



Cap. 622 – Three Years On

CPD point(s):	
The Law Society of Hong Kong	: 3 CPD points
The HKICPA	: 3 CPD hours
The HKICS	: 3 CPD points

Monday, 27 February 2017

Topic	: Cap. 622 - Three Years On
Time	: 2:30 p.m. – 5:45 p.m.
Presenters* (in alphabetical order by surname)	: Professor David C. Donald, Professor in the Law Faculty, The Chinese University of Hong Kong Mr. Peter Lake, Partner, Slaughter and May Mr. Ernest Lee, Council Member, The Hong Kong Institute of Chartered Secretaries Ms. Susan Lo, Executive Director, Director of Corporate Services and Head of Learning & Development, Tricor Services Limited Professor C.K. Low, Associate Professor in Corporate Law, CUHK Business School, The Chinese University of Hong Kong Dr. William M.F. Wong, SC, Des Voeux Chambers
Venue	: Admiralty Conference Centre, 1804A, 18/F, Tower 1, Admiralty Centre, 18 Harcourt Road, Admiralty, Hong Kong
Level	: Intermediate
Language	: English
Fee	: <u>For members of the Law Society, HKICPA or HKICS</u> HK\$450 <u>For non-members</u> HK\$750

**The list of speakers is subject to confirmation.*

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About the Course

The Companies Ordinance (Cap. 622) was enacted to achieve four principal objectives, namely, to enhance corporate governance, ensure better regulation, facilitate business and modernise the law. As Cap. 622 turns three on 3 March 2017, the questions raised include whether it has satisfactorily and adequately attained these objectives.

This seminar draws on the collective expertise and experience of senior practitioners from the accounting, chartered secretarial and legal professions to highlight the successes as well as to underline practical areas which are perhaps in need of further fine-tuning or reform as we seek to consolidate the position of Hong Kong as a business friendly jurisdiction of choice.

About the Presenters

Presenters*

Professor David C. Donald is a Professor in the Law Faculty of The Chinese University of Hong Kong. David previously taught at the Institute for Law and Finance of the University of Frankfurt, Germany and worked as a commercial lawyer in the US and Europe. His publications include *A Financial Centre for Two Empires: Hong Kong's Corporate, Securities and Tax Laws in its Transition from Britain to China* (Cambridge, 2014), *The Hong Kong Stock and Futures Exchanges – Law and Microstructure* (Sweet & Maxwell 2012), and (with Andreas Cahn), *Comparative Company Law: Texts and Cases on the Laws Governing Corporations in Germany, the UK and the USA* (Cambridge 2010). He is participating with scholars from other universities on a Hong Kong Research Grants Council funded project, "Enhancing the Future of Hong Kong as a Leading International Financial Centre." David is currently a member of Hong Kong's Standing Committee for Company Law Reform and the Hong Kong Institute of Chartered Secretaries Academic Advisory Panel. He is also a member of the Higher Education Forum Core Committee and the Council of the World Interdisciplinary Network for Institutional Research (WINIR).

Mr. Peter Lake is a Partner at Slaughter and May. He has been based in the Hong Kong office since 2002 with a practice focussing on corporate, finance and regulatory work. He led the firm's responses to and analysis of the Companies Ordinance rewrite. Peter is a member of the Law Society's Investment Products and Financial Services Committee and the APLMA Documentation Committee. Peter is listed in the 2017 edition of *Who's Who Legal Banking: Finance* in Hong Kong, and as a leading lawyer in the *IFLR 1000 Asia Pacific 2017* for Banking and Finance in Hong Kong. He is recommended in the *Legal 500 Asia Pacific 2017* for Banking and Finance and Investment Funds in Hong Kong, and in *Chamber Asia-Pacific 2017* for Banking & Finance, and Financial Services: Non-contentious Regulatory (Hong Kong-based international firms, China). He is qualified to practise Hong Kong and English law.

Mr. Ernest Lee is a Fellow of the Hong Kong Institute of Certified Public Accountants ("HKICPA"). He is the Deputy Chairman of the HKICPA's Financial Reporting Standards Committee, and a member of the HKICPA's Companies Ordinance (Financial Reporting) Advisory Panel. He has been a member of the Review Panel for the HKICPA's Best Corporate Governance Disclosures Awards for more than 10 years. He was a Partner of the Professional Practice Department of a Big 4 accounting firm, and has over 20 years' experience in auditing and providing technical advice on accounting and auditing related matters in a variety of industries in the Asia-Pacific Area. Mr. Lee was also a technical reviewer of the Risk-Based Financial Statements Review Programme of the Financial Reporting Council, and a technical reviewer of the HKICPA's Professional Standards Monitoring Panel for many years. Mr. Lee is currently a Council Member of the Hong Kong Institute of Chartered Secretaries ("HKICS"), and he serves as the Chairman of the HKICS's Audit Committee and a Vice-Chairman of its Membership Committee.

Ms. Susan Lo is an Executive Director, a Director of Corporate Services and Head of Learning & Development of Tricor Group / Tricor Services Limited. Her practice focuses on corporate governance, corporate administration and regulatory compliance. She also heads an extensive induction programme for new entrants as well as a continuing development programme for corporate services executives. Ms. Lo has contributed substantially to the development of corporate services practice and continuing professional development in the industry for more than three decades. With a long history of service with many listed clients, she is currently the named company secretary of a number of listed issuers on the Stock Exchange of Hong Kong ("SEHK"). A Fellow of HKICS and The Institute of Chartered Secretaries and Administrators (ICSA) in the United Kingdom, Ms Lo is a holder of the Practitioner's Endorsement from HKICS.

Professor Chee Keong Low ('CK') is an Associate Professor in Corporate Law at CUHK Business School with research interests in issues pertaining to corporate governance and the regulatory framework of capital markets. His research, which has published in journals in Australasia, Europe and the United States of America, is supported by private sector grants from EY, Noble Group, Sino Group and Tricor Services. An Advocate and Solicitor of the High Court of Malaya, CK is a member of the Securities and Futures Appeals Tribunal as well as the Process Review Panel of the Financial Reporting Council in Hong Kong. He was previously a member of the Listing Committee of the SEHK from May 2006 to July 2010; the Financial Reporting Review Panel from July 2007 to July 2013 and served as a Director of the Asian Institute of Finance in Malaysia for three years through April 2012.

Dr. William M.F. Wong has been in practice in Hong Kong since 1998 and was appointed a Senior Counsel in 2013. He is presently a Non-Executive Director of the Securities and Futures Commission and a Vice Chairman of the Board of Review of the Inland Revenue, and sits as an arbitrator in international commercial arbitration. His practice covers a wide spectrum of contentious commercial litigation with a special focus in the areas of company, insolvency and securities law. Dr. Wong frequently represents clients in contentious shareholders and/or investors disputes and disputes in liquidation and he was the first Hong Kong barrister to be admitted, on ad hoc basis, in the Bermudian courts to conduct trials and appeals. He is experienced in offshore litigation and acted as an expert witness on Hong Kong company law in China as well as in international arbitration. Dr. Wong also specializes in contentious trusts and probate matters, as well as in corporate restructuring and capital reduction. He has published widely, serving as one of the editors of "Hong Kong Civil Procedure 2001" and "Hong Kong Civil Procedure 2002", as well as the co-author of one of the leading Hong Kong texts on company law - "Company Law – Powers and Accountability" which was translated into Chinese.

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Seminar on Cap. 622 – Three Years On
27 February 2017
Enrolment Slip

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Year of admission: _____ (if applicable)
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Do you wish to claim CPD points for your attendance?

- CPD points required from The Law Society of Hong Kong
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I enclose a cheque made payable to “The Law Society of Hong Kong” for \$ _____ in payment for the above course.

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