



HKICPA Anti-Money Laundering Workshop

About the topic

The Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) (Amendment) Ordinance 2018 became effective on 1 March 2018. Accountants working in professional firms, lawyers and trust or company service providers, among others, are required to conduct customer due diligence and record keeping when they carry out particular kinds of transactions for their clients. Failure to comply with the new requirements could result in disciplinary action being taken. Therefore, it is important for accountants and other relevant professionals to equip themselves for these requirements.

Given the demand, the Institute will rerun this workshop in Cantonese on 28 July 2018 and in English on 25 August 2018. It aims to provide members with essential knowledge about financial and "white-collar" crimes and AML methods relevant to professional practices. Broad topics to be covered in the workshop are:

- Roles of professional accountants, auditors, lawyers and other gatekeepers in preventing financial and "white collar" crime
- Common fraud schemes
- Stages and methods of money laundering
- Latest financial crime and anti-money laundering regulations
- Detection and prevention of financial crime/fraud
- Brief introduction of financial crime investigation: how to handle the investigation process?
- Court case studies

About the event

Date	Saturday, 28 July 2018	Saturday, 25 August 2018
Language	Cantonese	English
Event Code	WSHP18072801	WSHP18082501
Time	9:30 a.m. to 12:45 p.m., including a 15-minute break	
Venue	United Conference Centre, 10/F, United Centre, 95 Queensway, Admiralty, Hong Kong	
Format	Workshop	
Fee	HKICPA member or student: HK\$850 IA/ HKIAAT member or student: HK\$850 Non-member: HK\$1,600	
Speaker	Mr. Albert So , Practising Solicitor, Certified Anti-Money Laundering Specialist, Certified Financial Crimes Specialist, Accredited General Mediator, Accredited Family Mediator, Arbitrator, University Lecturer, Fellow of International Bar Association	
Participants	All parties who want to update their knowledge on the latest AML regulations	
Competency*	Ethics and Regulations	
CPD hours	3	



About the speaker

Mr. Albert So

Mr. Albert So, practising solicitor of High Court of Hong Kong and the chairman of Hong Kong Mediation and Arbitration Centre. Over the past years, he has been the Court Prosecutor (fiat) for the Department of Justice responsible for handling financial crime prosecutions, and has also been the program director and university lecturer of various law and mediation programmes in Hong Kong and overseas universities.

Mr. So obtained his bachelor's degree in laws at the University of London, postgraduate studies in laws at both the University of Hong Kong and the King's College London. In addition, he received his professional postgraduate education in civil law, business and finance at the University of Cambridge, Harvard University (John F. Kennedy School of Government) and Tsing Hua University. In addition, he is the fellow / professional member / accredited general mediator / family mediator and arbitrator of: (1) International Bar Association, (2) Hong Kong Mediation and Arbitration Centre, (3) Mediation Office of Family Court, (4) Lands Tribunal of Judiciary, (5) Financial Dispute Resolution Centre, (6) Law Society of Hong Kong, (7) Hong Kong International Arbitration Centre, (8) Kuala Lumpur Regional Centre of Arbitration, (9) Asia Pacific Regional Arbitration Group, (10) Chartered Institute of Arbitrators (UK), (11) Hong Kong Institute of Directors, and (12) Hong Kong Institute of Chartered Secretaries.

Before Mr. So joined the legal profession, he was one of the team members of a financial regulatory authority, responsible for conducting criminal investigations, handling litigation and disciplinary-related professional proceedings. Throughout his professional time as a regulator, he personally handled over 800 financial disputes and their relevant litigations.

Registration

- Complete online registration by 24 July (for 28 July session) or by 21 August (for 25 August session)
- Each member can enrol for one session only.
- **Enquiries:** - **Stone Tse at 2287 7065 (for 28 July session)**
- **Anson Lai at 2287 7009 (for 25 August session)**



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Hong Kong Institute of CPAs
 37th Floor, Wu Chung House
 213 Queen's Road East
Wanchai, Hong Kong
Fax no: 2893 9853

28 July 2018 (Reply by 24 July 2018)
25 August 2018 (Reply by 21 August 2018)

FOR OFFICE USE

Seq. no.:
 Handled by:

Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk.
 Unsuccessful enrolment will be notified with full refund.

Please type or print:-

Name: (*Mr./ Mrs. /Ms.) _____ Institute Membership No.: _____

Company Name: _____

Position Held: _____ Email: _____

Telephone No.: _____ Fax No.: _____

Contact information is used solely for communication of this particular event, without update to your member profile. You may use a separate sheet to list out the name, position and Institute membership no. (if applicable) of additional participants from the same firm/company as the above applicant.

Please "✓" as appropriate

Event Code:	<input type="checkbox"/> WSHP18072801 (Cantonese)	<input type="checkbox"/> WSHP18082501 (English)
Admission Fee:	<input type="checkbox"/> HK\$850 per person (HKICPA/ IA/ HKIAAT members or students) X ____ person(s) = HK\$ _____ <input type="checkbox"/> HK\$1,600 per person (Non-HKICPA/ IA/ HKIAAT members or students) X __ person(s) = HK\$ _____	
Payment Method:	<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA" <input type="checkbox"/> VISA / MasterCard <input type="checkbox"/> BOC HKICPA UnionPay card	
Card Number:	_____	Card Expiry Date (MM/YY): _____
Cardholder's Name (block letters):	Cardholder's Signature:	Date:

Payment receipt will be sent to your email address provided above once the payment is confirmed.

Notes:

- Enrolments are accepted on a first-come-first-served basis. Telephone reservations will not be accepted.
- Full payment must be made before applications will be processed. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly NOT accepted.
- The closing dates for enrolment are **24 July 2018 for 28 July 2018** and **21 August 2018 for 25 August 2018** respectively.
- Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk
- In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
- Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 10 a.m. on the date of the event. In the event of cancellation due to bad weather, the admission fee will be refunded.

Personal Data: Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <http://mas.hkicpa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

Payment & Enrolment Status Enquiry: 2287 7381 e-mail: finance@hkicpa.org.hk	Event Information Enquiry: 2287 7065 / 2287 7009 e-mail: stonetse@hkicpa.org.hk / ansonlai@hkicpa.org.hk
For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.	
Name :	Name :
Address:	Address: