

PAIB forum: Disclosing key areas of corporate governance (1) -Internal controls and audit committees

About the topic

About the programme

Application

Deadline

The next professional accountants in business forum will be a panel discussion looking at key disclosure issues in corporate governance – internal controls and audit committees of listed companies; and how to provide meaningful disclosure in annual reports. The focus of the session is on internal controls. Some key roles of an audit committee will also be addressed. The discussion will be based around a new corporate governance disclosure guide being developed by the Institute. The objective of the guide is to help listed companies provide meaningful disclosure, by understanding the key principles and provisions in the Corporate Governance Code under the listing rules, as well as disclosure expectations of intended report users.

This event will start with a brief introduction to the proposed guide. This will be followed by a panel discussion with speakers from prominent institutions, sharing their insight from the perspectives of independent directors / audit committee members, management, internal and external auditors. Under the listing rules, the board is responsible for maintaining an effective internal controls system. It has to review the effectiveness of the controls at least annually and report to the shareholders. What process and steps would typically be taken by independent directors / audit committee members before they are satisfied? How does the board interact with management, and key stakeholders including internal and external auditors? Finally, what disclosure would help the intended report users to appreciate the underlying rigour of the relevant internal control system? Members can share their own experience of reporting on corporate governance practices in the Q&A session.

A second event in this series, on the board's role and operation, will follow at a later date. Watch out for further details.

6:05 p.m. **Date** 20 February 2014, Thursday 6:15 p.m. 6:20 p.m. Time 6:15 p.m. – 7:45 p.m. 7:40 p.m. United Conference Centre Venue 10/F, United Centre **Panellists** 95 Queensway, Admiralty, Hong Kong Mr Nick Allen HKD190 per person for HKICPA members **Fee** HKD300 per person for Non-HKICPA members **CPD** credit 1.5 hours (subject to actual attendance) Language English Mr Derek Broadley **Target** Directors, CFOs, company secretaries/ Mr Pont Chiu senior officers and members interested in the Audience area of corporate governance Competency Corporate Governance* Mr Guy Look Rating Intermediate Level*

17 February 2014

Rundown

Registration starts Opening remarks

Introduction, panel discussion

and Q&A session

Closing remarks

Independent Non-executive Director and Audit Committee Member of CLP Holdings Ltd., Hysan Development Co. Ltd., Lenovo Group

Partner, Deloitte Touche Tohmatsu

Head of Internal Audit,

Hong Kong Exchanges and Clearing Limited

Executive Director & Chief Financial Officer, Sa Sa International Holdings Limited

Chair / Moderator

Mr Wong Kim Man

Member of HKICPA Council and Chairman of PAIB Leadership Panel

Ms Wendy Yung

Executive Director and Company Secretary, Hysan Development Co. Ltd.

^{*} Please refer here for descriptions of other competencies and ratings.

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Enrolment Form

Please type or print:-

*Click here for online enrolment (for HKICPA members only)

20 February 2014

(Reply on or before 17 February 2014)

Operation & Finance Department, Hong Kong Institute of CPAs 37th Floor, Wu Chung House, 213 Queen's Road East, Hong Kong.

Fax: 2893 9853

FOR OFFICE USE										
Seq. no.:										
Handled by:										

Please allow 3 days to process your application. In order not to delay the process, please DO NOT make any enquiries on your enrolment status. You can check it at "My CPA". Unsuccessful enrolment will be notified before the commencement of the event with full refund.

Name: (Mr./Mrs./Ms.)	5.)										lı	Institute membership no.:										
Company name:																						
Position held:	Ema										nail:											
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Notes:

- 1. Enrolments are accepted on a first-come-first-served basis with priority given to PAIBs. Telephone reservations will not be accepted.
- 2. The completed reply slip should be returned to the Institute on or before 17 February 2014, together with a cheque made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA". Fax registration will be accepted for payment by any Visa/MasterCard or the BOC HKICPA UnionPay card.
- 3. Full payment must be made before applications will be processed.
- The closing date for enrolment is <u>17 February 2014</u>.
- 5. Confirmation will be sent by e-mail, unless your application for registration is unsuccessful. If you have not received any confirmation by 18 February 2014, please contact Ms May Hung, on 2287 7009, or Ms Canace Leung, on 2287 7089.
- 6. Unless the event is cancelled due to unforeseen circumstances, no refunds will be given after applications have been processed.
- 7. Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 2:30 p.m. on the date of event. In the event of cancellation due to bad weather, the admission fee will be refunded.

Personal Data: Personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which applicants are enrolled. Data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis, for keeping members informed of its services and for other uses internally. The Institute intends to use the personal data of your name, email address and correspondence address to inform you of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. For member and registered student you may opt out from receiving such materials at any time by login the following link: https://www.hkicpa.org.hk/en/members-area/comm-preference/. For non-member you may opt out from receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer.

Payment & Enrolment Status Enquiry: 2287 7381

e-mail: finance@hkicpa.org.hk

Event Information Enquiry: 2287 7009 / 2287 7089

e-mail: may@hkicpa.org.hk