



## Practitioners' Forum on Suspicious Transaction Reporting and Compliance Culture

Suspicious transaction reporting ("STR") is an **obligation of the accounting profession** as set out in the relevant local legislations. As the way forward, the profession is expected to establish internal policies, procedures and controls to cover customer due diligence and record keeping. ***Failing to report knowledge or suspicion of crime proceeds or terrorist property is a criminal offence.***

This Forum will help practitioners understand more about STR to protect themselves from unwittingly committing the related offences. This will also help practitioners keeping away from the possible exploitation by money launderers and terrorist financiers.

**Date** ~~Thursday, 22 July 2010~~ Tuesday, 10 August 2010\*

**Time** 6:30 p.m. – 8:30 p.m.

**Venue** HKICPA Conference Centre, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong

**Fee** \$100 per HKICPA member / \$200 per non-HKICPA member

**Objectives**

- Provide practitioners with an overview of the latest international and domestic anti-money laundering developments
- Enhance practitioners' understanding of the statutory obligations and practices of suspicious transaction reporting
- Provide a forum for discussion on matters of common concern relating to anti-money laundering compliance and reporting.

**Speakers**

- **International Standards on Regulating Designated Non-Financial Businesses and Professions and the Way Forward**  
**Mr. Gordon YAM**, Assistant Secretary, Narcotics Division, Security Bureau
- **Suspicious Transaction Reporting: Legal Obligations and Protection Statistics and Case Examples**  
**Mr. S.T. WONG**, Senior Investigator, Financial Investigation Group, Customs and Excise Department
- **Panel Discussion / Q&A Session**

**Participants** Practitioners are encouraged to attend

**Language** Cantonese

**Competency** Values, Ethics and Attitudes

**Rating** Foundation (Please [click](#) here for the Rating details)

**CPD Hours** 2

**Enrolment Deadline** ~~15 July 2010~~ 4 August 2010

**To enroll** please complete the attached application form and return it, together with the payment, to the Institute by fax or post **before 15 July 4 August 2010**.

**Acceptance** is on a first-come-first-served basis. Priority will be given to members of [SMP Discussion Group](#). Confirmation of enrolment will be sent out **by email** to successful candidates latest **by 19 July 6 August 2010**.

Enquiries  
**Member & Corporate  
Services Department**  
Tel: 2287 7065 / 008  
Email:  
[mcs.scm@hkicpa.org.hk](mailto:mcs.scm@hkicpa.org.hk)

\* Due to the hoisting of a Black Rainstorm Warning on 22 July, the Practitioners' Forum on Suspicious Transaction Reporting and Compliance Culture (1st re-run session) originally scheduled for that evening was cancelled. A make-up session has been arranged on 10 August.



**Practitioners' Forum on Suspicious Transaction Reporting  
and Compliance Culture (1st re-run Session)**

(MCS-1 Event Code : ~~PAML100722~~ PAML100810)

Date: ~~Thursday, 22 July 2010~~ Tuesday, 10 August 2010

Time: 6:30 p.m. – 8:30 p.m.

Venue: 27/F., Wu Chung House, 213 Queen's Road East,  
Wanchai, Hong Kong

**FOR OFFICE USE**

Seq. no.: \_\_\_\_\_

Handled by: \_\_\_\_\_

**Enrolment Form**

(Please reply on or before ~~15 July~~ 4 August 2010)

Please click [here](#) for online enrolment

You can check your enrolment status at "My CPA" at [www.hkicpa.org.hk](http://www.hkicpa.org.hk). Unsuccessful enrolment will be notified by ~~19 July~~ 6 August 2010 with full refund.

(One form for one participant)

Name: (Mr./Mrs./Ms.) English: \_\_\_\_\_ Chinese: \_\_\_\_\_

HKICPA

Membership no.: \_\_\_\_\_ PC holder:  Yes  No

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_

Email: \_\_\_\_\_

Telephone no: \_\_\_\_\_ Fax no.: \_\_\_\_\_

Please put a "✓" in the appropriate boxes:

In case this forum is fully subscribed, I would like to join the repeat session scheduled for 9 September 2010 at 6:30 p.m. to 8:30 p.m..

<b>Participation fee:</b> <input type="checkbox"/> HK\$100 per HKICPA member <input type="checkbox"/> HK\$200 per non-HKICPA member Payment by: <input type="checkbox"/> Cheque no.: _____ ( Bank: _____ ) <input type="checkbox"/> VISA Card <input type="checkbox"/> Master Card		
For payment by credit card, please fill in the following:	Card no.: _____	
Cardholder's Name : (Please print)	Card Expiry Date (month/year) :	
Date :	Cardholder's Signature :	
Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants"	<b>FOR OFFICE USE</b>	
	Auth. code no.	Handled by
		Date

**Notes:**

- Enrolments are accepted on a first-come-first-served basis. Priority will be given to members of SMP Discussion Group. No telephone reservation will be accepted.
- Full payment must be made before an application will be processed.
- Confirmation will be issued by email to participants **on or before 19 July 6 August 2010**. If you do not receive it by then, please contact the Member & Corporate Services Department at telephone no.: 2287 7065 / 008.
- No refund will be made for cancellation by the enrollee after payment has been received by the Institute. However, an alternate to attend the Forum may be accepted in case the participant is unable to attend. Please inform the Institute latest by ~~20 July~~ 9 August 2010 if such arrangement is required.
- The Institute reserves the right to change the venue, date and / or speaker of the event due to unforeseen circumstances.

**Payment & Enrolment Status Enquiry:** 2287 7381  
Email: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry:** 2287 7065 / 008  
Email: [mcs.scm@hkicpa.org.hk](mailto:mcs.scm@hkicpa.org.hk)