

# Fraud Investigation in Hong Kong

## A Four Part Training Course (Advanced Level)



Saturday mornings in September, 2014  
Hong Kong

~ Visit Us Online: [www.hkacfe.com](http://www.hkacfe.com) ~

### CPE Hours:

12 (3 for each session)

### Date / Time:

Sept 6th, 13th, 20th, 27th,  
2014  
9 am - 12 pm

### Venue:

The University of Hong Kong  
(venue details to be announced)

### About the Course:

- Brought to you by the Hong Kong Chapter of the Association of Certified Fraud Examiners.
- A four part training course to be held on Saturday mornings in September 2014, tailored to the needs of Hong Kong fraud examiners and offering a total of 12 CPE hours.
- This training covers areas which are specific to Hong Kong, and which are not covered elsewhere in ACFE training materials.
- The content will assist candidate CFEs preparing for their CFE examination, and draw on the experience of locally based CFEs and experts in legal practice and psychology to consider topics that will broaden the expertise of our certified members.

### About the Organizer:

- With more than 70,000 members, the ACFE is the world's largest anti-fraud organization and premier provider of anti-fraud training and education.
- The ACFE Hong Kong Chapter which is a not-for-profit organization, holds regular social and training activities to connect anti-fraud professionals and promote professional advancement in the prevention, detection and investigation of fraud in Hong Kong.

### Who Should Attend:

- Fraud investigators and fraud examiners in both the private and public sectors, compliance officers, accountants, auditors, regulators as well as risk control and internal control personnel.



## Course Details

### Saturday 6th September 2014 — The Mind Game

9 am - 12 pm - (3 Hours) / 3 CPE Credits

**Presenter:** LEUNG Sze Chung, BSc, MSc, FSSocDip, MFSSoc

Mr. SC Leung is a former Assistant Government Chemist with experience across many disciplines, including toxicology, narcotics, and specializing in questioned documents. Mr. Leung has given expert testimony many times in many high profile cases, including fraud cases which relied on documentary proof, and has contributed widely to the science of forensic examination through many published papers. He now operates his own consulting services; Scientific Consultancy Limited.

**Syllabus:** The Mind Game is an in-depth interactive training program relating to expert evidence in court. It can be seen as reference material to fraud examiners, forensic scientists, and practitioners of the legal profession. The workshop comprising 3 parts of “Court Dynamics”, “Tactics” and “Case Examples and Exercises”, is designed to enhance communication and presentation skills of court attendees, as well as their ability to provide appropriate responses to challenging questions from Counsel. The first section “Court Dynamics” discusses the situation of an expert in, and his or her interaction with, the other members in Court. The second section “Tactics” discusses some of the lawyers’ tactics and the skills or techniques that the experts should use in response. The third section comprises 6 real case examples with exercises for the participants. They will illustrate some of the more important aspects in the “theoretical” sections previously introduced. The Q&As in the exercises are all very general in nature, so that participants would not need to have any knowledge in the specialist subjects to be able to complete them.

### Saturday 13 September 2014 — Anti-Money Laundering (AML)

9 am - 12 pm (3 Hours) / 3 CPE Credits

**Presenter:** Ms. Hue Dang, Head of Asia, Association of Certified Anti-Money Laundering Specialists (ACAMS)

Ms. Dang is a Certified Anti-Money Laundering Specialist (CAMS), and also an ACAMS-accredited trainer, who has conducted numerous AML trainings around Asia, including for central banks, private-sector financial institutions, and universities. Prior to joining ACAMS, Ms. Dang was a Bank Examiner with the Federal Reserve Bank of Boston, Manager with Barclays Capital’s Investment Banking Division in Singapore, Director for Business Development at Citibank’s Global Consumer Banking Group in Singapore, and Director of Business Development (Asia Pacific) for Thomson Financial in Hong Kong. Ms. Dang holds educational degrees from the US, with a Bachelor of Arts Degree with honors from Amherst College and a Master in Public Policy from Harvard University.

**Syllabus:** While many will consider themselves to have a good understanding of anti-money laundering, or more likely have a solid understanding of money-laundering activities, the practical application and implementation of money-laundering prevention continue to be a challenge to both current practitioners as well as new entrants to the anti-money laundering compliance field. The most-oft mentioned approach to practical AML compliance is the Risk-Based Approach (RBA), but what does this mean from the viewpoint of practical implementation?

In this session, we will cover the following:

- Two key pillars in RBA
- Explore the practical examples of each key pillar
- Put RBA in the context of recent enforcement cases

At the conclusion of this session, the participant should have a comprehensive overview of what makes an effective AML compliance program, based on international standards, as well as within the context of HK’s AML Ordinance.

### Saturday 20 September 2014 — Presenting Evidence

9 am - 12 pm (3 Hours) / 3 CPE Credits

**Presenter:** Mr. Gavin Shiu, Senior Assistant Director of Public Prosecutions, Department of Justice

Qualified as a solicitor in the United Kingdom in 1990, Gavin was appointed Crown Counsel in the then Legal Department of the Hong Kong Government in 1994. He has served solely in the Prosecutions Division in a wide range of the work of Hong Kong’s prosecutorial authority and was promoted to Senior Crown Counsel in 1995 and to Senior Assistant Director of Public Prosecutions in 2004. Gavin is the former head of the Asset Recovery Section tasked with criminal confiscation under the Drug Trafficking (Recovery of Proceeds) Ordinance and Organized & Serious Crimes Ordinance and has appeared as appeal counsel in all level of courts in Hong Kong.

**Syllabus:** This is one of the least understood parts of the law for most lawyers and investigators. Many academic courses on law in the past had no separate subject of presenting evidence. This is because the subject involves common sense and experience of life to really appreciate what can be achieved and the practical application of the subject. There are rules of evidence and the finer points of some of these rules may require an understanding of some involved case law. There are three things to remember:

- What do you want to prove? And why?
- How best to prove it? And why?
- Is the evidence in admissible form and if not, can it be obtained in an admissible form?

If these questions are kept in mind when planning an investigation; searching for evidence; considering possible documentary evidence; deciding on whether to prosecute (or make a police report) and reviewing steps taken, then the likelihood of success should be good.

# Course Details

**Saturday 27 September 2014 — Thinking About Crime**

**9 am -12 pm - (3 Hours) / 3 CPE Credits**

**Presenter: Karen Joe Laidler, B.A., M.A., Ph.D. Calif. Davis, Professor of Sociology,  
Director of Centre for Criminology, University of Hong Kong.**

Professor Laidler is an educator with a distinguished background in research and criminology in Hong Kong. She has made the study of gangs and organised crime in Hong Kong her speciality.

**Syllabus:** Investigators develop a good working knowledge of detecting, and over time, may begin to see particular patterns with different types of economic crimes. The aim of this session is to facilitate investigators' understanding of thinking about crime in the broader context. Criminologists analyze these patterns and trends in different locales and times in an attempt to explain why crime occurs. This session then will introduce participants to various criminological explanations about crime from classical views to more contemporary stances with a specific focus on assessing how trends in their company can be understood in their immediate environment and in a global context.

CPE Credits

Each three-hour session will earn 3 CPE points. Members attending all the sessions can earn 12 CPE points.

## Registration Guide

A maximum of 60 places are available for this course.

Seats will only be reserved on a first-come-first-serve basis and after both completed Registration Form and payment are received.

Substantial discounts are offered for members of the Hong Kong Chapter, and students / members of selected organizations : HKU, VTC, ASIS, HKICPA and HKSFA. Pricing is shown in the attached registration form.

In addition to members discounts, participants are also entitled to a 10% discount for groups with at least 5 participants.

### Terms and Conditions:

- ◆ Registration will be confirmed upon receipt of Registration Form and Payment.
- ◆ Payment is non-refundable, but transfer to another person of the same category will be accepted.
- ◆ Participants may be refused admission if payment is not received prior to the event.
- ◆ The organizers reserve the right to substitute speakers and alter the programme for reasons beyond their control.
- ◆ Update information will be available on [www.hkacfe.com](http://www.hkacfe.com).
- ◆ CPE Points will be awarded for attendance, confirmed by signing in on each day of the course.
- ◆ 3 CPE points will be awarded for each day of the course with a possible maximum credit of 12 CPE points.

### How to Pay

#### By Direct Deposit,

Pay to the Bank of East Asia A/C  
No. 015-514-40-67876-7 Account  
Name: "Association of Certified  
Fraud Examiners Hong Kong Chapter Limited"

#### By Cheque -

Payable to "Association of Certified  
Fraud Examiners Hong Kong Chapter Limited"

### How to Register

Complete and send the Registration Form and Payment to "Association of Certified Fraud Examiners Hong Kong Chapter Limited":

#### By post:

GPO Box 8412, Central, HK

By fax: (852) 37640815

#### By email:

[events@hkacfe.com](mailto:events@hkacfe.com)

## Fraud Investigation Training

### CPE Hours:

12

### Date / Time:

Sept 6th, 13th, 20th, 27th,  
2014  
9 am - 12 pm

### Venue:

The University of Hong Kong  
(venue details to be announced)

### Organized By:



### In Partnership With:



香港大學  
THE UNIVERSITY OF HONG KONG

**To:** Association of Certified Fraud Examiners Hong Kong Chapter Limited  
**fax:** (852) 37640815  
**email:** events@hkacfe.com  
**post:** GPO Box 8412, Central, Hong Kong

## Registration Form

Categories of Participants	6 Sept 2014	13 Sept 2014	20 Sept 2014	27 Sept 2014	All Four Sessions
ACFE Members, Students / Members of HKU, VTC, ASIS, HKICPA and HKSFA ( <i>on or before 30 Aug 2014</i> )	HK\$450	HK\$450	HK\$450	HK\$450	HK\$1500
ACFE Members, Students / Members of HKU, VTC, ASIS, HKICPA and HKSFA ( <i>after 30 Aug 2014</i> )	HK\$600	HK\$600	HK\$600	HK\$600	HK\$2000
<b>No. of Participants</b>					
<b>Sub-total:</b>					
<b>Non-Members (on or before 30 Aug 2014)</b>	HK\$750	HK\$750	HK\$750	HK\$750	HK\$2500
<b>Non-Members (After 30 Aug 2014)</b>	HK\$900	HK\$900	HK\$900	HK\$900	HK\$3000
<b>No. of Participants</b>					
<b>Sub-total:</b>					
<b>10% Group Discounts (5 or more persons)</b>					
<b>GRAND TOTAL:</b>					

Name:		Employer:			
Email:		Phone:		Registration for: Sept 6 / 13/ 20 / 27 / All	
Member of ACFE HK* / ACFE (non HK Chapter#) Member (ACFE Membership No. _____)					
Student / Member of HKU*, VTC, ASIS, HKICPA and HKSFA (Student* / Membership No. _____)					
Name:		Employer:			
Email:		Phone:		Registration for: Sept 6 / 13/ 20 / 27 / All	
Member of ACFE HK* / ACFE (non HK Chapter#) Member (ACFE Membership No. _____)					
Student / Member of HKU*, VTC, ASIS, HKICPA and HKSFA (Student* / Membership No. _____)					
Name:		Employer:			
Email:		Phone:		Registration for: Sept 6 / 13/ 20 / 27 / All	
Member of ACFE HK* / ACFE (non HK Chapter#) Member (ACFE Membership No. _____)					
Student / Member of HKU*, VTC, ASIS, HKICPA and HKSFA (Student* / Membership No. _____)					
Name:		Employer:			
Email:		Phone:		Registration for: Sept 6 / 13/ 20 / 27 / All	
Member of ACFE HK* / ACFE (non HK Chapter#) Member (ACFE Membership No. _____)					
Student / Member of HKU*, VTC, ASIS, HKICPA and HKSFA (Student* / Membership No. _____)					

# Note to ACFE (non HK Chapter) Member: To become a member of ACFE HK and stay close with local professional circle, you have to be a valid ACFE member and also register with ACFE HK. Please visit <http://www.hkacfe.com/join-acfe-hk> to find out more details and to join the chapter. **ACT NOW** to stay in tune.