



Disciplinary proceedings in progress as at 6 July 2017

	Name of member / member practice	Date of referral to Disciplinary Panels	Disciplinary Committee members
Case 1	LAW, Fei Shing	15 April 2014	CHEUNG, Kwok Kwan (Chairman) KAN, Siu Lun (Panel A) LAM, Po Ling, Pearl (Panel A) WARDELL, James (Panel B) WONG, Chun Bong, Alex (Panel B)
Case 2	ANNELLS, Deborah	15 April 2014	ISMAIL, Roxanne (Chairman) TSANG, Man Hing, Johnson (Panel A) YOUNG, Ngai Man, Simon (Panel A) BEST, Roger Thomas (Panel B) DONOWHO, Simon Christopher (Panel B)
Case 3	(1) WONG, Tak Man, Stephen (2) RSM Nelson Wheeler	17 June 2014	CARVER, Anne Rosamunde (Chairman) WONG, Yuen Chi (Panel A) PAN, San Kong, Terry (Panel A) LAW, Pui Cheung (Panel B) TSANG, Tin For (Panel B)
Case 4	(1) WONG, Tak Man, Stephen (2) RSM Nelson Wheeler	17 June 2014	LEE, Ka Sze, Carmelo (Chairman) WOO, Lee Wah, Cecilia (Panel A) DONOWHO, Simon Christopher (Panel B) MAR, Selwyn (Panel B)
Case 5	LAM, Kin Choi	26 May 2015	CHEUNG, Kwok Kwan (Chairman) LAM, Sze Cay, Kevin (Panel A) LIU, Che Ning (Panel A) FUNG, Ying Wai, Wilson (Panel B) HO, Yuk Wai, Joan (Panel B)
Case 6	(1) CHENG, Chung Ching, Raymond (2) LAI, Tak Shing, Jonathan (3) HLB Hodgson Impey Cheng	16 September 2015	SHUM, Sze Man, Erik Ignatius (Chairman) FUNG, Wei Lung, Brian (Panel A) YU, Ho Wun, Grace (Panel A) CHAN, Wai Tong, Christopher (Panel B) KAM, Pok Man (Panel B)
Case 7	(1) CHAN, Wai Hong, Michael (2) PricewaterhouseCoopers	8 October 2015	SHUM, Sze Man, Erik Ignatius (Chairman) YU, Ho Wun, Grace (Panel A) CHUA, Suk Lin, Ivy (Panel B) WARDELL, James (Panel B)
Case 8	TSE, Lap Fu, Lawrence	19 April 2016	KWONG, Chi Ho, Cecil (Chairman) CHAN, Kam Hon (Panel A) LEE, Tsung Wah, Jonathan (Panel A) CHEUNG, Yat Ming, Brian (Panel B) LEE, Kwo Hang, Felix (Panel B)



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Case 9	(1) LAM, Kwan, Anthony (2) Charles H.C. Cheung & CPA Limited	19 April 2016	NG, Wai Yan (Chairman) HUI, Ming Ming, Cindi (Panel A) CHAU, Hoi Yan (Panel A) CHUNG, Kit Yi, Kitty (Panel B) LEES, John Robert (Panel B)
Case 10	TAM, Tak Kuen, Alfred	25 May 2016	WILSON, Claire (Chairman) CHEUNG, Sau Fun, Susie (Panel A) CHOI, Wai Wing (Panel B) LAI, Yat Hin, Adrian (Panel B)
Case 11	(1) KWOK, Lai Ha, Helena (2) ROSS, Andrew David (3) Baker Tilly Hong Kong Limited	19 July 2016	KAUNG, Wai Ming, Alexander (Chairman) FENN, David (Panel A) LIU, Ling Hong, Stephen (Panel A) CHAN, Kee Sun, Tom (Panel B) LAW, Elizabeth (Panel B)
Case 12	(1) SETO, Man Fai (2) Parker Randall CF (H.K.) CPA Ltd.	20 Sept 2016	KAUNG, Wai Ming, Alexander (Chairman) CHENG, Wei Yan, Vena (Panel A) FENN, David (Panel A) POGSON, Timothy Keith (Panel B) LEES, John Robert (Panel B)
Case 13	(1) KWOK, Chee Tack (2) WONG, Kam Man, Kevin (3) Ernst & Young	18 October 2016	WILSON, Claire (Chairman) LAM, Sze Cay, Kevin (Panel A) TSUI, Wai Hang (Panel A) ESPINA, Anthony Joseph (Panel B) LI, Ka Fai, David (Panel B)
Case 14	(1) ROSS, Andrew David (2) FOK, Wai Ming (3) Baker Tilly Hong Kong Limited	29 November 2016	WONG, Wing Yan, Kenneth (Chairman) WAN, Chuck Fan, David (Panel A) LEE, Tsung Wah, Jonathan (Panel A) LEUNG, Chi Ying, Kathy (Panel B) ESPINA, Anthony Joseph (Panel B)
Case 15	(1) TAM, Tak Kuen, Alfred (2) Alfred T.K. Tam & Co.	29 November 2016	KWONG, Chi Ho, Cecil (Chairman) LAW, Wing Yee, Wendy (Panel A) HONG, Wing Kwong, Wallace (Panel A) CHAN, Kin Man, Eddie (Panel B) SHEN, Ka Yip, Timothy (Panel B)



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Case 16	CHEUNG, Chun Bong	29 November 2016	HUI, Ming Ming, Cindi (Chairman) CHIN, Vincent (Panel A) HO, Man Tat, Edward (Panel A) LO, Kai Ming, Charles (Panel B) PHENIX, Paul Anthony (Panel B)
Case 17	FEARN, Charles Pearson	13 December 2016	WILSON, Claire (Chairman) WAN, Chuck Fan, David (Panel A) LEE, Tsung Wah, Jonathan (Panel A) LIU, Yun Bonn (Panel B) ESPINA, Anthony Joseph (Panel B)
Case 18	POON, Wai Kit	24 January 2017	WAI, Shiu Chung Dominic (Chairman) YUEN Tat Tong (Panel A) YU Tin Yau Elvin (Panel A) WONG Yue Ting Thomas (Panel B) WOO King Hang (Panel B)
Case 19	SO, Kwok Keung, Keith	21 February 2017	LAU, Shing Yan (Chairman) AU YEUNG, Wai Lun, Kelvin (Panel A) CHAN, Wai Man, Raymond (Panel A) DONOWHO, Simon Christopher (Panel B) YEUNG, Chi Wai, Edwin (Panel B)
Case 20	TSO, Yin Yee	21 February 2017	LAM, Ding Wan, Catrina (Chairman) CHAN, Ka Man (Panel A) CHIU, Shun Ming (Panel A) TANG, Kwai Chang, Alfred (Panel B) YEUNG, Chi Wai, Edwin (Panel B)
Case 21	(1) SETO, Man Fai (2) LO, Hung Yan (3) Parker Randall CF (H.K.) CPA Limited	21 February 2017	LIM, Kian Leng, Malcolm (Chairman) CHAN, Chak Ming (Panel A) D'SOUZA, Robin Gregory (Panel A) LI, Yin Fan, Fanny (Panel B) CHEUNG, Yiu Leung, Andy (Panel B)
Case 22	YU, Oi Kee	25 April 2017	(To be constituted)
Case 23	(1) ROSS, Andrew David (2) FOK, Wai Ming (3) Baker Tilly Hong Kong Limited	25 April 2017	(To be constituted)
Case 24	CHAN, Hoi Shing	25 April 2017	(To be constituted)



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Case 25	(1) LAU, Shiu Wai, Franklin (2) AU YEUNG, Tin Wah (3) Lau & Au Yeung C.P.A. Limited	25 April 2017	(To be constituted)
Case 26	(1) AU, Yiu Kwan (2) Baker Tilly Hong Kong Limited	23 May 2017	(To be constituted)
Case 27	SETO, Man Fai	23 May 2017	(To be constituted)
Case 28	CHAN, Wing Keung, Simon	23 May 2017	(To be constituted)
Case 29	YAN, Kwok Ting, Sunny	27 June 2017	(To be constituted)
Case 30	CHAN, Kin Cheong	27 June 2017	(To be constituted)
Case 31	(1) FUNG, Pui Cheung (2) WONG, Ho Yuen (3) Pan-China (H.K.) CPA Limited	27 June 2017	(To be constituted)