



## Interviewing the client – insights for insolvency practitioners

### About the topic

Investigation is an integral part of insolvency administration. Interviewing technique is an important skill for insolvency practitioners who need to conduct regular interviews with bankrupts and/or directors and officers of companies in liquidation to uncover any misconduct leading to the failure of companies.

At this seminar, Dr. Marlene Davies will look at interviews in terms of:

- Obtaining/seeking evidence and information
- Handling confessions
- Acquiring a degree of assurance
- Assessing explanations and interpretations
- Dealing with human behaviour

### About the programme

<b>Date &amp; Time</b>	6 September 2012, Thursday
<b>Venue</b>	HKICPA training centre 27 <sup>th</sup> Floor, Wu Chung House 213 Queen's Road East, Wanchai
<b>Fee</b>	HK\$180 per person for RIF members HK\$280 per person for Non-RIF members <i>Not a RIF member? Click <a href="#">HERE</a> to join.</i>
<b>CPD credit</b>	1 hour (subject to actual attendance)
<b>Law Society CPD credit</b>	Being applied for
<b>Language</b>	English
<b>Competency</b>	Business and Professional Knowledge*
<b>Rating</b>	Intermediate level*
<b>Application deadline</b>	<b>3 September 2012</b>

#### Rundown

<b>12:30 pm</b>	Registration <i>(Sandwiches &amp; drinks provided)</i>
<b>1:00 pm</b>	Introduction
<b>1:05 pm</b>	Speaker's presentation
<b>1:50 pm</b>	Q&A / Discussion
<b>2:00 pm</b>	Closing remarks

#### Speaker

**Dr. Marlene Davies**  
 Forensic Auditing Consultant

#### Chairman

**Mr. Kenneth Chen**  
 Convenor, RIF Member Services  
 Sub-committee  
 Partner of Specialist Advisory  
 Services, ShineWing (HK)

\* Please refer [here](#) for descriptions of the various ratings.



## About the speaker

**Dr. Marlene Davies, BSc (Econ), MSc, CPFA, CIIA, PGCTL, FHEA, PhD**  
**Forensic Auditing Consultant**

(former deputy head of the school of law, accounting and finance at the University of Glamorgan, UK)



### Teaching specialism

- Forensic accounting, corporate governance, and international auditing.

### Research Interests

- Corporate governance, internal audit and performance measurement in the public sector. Using case studies in the teaching of forensic accounting at HE institutions.

### Current Research Activity

- Working relationships between audit committees and internal audit within housing associations and local authorities.
- Interaction between work based audit experience and exam based audit training.

Grant Thornton Research Fellow 1998/99.

Prior to entering academia had 15 years experience as a chartered accountant and auditor working within the public sector.

## Registration

**By post or fax:** Complete the attached reply slip and return it to the Institute on or before 3 September 2012, together with full payment. Cheque should be made payable to “Hong Kong Institute of Certified Public Accountants”. Fax registration will be accepted for payment by any Visa/Master credit card.

***You may consider your registration to be successful unless you receive notification from us to the contrary.***



## RIF lunch seminar – Interviewing the client: insights for insolvency practitioners

Finance & Operations Department,  
 Hong Kong Institute of CPAs  
 37th Floor, Wu Chung House,  
 213 Queen’s Road East,  
 Hong Kong.

### Enrolment Form

Fax no: 2893 9853

**6 September 2012**  
 (Reply on or before 3 September 2012)

**FOR OFFICE USE**

Seq. no.: .....  
 Handled by: .....

Please allow 4 days to process your application. In order not to delay the process, please DO NOT make any enquiries on your enrolment status. You can check it at “[My CPA](#)”. Unsuccessful enrolment will be notified before the commencement of the event with full refund.

**Please type or print:-**

Name: (\*Mr./ Mrs. /Ms.) \_\_\_\_\_ Institute membership no.: \_\_\_\_\_

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_ Email: \_\_\_\_\_

Telephone no.: \_\_\_\_\_ Fax no.: \_\_\_\_\_

**You may use a separate sheet to list out the name, position and Institute membership no. (if applicable) of additional participant(s) from the same firm/company as the above applicant.**

**Please “✓” as appropriate**

**Admission Fee:**     HK\$180 per person (RIF members) X \_\_\_\_\_ person(s) = HK\$ \_\_\_\_\_  
                            HK\$280 per person (Non-RIF members) X \_\_\_\_\_ person(s) = HK\$ \_\_\_\_\_

**Payment by:**         Cheque no.\*: \_\_\_\_\_ (Bank: \_\_\_\_\_)  
                                Visa / Master credit card

*\*Cheques should be made payable to “Hong Kong Institute of Certified Public Accountants”*

For payment by credit card, please fill in the following:	Card no.:																			
Cardholder's Name : (Please print)	Card Expiry Date (month/year) :																			
Date :	Cardholder's Signature :																			
<b>FOR OFFICE USE</b>	Auth. code no.	Handled by							Date											

Please put a “✓” if you require a receipt and indicate your choice of delivery:  by email     by post **(to the address as shown below)**

Name:	Name:
Address:	Address:

- Notes:**
- Enrolments are accepted on a first-come-first-served basis. Telephone reservations will not be accepted.
  - Full payment must be made before applications will be processed.
  - The closing date for enrolment is 3 September 2012.
  - You may consider your registration to be successful unless you receive notification from us to the contrary.
  - Unless the event is cancelled due to unforeseen circumstances, no refunds will be given after applications have been processed.
  - Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 6:30 a.m. on the date of the event. In the event of cancellation due to bad weather, the admission fee will be refunded.

**Personal Data:** All information provided in this form will only be used by the Institute or its agent for the purposes of processing the registration and/or organising the event in which you have enrolled. Although you are not obliged to provide the data sought by this form, failing to do so may result in an inability to process your enrolment. Collected data will only be used for this specific event and promotion of any future similar events. By completing the form, you agree that the staff of the Institute or its agent may use your personal data for the purposes specified above. The detailed privacy policy of the Institute is available at < [www.hkicpa.org.hk](http://www.hkicpa.org.hk) >.

**Payment & Enrolment Status Enquiry:** 2287 7381  
 e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry:** 2287 7009 / 2287 7089  
 e-mail: [mav@hkicpa.org.hk](mailto:mav@hkicpa.org.hk)