二月二十四日
<b>Programme Code</b>	: AML110303	CAML110303
<b>CPD Hour</b>	: 3 CPD hours	3 個持續專業進修學分

\* Please refer [here](#) for descriptions of the various ratings.

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### Rundown

<b>Registration</b>	8:30 a.m. – 9:00 a.m.	1:30 p.m. – 2:00 p.m.
<b>Opening</b>	9:00 a.m. – 9:10 a.m.	2:00 p.m. – 2:10 p.m.
<b>Address</b>	Mr Raphael Ding, Council Member, HKICPA	陳錦榮先生, 香港會計師公會理事
<b>Sessions</b>	9:10 a.m. – 9:45 a.m. <b>International Standards on Regulating DNFBPs and the Way Forward</b> Mr Gordon Yam, Assistant Secretary, Narcotics Division, Security Bureau	2:10 p.m. – 2:45 p.m. <b>規管指定非金融企業及行業的國際標準與未來方向</b> 任偉國先生, 保安局禁毒處助理秘書長
	9:45 a.m. – 10:25 a.m. <b>Suspicious Transaction Reporting: Legal Obligations and Protection, Statistics and Case Examples</b> Mr Bernard Law, Chief Inspector, Joint Financial Intelligence Unit	2:45 p.m. – 3:25 p.m. <b>舉報可疑交易 - 法律責任與保障、統計數字及個案分享</b> 陸雪霞女士, 聯合財富情報組女偵緝高級督察
	10:25 a.m. – 10:45 a.m. <b>New Development on UNATMO</b> Mr Yau Kin-hung, Chief Inspector, Hong Kong Police Mr Lui Kwan-lap, Inspector, Hong Kong Police	3:25 p.m. – 3:45 p.m. <b>有關《聯合國(反恐怖主義措施)條例》的最新發展</b> 游健雄先生, 香港警務處總督察 雷君納先生, 香港警務處督察
	10:45 a.m. – 11:00 a.m. <b>Break</b>	3:45 p.m. – 4:00 p.m. <b>休息</b>
	11:00 a.m. – 11:30 a.m. <b>Experience Sharing Session</b> Mr Roger Knight, Consultant, Assurance Risk & Quality, PwC	4:00 p.m. – 4:30 p.m. <b>經驗分享</b> 林芝強先生, 香港立信德豪會計師事務所法證會計及調查服務主管
<b>Panel Discussion / Q&amp;A</b>	11:30 a.m. – 12:00 p.m. <b>Panel Chair:</b> Mr Raphael Ding, Council Member, HKICPA	4:30 p.m. – 5:00 p.m. <b>主持:</b> 陳錦榮先生, 香港會計師公會理事

### Registration

The number of places is limited and in the event of over-subscription, places will be allocated on a first-come-first-served basis.

To register:

- **By post or by fax:** complete the attached enrolment form and return it to the Institute by fax or by post on or before the enrolment deadline of 24 February 2011.

Confirmation of registration will be sent by e-mail. If confirmation has not been received by 28 February 2011, please contact Ms May Hung at 2287 7009 / Ms Canace Leung at 2287 7089.



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**Enrolment Form**

Please allow 4 days to process your application. In order not to delay the process, please DO NOT make any enquiries on your enrolment status. You can check it at "My CPA" at <http://www.hkicpa.org.hk>.

**AML110303 (English): 3 Mar 2011 or CAML110303 (Cantonese):  
 3 Mar 2011**

**(Enrolment deadline: 24 Feb 2011)**

**FOR OFFICE USE**

Seq. no.: \_\_\_\_\_

Handled by: \_\_\_\_\_

**Please use BLOCK letters:-**

Name: (\*Mr./Mrs./Ms.) \_\_\_\_\_ HKICPA Membership No: \_\_\_\_\_

Company Name: \_\_\_\_\_

Position: \_\_\_\_\_ Email: \_\_\_\_\_

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

I **shall** attend the following event (Choose **one** session only):

	<u>Event Code</u>	<u>Date</u>	<u>Time</u>	<u>Language</u>
<input type="checkbox"/>	AML110303	3 Mar 2011	9:00 a.m. – 12:00 p.m.	English
OR				
<input type="checkbox"/>	CAML110303	3 Mar 2011	2:00 p.m. – 5:00 p.m.	Cantonese

**Notes:**

1. Enrolments are accepted on a first-come-first-served basis. No telephone reservation will be accepted.
2. Applicant can register for one session only.
3. The closing date for enrolment is **24 February 2011**.
4. Confirmation will be sent by e-mail **on or before 28 February 2011** unless your application for registration is unsuccessful.
5. Bad weather arrangement:

The seminar will be cancelled if typhoon signal no.8 or above/ Black rainstorm warning is hoisted at or after 6:30 a.m. on the date of seminar. In the event of bad weather, admission fee will be refunded.

**Enrolment Status Enquiry: 2287 7381**  
 e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry: 2287 7009 (May Hung)**  
 e-mail: [mcs.msce@hkicpa.org.hk](mailto:mcs.msce@hkicpa.org.hk)