

## Workshops on successful listing in Hong Kong

The workshops aim to assist participants to understand the key aspects for successful listing in Hong Kong through two Parts with separate sessions for each part. Each session will cover the key points of HK Stock Exchange guidance notes and listing decisions, relevant rules and regulations and examples of published prospectus.

### Part I – Basic Considerations for Hong Kong Listing

Session I: Preparation for a Successful Hong Kong Listing

- Pre-listing Considerations
- Basic Listing Requirements
- Listing Process

Session II: Group Reorganisation for Hong Kong Listing

Session III: Common Problems for Hong Kong Listing

### Part II – Practical Issues for Hong Kong Listing

Session I: Preparation of Accountants' reports for Hong Kong Listing

Session II: Reporting on Profit Forecasts, Statements of Sufficiency of Working Capital and Statements of Indebtedness for Hong Kong Listing

Session III: Preparation of Other Financial Information for Hong Kong Listing

- Comfort letter & Due Diligence Meetings
- Additional Information Submitted to the HKEx
- Financial Information included in the Prospectus

#### Language

Cantonese

#### Competency

Accounting and financial reporting

#### Rating

Intermediate Level\*

#### CPD Credit Hour per session

4 hours

#### Fee per session

\$ 420 (members)

\$ 680 (Non-members)

#### Speaker

Vincent Li

Technical Director of Pan-China Certified Public Accountants LLP and Partner of ZHONGLEI (HK) CPA Company Limited and Pan-China (H.K.) CPA Limited

\* Please refer [here](#) for descriptions of other competencies and ratings

### Programme schedule

[Download Application Form](#)

[Apply on-line](#)

Date	Topic	Time	Venue	Deadline for Applications
<b>18 April 2015 (Saturday)</b>	<b>Part I - Session I:</b> Preparation for Successful Hong Kong Listing	9:30 am – 1:30 pm	KPMG Training Centre 28/F Oxford House Tai Koo Place, Quarry Bay, Hong Kong	10 April 2015
<b>25 April 2015 (Saturday)</b>	<b>Part I - Session II:</b> Group Reorganisation for Hong Kong Listing	9:30 am – 1:30 pm	KPMG Training Centre 28/F Oxford House Tai Koo Place, Quarry Bay, Hong Kong	17 April 2015
<b>9 May 2015 (Saturday)</b>	<b>Part I - Session III:</b> Common problems for Hong Kong Listing	9:30 am – 1:30 pm	KPMG Training Centre 28/F Oxford House Tai Koo Place, Quarry Bay, Hong Kong	30 April 2015
<b>30 May 2015 (Saturday)</b>	<b>Part II - Session I:</b> Preparation of Accountants' reports for Hong Kong Listing	9:00 am – 1:00 pm	HKICPA Training Centre, 27/F, Wu Chung House, Wanchai, Hong Kong	22 May 2015
<b>13 June 2015 (Saturday)</b>	<b>Part II - Session II:</b> Reporting on Profit Forecasts, Statements of Sufficiency of Working Capital and Statements of Indebtedness for Hong Kong Listing	9:00 am – 1:00 pm	HKICPA Training Centre, 27/F, Wu Chung House, Wanchai, Hong Kong	5 June 2015
<b>27 June 2015 (Saturday)</b>	<b>Part II - Session III:</b> Preparation of Other Financial Information for Hong Kong Listing	9:30 am – 1:30 pm	KPMG Training Centre 28/F Oxford House Tai Koo Place, Quarry Bay, Hong Kong	19 June 2015

# Workshops on successful listing in Hong Kong – Part I and Part II (April – June 2015)

**Please click here for online enrolment  
(for HKICPA members only)**

Finance & Operations  
Department,  
Hong Kong Institute of CPAs  
37th Floor, Wu Chung House,  
213 Queen's Road East, Hong



Hong Kong Institute of  
Certified Public Accountants  
香港會計師公會

Name: (\*Mr. / Mrs. / Ms.) \_\_\_\_\_ HKICPA Membership No.: \_\_\_\_\_  
(if applicable)

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_ Email: \_\_\_\_\_  
(for enrolment confirmation purpose)

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

Please mark a "✓" against the session(s) for which you wish to apply		Venue	Event Code
<input type="checkbox"/>	18 April 2015 – Part I: Session I - 9:30 am -1:30 pm	KPMG Training Centre (Quarry Bay)	WAC150418
<input type="checkbox"/>	25 April 2015 – Part I: Session II - 9:30 am -1:30 pm	KPMG Training Centre (Quarry Bay)	WAC150425
<input type="checkbox"/>	9 May 2015 – Part I: Session III - 9:30 am -1:30 pm	KPMG Training Centre (Quarry Bay)	WAC150509
<input type="checkbox"/>	30 May 2015 – Part II: Session I - 9:00 am -1:00 pm	HKICPA Training Centre (Wanchai)	WAC150530
<input type="checkbox"/>	13 June 2015 – Part II: Session II - 9:00 am -1:00 pm	HKICPA Training Centre (Wanchai)	WAC150613
<input type="checkbox"/>	27 June 2015 – Part II: Session III - 9:30 am -1:30 pm	KPMG Training Centre (Quarry Bay)	WAC150627

**Admission Fee:**     HK\$ 420 per person (HKICPA members) for each session  
                            HK\$ 680 per person (Non-HKICPA members) for each session

Cheque (no. \_\_\_\_\_) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

VISA / MasterCard             BOC HKICPA UnionPay card

Card Number:																					Card Expiry Date (MM/YY):				
Cardholder's Name (block letters):	Cardholder's Signature:														Date:										

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- Enrolment is accepted on a first-come-first-served basis. No telephone reservation will be accepted.
- For credit card payment, please fax the enrolment form to 2893-9853. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
- Confirmation of registration will be sent by e-mail. If confirmation has not been received 3 days prior to the event date, please email to: [tls@hkiipa.org.hk](mailto:tls@hkiipa.org.hk)
- If typhoon signal no.8 or above / Black rainstorm warning is hoisted at or 6:30 a.m. on the date of event, the activity will be cancelled. Your admission fee will be refunded in the event of cancellation due to bad weather.
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- The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in [here](#). Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkiipa.org.hk](mailto:privacyofficer@hkiipa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please [click here](#).

**Payment & Enrolment Status Enquiry:** 2287-7381  
e-mail: [finance@hkiipa.org.hk](mailto:finance@hkiipa.org.hk)

**Event Information Enquiry:**  
e-mail: [tls@hkiipa.org.hk](mailto:tls@hkiipa.org.hk)

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

Name :	Name :
Address :	Address :

## HKFRS for Private Entities Workshops

The HKFRS for Private Entities (HKFRS for PEs) was issued with effect from 30 April 2010. Workshops will be organized for the purpose of assisting members with the implementation of the new HKFRS for PEs.

The HKFRS for PEs workshops will take place over three days. Each day will be broken down into a number of sessions. Each session will include plenary instruction followed by group break-out exercises and feedback. The agenda of the workshops is based largely on IASB training materials with practical guidance on application of all sections of the standard.

<b>Language</b> Cantonese
<b>Competency</b> Accounting and financial reporting
<b>Rating</b> Intermediate Level*
<b>CPD Credit Hour</b> 21 hours
<b>Fee</b> \$1,260 (members) \$2,020 (Non-members)
<b>Venue</b> HKICPA Training Centre 27/F, Wu Chung House, Wanchai

### Programme schedule

		Download Application Form	Apply on-line
Date	Topic	Time	Deadline for Applications
<b>24 April 2015 (Friday)</b>	HKFRS for Private Entities Workshop	9:00 am – 5:00 pm	17 April 2015
<b>28 April 2015 (Tuesday)</b>			
<b>30 April 2015 (Thursday)</b>			

\* Please refer [here](#) for descriptions of other competencies and ratings

# HKFRS for Private Entities Workshops

April 2015

**Please click here for online enrolment  
(for HKICPA members only)**



Hong Kong Institute of  
Certified Public Accountants  
香港會計師公會

Finance & Operations Department,  
Hong Kong Institute of CPAs  
37th Floor, Wu Chung House,  
213 Queen's Road East, Hong Kong  
Fax no: 2893-9853

Name: (\*Mr. / Mrs. / Ms.) \_\_\_\_\_ HKICPA Membership No.: \_\_\_\_\_  
(if applicable)

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_ Email: \_\_\_\_\_  
(for enrolment confirmation purpose)

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

(Tick as appropriate)	Event Code		Enrolment deadline
	WPE150424	HKFRS for Private Entities Workshops (24, 28 and 30 April 2015)	17 April 2015

Admission Fee:  HK\$ 1,260 per person (HKICPA members)  
 HK\$ 2,020 per person (Non-HKICPA members)

Cheque (no. \_\_\_\_\_) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

VISA / MasterCard  BOC HKICPA UnionPay card

Card Number: \_\_\_\_\_ Card Expiry Date (MM/YY): \_\_\_\_\_

Cardholder's Name (block letters): \_\_\_\_\_ Cardholder's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)

- Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
- Enrolment is accepted on a first-come-first-served basis. No telephone reservation will be accepted.
- For credit card payment, please fax the enrolment form to 2893-9853. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
- Confirmation of registration will be sent by e-mail. If confirmation has not been received 3 days prior to the event date, please email to [tls@hkcipa.org.hk](mailto:tls@hkcipa.org.hk)
- If typhoon signal no.8 or above / Black rainstorm warning is hoisted at or 6:30 a.m. on the date of event, the activity will be cancelled. Your admission fee will be refunded in the event of cancellation due to bad weather.
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e-mail: [finance@hkcipa.org.hk](mailto:finance@hkcipa.org.hk)

**Event Information Enquiry:**  
e-mail: [tls@hkcipa.org.hk](mailto:tls@hkcipa.org.hk)

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

Name :	Name :
Address :	Address :

## Workshop for Audit Staff

The learning objectives of this one-day audit workshop are to enable your audit staff to:

- Develop a basic understanding of an audit conducted in compliance with Hong Kong Standards on Auditing
- Understand the risk assessment process in a risk-based audit approach and be able to plan audit work to meet the audit objectives
- Perform appropriate audit procedures, including tests of controls and substantive procedures for common financial statements areas
- Record audit work in sufficient clarity and detail and understand how to deal with audit findings and/or errors arising during the course of the audit

The workshops will be organized in an interactive learning mode where participants will be guided to work through case illustrations. Discussions amongst the participants will be encouraged.

**Language**  
Cantonese

**Competency**  
Auditing and Assurance

**Rating**  
Foundation Level\*

**CPD Credit Hour**  
7 hours

**Fee**  
\$ 840 (members)  
\$ 1,350 (Non-members)

**Venue**  
HKICPA Training Centre  
27/F, Wu Chung House, Wanchai

### Programme schedule

[Download Application Form](#)

[Apply on-line](#)

Date	Topic	Time	Deadline for Applications
<b>6 May 2015 (Wednesday)</b>	Workshop for Audit Staff	9:00 am – 5:00 pm	28 April 2015

\* Please refer [here](#) for descriptions of other competencies and ratings.

# Workshop for Audit Staff

6 May 2015

**[Please click here for online enrolment](#)**  
**(for HKICPA members only)**



Hong Kong Institute of  
Certified Public Accountants  
香港會計師公會

Finance & Operations Department,  
Hong Kong Institute of CPAs  
37th Floor, Wu Chung House,  
213 Queen's Road East, Hong Kong  
Fax no: 2893-9853

Name: (\*Mr. / Mrs. / Ms.) \_\_\_\_\_ HKICPA Membership No.: \_\_\_\_\_  
(if applicable)

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_ Email: \_\_\_\_\_  
(for enrolment confirmation purpose)

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

Event Code	Workshop for Audit Staff	Enrolment deadline
WAS150506	6 May 2015 (Wednesday)	28 April 2015

- Admission Fee:  HK\$ 840 per person  
 HKICPA members  
 Audit staff / Audit manager enrolled by practising members  
Practising Member Name: \_\_\_\_\_  
Practising Member No: \_\_\_\_\_
- HK\$ 1,350 per person (Non-HKICPA members)
- Cheque (no. \_\_\_\_\_) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

- VISA / MasterCard  BOC HKICPA UnionPay card

Card Number: \_\_\_\_\_ Card Expiry Date (MM/YY): \_\_\_\_\_

Cardholder's Name (block letters): \_\_\_\_\_ Cardholder's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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**Payment & Enrolment Status Enquiry: 2287-7381**

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**Event Information Enquiry:**

e-mail: [tls@hkiipa.org.hk](mailto:tls@hkiipa.org.hk)

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

Name :	Name :
Address :	Address :

## Workshop for Audit Manager

Training for audit manager is a one-day audit workshop which covers the following areas:

- The conduct of small to medium-sized engagements in compliance with Hong Kong Standards on Auditing. The audit process which includes planning, risk assessment, audit procedures and documentation will be illustrated with case studies
- Auditing of more complex and riskier areas: accounting estimates, using the work of an expert, group audits
- Common issues in respect of applications of financial reporting standards
- Review of financial statements

Participants who have previously attended the training for audit staff are encouraged to attend this workshop to gain a deeper understanding and practical application of the auditing standards.

**Language**

Cantonese

**Competency**

Auditing and Assurance

**Rating**

Intermediate Level\*

**CPD Credit Hour**

7 hours

**Fee**

\$ 840 (members)

\$ 1,350 (Non-members)

**Venue**

HKICPA Training Centre  
27/F, Wu Chung House, Wanchai

### Programme schedule

[Download Application Form](#)

[Apply on-line](#)

Date	Topic	Time	Deadline for Applications
<b>15 May 2015 (Friday)</b>	Workshop for Audit Manager	9:00 am – 5:00 pm	8 May 2015

\* Please refer [here](#) for descriptions of other competencies and ratings.



# Workshop for Audit Manager

## 15 May 2015

**[Please click here for online enrolment](#)**  
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Hong Kong Institute of  
Certified Public Accountants  
香港會計師公會

Finance & Operations Department,  
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37th Floor, Wu Chung House,  
213 Queen's Road East, Hong Kong  
Fax no: 2893-9853

Name: (\*Mr. / Mrs. / Ms.) \_\_\_\_\_ HKICPA Membership No.: \_\_\_\_\_  
(if applicable)

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_ Email: \_\_\_\_\_  
(for enrolment confirmation purpose)

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

Event Code	Workshop for Audit Manager	Enrolment deadline
WAM150515	15 May 2015 (Friday)	8 May 2015

- Admission Fee:  HK\$ 840 per person  
 HKICPA members  
 Audit staff / Audit manager enrolled by practising members  
Practising Member Name: \_\_\_\_\_  
Practising Member No: \_\_\_\_\_
- HK\$ 1,350 per person (Non-HKICPA members)
- Cheque (no. \_\_\_\_\_) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

- VISA / MasterCard  BOC HKICPA UnionPay card

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Name :	Name :
Address :	Address :



## Audit Practice Manual Application Workshop

The Institute's revised Audit Practice Manual ("APM"), which was issued in November 2010, has been updated to address the requirements of Clarified Hong Kong Standards on Auditing.

- Sessions will be held to introduce the revised APM and the requirements under the Clarified Hong Kong Standards on Auditing and how they affect audit engagements of non-listed entities.
- The learning workshops are planned to refresh audit skills in risk assessment and risk response. Sessions on how to navigate the excel based audit programmes contained in the revised APM will also be included.

Participants are required to bring along their revised APM (either hardcopy or softcopy) for case illustrations.

### Language

Cantonese

### Competency

Auditing and assurance

### Rating

Intermediate Level\*

### CPD Credit Hour

7 hours

### Fee

\$ 420 (members)

\$ 680 (Non-members)

### Venue

HKICPA Training Centre  
27/F, Wu Chung House, Wanchai

## Programme schedule

**Download  
Application Form**

**Apply  
on-line**

Date	Topic	Time	Deadline for Applications
<b>22 May 2015 (Friday)</b>	Audit Practice Manual Application Workshop	9:00 am – 5:00 pm	15 May 2015

\* Please refer [here](#) for descriptions of other competencies and ratings.

# Audit Practice Manual Application Workshops

22 May 2015

**[Please click here for online enrolment](#)**  
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香港會計師公會

Finance & Operations Department,  
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(if applicable)

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_ Email: \_\_\_\_\_  
(for enrolment confirmation purpose)

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

Event Code	Audit Practice Manual Application Workshops	Enrolment deadline
APM150522	22 May 2015 (Friday)	15 May 2015

**Admission Fee:**  HK\$ 420 per person (HKICPA members)  
 HK\$ 680 per person (Non-HKICPA members)

Cheque (no. \_\_\_\_\_) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

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e-mail: [finance@hkiipa.org.hk](mailto:finance@hkiipa.org.hk)

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For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

Name :	Name :
Address :	Address :

## Workshop on Quality Control

The Institute's new edition of *A Guide to Quality Control ("Guide")* was issued in June 2013. The Guide focuses primarily on the development of a firm-wide quality control system. This sets the foundation for the existence of appropriate firm policies that should assist and enable the practitioner to implement the engagement-level requirements.

- The training workshops are planned to introduce the new Guide and the general requirements under the Clarified Hong Kong Standard on Quality Control 1 and the common findings under the Practice Review in relation to quality control.
- Sessions will be held to introduce sample policies and procedures for different sizes of practice that can be used as a starting point in developing a customised quality control on a consistent basis.

Participants are encouraged to bring along their new edition of "A Guide to Quality Control" (either hardcopy or softcopy) for illustrations.

### Language

Cantonese

### Competency

Auditing and assurance

### Rating

Intermediate Level\*

### CPD Credit Hour

4 hours

### Fee

\$ 420 (members)

\$ 680 (Non-members)

### Venue

HKICPA Training Centre  
27/F, Wu Chung House, Wanchai

## Programme schedule

		Download Application Form	Apply on-line
Date	Topic	Time	Deadline for Applications
<b>29 May 2015 (Friday)</b>	Workshop on Quality Control	9:00 am – 1:00 pm	22 May 2015

\* Please refer [here](#) for descriptions of other competencies and ratings.

# Workshop on Quality Control

29 May 2015

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37th Floor, Wu Chung House,  
213 Queen's Road East, Hong Kong  
Fax no: 2893- 9853



Hong Kong Institute of  
Certified Public Accountants  
香港會計師公會

Name: (\*Mr. / Mrs. / Ms.) \_\_\_\_\_ HKICPA Membership No.: \_\_\_\_\_  
(if applicable)

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_ Email: \_\_\_\_\_  
(for enrolment confirmation purpose)

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

Please mark a "✓" against the session(s) for which you wish to apply

29 May 2015 (WQC150529)

**Admission Fee:**  HK\$ 420 per person (HKICPA members)  
 HK\$ 680 per person (Non-HKICPA members)

Cheque (no. \_\_\_\_\_) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

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Card Number: \_\_\_\_\_ Card Expiry Date (MM/YY): \_\_\_\_\_

Cardholder's Name (block letters): \_\_\_\_\_ Cardholder's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)

1. Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
2. Enrolment is accepted on a first-come-first-served basis. No telephone reservation will be accepted.
3. For credit card payment, please fax the enrolment form to 2893-9853. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
4. Confirmation of registration will be sent by e-mail. If confirmation has not been received 3 days prior to the event date, please email to: [tls@hkcipa.org.hk](mailto:tls@hkcipa.org.hk)
5. If typhoon signal no.8 or above / Black rainstorm warning is hoisted at or 6:30 a.m. on the date of event, the activity will be cancelled. Your admission fee will be refunded in the event of cancellation due to bad weather.
6. No refund will be entertained unless your enrolment is unsuccessful or the event is cancelled due to unforeseen circumstance.
7. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in [here](#). Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkcipa.org.hk](mailto:privacyofficer@hkcipa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please click [here](#).

**Payment & Enrolment Status Enquiry:** 2287-7381  
e-mail: [finance@hkcipa.org.hk](mailto:finance@hkcipa.org.hk)

**Event Information Enquiry:**  
e-mail: [tls@hkcipa.org.hk](mailto:tls@hkcipa.org.hk)

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

Name :	Name :
Address :	Address :