



Disciplinary proceedings in progress as at 7 December 2020

| | Name of member / member practice | Date of referral to Disciplinary Panels | Disciplinary Committee members |
|---------------------|--|---|---|
| Case 1* | LAW, Fei Shing | 15 April 2014 | POON, Suk Ying, Debora (Chairman) KAN, Siu Lun (Panel A) LAM, Po Ling, Pearl (Panel A) CHAN, Siu Lun, Stephen (Panel B) LAW, Elizabeth (Panel B) |
| Case 2 ¹ | NG, Kwok Ching, Jeremy | 20 July 2017 | KWONG, Chi Ho, Cecil (Chairman) KAN, Siu Lun (Panel A) LEE, Wai Fun (Panel A) CHAN, Kin Man, Eddie (Panel B) TANG, Kwai Chang, Alfred (Panel B) |
| Case 3 | (1) YU, Yuk Ping, June (2) YU, Wai Sum (3) KPMG | 26 Sept 2017 | LAU, Shing Yan (Chairman) MUI, Arthur (Panel A) LAM, Yin Shing, Donald (Panel A) TSAI, Wing Chung, Philip (Panel B) CHAN, Wai Tong, Christopher (Panel B) |
| Case 4 ¹ | CHAN, Yui Hang | 26 Sept 2017 | WONG, Tim Wai (Chairman) SO, Man Wah, Miranda (Panel A) SHUM, Hon Wo (Panel A) GUEN, Kin Shing (Panel B) FUNG, Ying Wai, Wilson (Panel B) |
| Case 5 ¹ | (1) CHAN, Yui Hang (2) CHUNG, May Shan (3) D.C. (CPA) & Associates Certified Public Accountants (Practising) | 26 Sept 2017 | WAI, Siu Chung, Dominic (Chairman) CHIN, Vincent (Panel A) HO, Man Tat (Panel A) TSAI, Wing Chung, Philip (Panel B) CHUNG, Kit Yi, Kitty (Panel B) |
| Case 6 ¹ | (1) TANG, Chung Wah (2) LEE, Fung Ying, Alison | 23 Jan 2018 | FUNG, Chi Man (Chairman) CHIU, Shun Ming (Panel A) CHANG, See Mun, Lily (Panel A) CHOI, Wai Wing (Panel B) MIU, Liong, Nelson (Panel B) |
| Case 7 | (1) CHENG, Po Yuen (2) KEUNG, Yee Man (3) Zenith CPA Limited | 29 May 2018 | NG, Wai Yan (Chairman) CHUI, Hoi Yee (Panel A) TSAI, Wing Chung, Philip (Panel B) SHEN, Ka Yip, Timothy (Panel B) |
| Case 8 | (1) FAN, Kin Nang (2) Ken Fan & Co. | 24 July 2018 | CLAIRE, Wilson (Chairman) FENN, David (Panel A) HONG, Wing Kwong, Wallace (Panel A) CHUNG, Kit Yi, Kitty (Panel B) WARDELL, Jim (Panel B) |

* Respondent made a number of applications for judicial review in the course of the disciplinary proceedings.

¹ Appeal against Order is in progress.



Disciplinary proceedings in progress as at 7 December 2020

| | Name of member / member practice | Date of referral to Disciplinary Panels | Disciplinary Committee members |
|----------------------|--|--|---|
| Case 9 | (1) LIN, Ching Yee, Daniel (2) KWONG, Kam Wing, Kelvin | 23 Oct 2018 | KOO, Kar Chun, Anna (Chairman) CHAN, Raymond (Panel A) CHUNG, Kwok Fai (Panel A) CHUA, Suk Lin, Ivy (Panel B) TANG, Kwai Chang, Alfred (Panel B) |
| Case 10 | (1) CHAN, Wai Nam, William (2) SIU, Edmund (3) Elite Partners CPA Limited | 24 Jan 2019 | LAM, Ken Chung, Simon (Chairman) AU, Wing Kit, Mabel (Panel A) KAN, Siu Lun (Panel A) LEONG, Jonathan Russell (Panel B) MA, Chun Fung, Horace (Panel B) |
| Case 11 | NG, Kay Lam | 21 Feb 2019 | KWONG, Chi Ho, Cecil (Chairman) HUI, Cheuk Kit, Frederick (Panel A) KAM, Hugh, Alexander Tsun Ting (Panel A) CHAN, Kee Sun, Tom (Panel B) POGSON, Timothy Keith (Panel B) |
| Case 12 | (1) KPMG (2) FUNG, Kwong Ming (3) WONG, Sau Ling (4) TSE, Hau Yin, Aloysius | 21 Feb 2019 | D'SOUZA, Robin Gregory (Chairman) FANG, Xin LAM, Tsz Chung CHAN, Kee Sun, Tom MA, Chun Fung, Horace |
| Case 13 | (1) LIN, Ching Yee, Daniel (2) KWONG, Kam Wing, Kelvin | 23 Apr 2019 | KOO, Kar Chun, Anna (Chairman) CHAN, Raymond (Panel A) CHUNG, Kwok Fai (Panel A) CHUA, Suk Lin, Ivy (Panel B) TANG, Kwai Chang, Alfred (Panel B) |
| Case 14 ¹ | LI, Sau Ying | 23 Apr 2019 | KAUNG, Wai Ming, Alexander (Chairman) LAM, Wai Chin, Raymond (Panel A) LAM, Sze Cay, Kevin (Panel A) WONG, Yue Ting, Thomas (Panel B) NG, Chi Keung, Victor (Panel B) |
| Case 15 | YU, Ching Hoi | 17 Sept 2019 | YU, Tin Yau, Elvin (Chairman) LAM, Ding Wan, Catrina (Panel A) LIN, James C (Panel A) LI, Yin Fan (Panel B) LEE, Kwo Hang, Felix, (Panel B) |
| Case 16 | (1) CHAN, Wai Dune, Charles (2) TEH, Delores Eng-Hua (3) YAU, Hok Hung (4) CCIF CPA Limited | 17 Sept 2019 | LAM, Ken Chung, Simon (Chairman) LAM, Chi Ki (Panel A) WONG, Wing Yan, Kenneth (Panel A) CHAN, Kin Man, Eddie (Panel B) JAMIESON, Andrew (Panel B) |



Disciplinary proceedings in progress as at 7 December 2020

| | Name of member / member practice | Date of referral to Disciplinary Panels | Disciplinary Committee members |
|----------------------|--|---|---|
| Case 17 | (1) HSU, Yuk King, Mercedes (2) KWONG, Kam Kwan, Alex | 16 Jan 2020 | D'SOUZA, Robin Gregory (Chairman) FONG, Wai Kuk, Dennis (Panel A) LAM, Hilda (Panel A) KWOK, Kai Bun, Bennie (Panel B) YEUNG, King Hung, Adrian (Panel B) |
| Case 18 | YU, Kung Shing | 21 Apr 2020 | CHIN, Vincent (Chairman) CHEUNG, Chiu Nam, Cermain (Panel A) TAM, Wing See (Panel A) LEE, Ka Leung, Daniel (Panel B) TANG, Kwan Lai (Panel B) |
| Case 19 | (1) YU, Kung Shing (2) K.S. Yu & Co. | 21 Apr 2020 | CHIN, Vincent (Chairman) CHEUNG, Chiu Nam, Cermain (Panel A) TAM, Wing See (Panel A) LEE, Ka Leung, Daniel (Panel B) TANG, Kwan Lai (Panel B) |
| Case 20 | (1) SZE, Lin Tang (2) ZHONGHUI ANDA CPA Limited | 19 May 2020 | CHAN, Ka Man (Chairman) CHU, Pak Ning, Ian (Panel A) NG, Yuen Chun, Kitty (Panel A) CHOI, Wai Wing (Panel B) MIU, Liang, Nelson (Panel B) |
| Case 21 ² | KWOK, Kam Piu | 19 May 2020 | DAWES, Victor (Chairman) LO, Fung Yee, Daphne (Panel A) YANG, Elizabeth Ling (Panel A) FUNG, Suet Ngan, Gladys (Panel B) SHEN, Ka Yip, Timothy (Panel B) |
| Case 22 | CHIANG, Sin Mei, Cindy | 19 May 2020 | LIM, Kian Leng, Malcolm (Chairman) CHIU, Shun Ming (Panel A) LEUNG, Ka Yau (Panel A) CHENG, Pui Ngar (Panel B) MORRISON, Kenneth Graeme (Panel B) |
| Case 23 | CHUI, Yiu Hang | 22 June 2020 | FENN, David (Chairman) CHUI, Hoi Yee (Panel A) YAP, Hiu Yee, Betty (Panel A) LI, Po Ting, Peter (Panel B) MAN, Mo Leung (Panel B) |

² Order is issued subject to expiry of appeal period.



Disciplinary proceedings in progress as at 7 December 2020

| | Name of member / member practice | Date of referral to Disciplinary Panels | Disciplinary Committee members |
|---------|---|---|---|
| Case 24 | (1) CHENG, Hong Cheung (2) CHAN, Shek Chi (3) Cheng & Cheng Limited | 22 June 2020 | LAM, Ding Wan, Catrina (Chairman) FUNG, Chi Man (Panel A) LAI, Nadine (Panel A) CHOW, Dennis Chi In (Panel B) LEUNG, Chi Ying (Panel B) |
| Case 25 | MOK, Ching Ho | 21 July 2020 | KAM, Hugh Alexander Tsun Ting (Chairman) LAU, Wan Ching (Panel A) LEE, Tsung Wah, Jonathan (Panel A) LI, Pak Ki (Panel B) PHENIX, Paul Anthony (Panel B) |
| Case 26 | LEUNG, Wah | 21 July 2020 | LAM, Ding Wan, Catrina (Chairman) CHAN, Wai Kam, Caroline (Panel A) LAI, Nadine (Panel A) CHAN, Ting Bond, Michael (Panel B) LEES, John Robert (Panel B) |
| Case 27 | (1) ZHONGHUI ANDA CPA Limited (2) SZE, Lin Tang | 17 Sept 2020 | DOE, Julianne Pearl (Chairman) CHU, Kin Wing (Panel A) CHIU, Ling Cheong, Anthony (Panel A) BAO, King To, Raymond (Panel B) IP, Chiu Yin, Eddie (Panel B) |
| Case 28 | HAN, Heli | 20 Oct 2020 | (To be constituted) |
| Case 29 | CHUNG, Chi Hang | 20 Oct 2020 | HO, Man Kay, Angela (Chairman) CHAN, Fung Cheung, Wilson (Panel A) CHAN, Yiting, Bonnie (Panel A) GUEN, Kin Shing (Panel B) WOO, King Hang (Panel B) |
| Case 30 | KWOK, Chi Sun, Vincent | 19 Nov 2020 | (To be constituted) |
| Case 31 | (1) Zenith CPA Limited (2) CHENG, Po Yuen (3) YAM, Wai Man | 19 Nov 2020 | (To be constituted) |