



# Role of Banks in Corporate Restructurings

## About the topic

At the seminar, Mr. Jake Williams of Standard Chartered Bank, an experienced banker, who is a well-known specialist in risk management and special assets management, will discuss the role played by banks in corporate restructurings. He will also look at credit risk management in Asia from a banker's perspective.

## About the programme

<b>Date &amp; Time</b>	11 December 2013, Wednesday
<b>Venue</b>	HKICPA training centre 27 <sup>th</sup> Floor, Wu Chung House 213 Queen's Road East, Wanchai
<b>Fee</b>	HK\$180 per person for RIF members HK\$280 per person for Non-RIF members <i>Not a RIF member? Click <a href="#">HERE</a> to join.</i>
<b>CPD credit</b>	1 hour (subject to actual attendance)
<b>Law Society CPD credit</b>	Being applied for
<b>Language</b>	English
<b>Competency</b>	Insolvency *
<b>Rating</b>	Intermediate level*
<b>Application deadline</b>	<b>6 December 2013</b>

## Rundown

<b>12:30 pm</b>	Registration <i>(Sandwiches &amp; drinks provided)</i>
<b>1:00 pm</b>	Introduction
<b>1:05 pm</b>	Speaker's presentation
<b>1:50 pm</b>	Q&A / Discussion
<b>2:00 pm</b>	Closing remarks

## Chairperson

**Mr. Anthony Boswell**  
RIF member  
Partner, PricewaterhouseCoopers

\* Please refer [here](#) for descriptions of the various ratings.

## Registration

**By post or fax:** Complete the attached reply slip and return it to the Institute on or before 6 December 2013, together with full payment. Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA". Fax registration will be accepted for payment by any VISA/Master card or the BOC HKICPA UnionPay card.

You may consider your registration to be successful unless you receive notification from us to the contrary.



## About the speaker

**Mr. Jake Williams**  
**Deputy Group Chief Risk Officer**  
**Standard Chartered Bank**

Mr. Williams has over 38 years of financial services experience and has worked at Standard Chartered Bank ("SCB") for the past thirteen years. At SCB, Mr. Williams is responsible for risk governance for a number of the group's major operating subsidiaries and risk oversight for the group's principal finance and consumer finance businesses. He holds directorships of the group's subsidiaries in China, Korea, Taiwan and Malaysia. He is responsible for approvals and the oversight of SCB's largest and most complex transactions and counterparty exposures as well as significant consumer bank portfolios. In addition to being involved in all major credit approvals, he heads up risk due diligence and integration for acquisitions and also supervises SCB's problem credit portfolio and SCB's most significant risk problems. Mr. Williams was also previously Group Head of Group Special Assets Management, the corporate restructuring and workout division. He still supervises this function.

Prior to joining SCB, Mr. Williams held a variety of senior management positions at Citicorp/Citibank and Westpac Banking Corporation, in various countries. His last position with Citicorp was managing director of Citicorp Australia Limited, with responsibility for all wholesale banking operations in Australia and New Zealand.

Mr. Williams received a Masters degree in Business Administration from Harvard Graduate School of Business (United States), a Master of Science degree in Aerospace Engineering from the Massachusetts Institute of Technology (United States) and a Bachelor of Science degree in Aerospace Engineering from Princeton University (United States). He raised and educated in the United States and is now an Australian citizen.



# RIF lunch seminar: Role of Banks in Corporate Restructurings

Finance & Operations Department,  
Hong Kong Institute of CPAs  
37th Floor, Wu Chung House,  
213 Queen's Road East,  
Hong Kong.

## Enrolment Form

**11 December 2013**  
(Reply on or before 6 December 2013)

Fax no: 2893 9853

**FOR OFFICE USE**

Seq. no.: .....  
Handled by: .....

Please allow 4 days to process your application. In order not to delay the process, please DO NOT make any enquiries on your enrolment status. You can check it at "My CPA". Unsuccessful enrolment will be notified before the commencement of the event with full refund.

**Please type or print:-**

Name: (\*Mr./ Mrs. /Ms.) \_\_\_\_\_ Institute membership no.: \_\_\_\_\_  
 Company name: \_\_\_\_\_  
 Position held: \_\_\_\_\_ Email: \_\_\_\_\_  
 Telephone no.: \_\_\_\_\_ Fax no.: \_\_\_\_\_

You may use a separate sheet to list out the name, position and Institute membership no. (if applicable) of additional participant(s) from the same firm/company as the above applicant.

Please "✓" as appropriate

**Admission Fee:**  HK\$180 per person (RIF members) X \_\_\_\_\_ person(s) = HK\$ \_\_\_\_\_  
 HK\$280 per person (Non-RIF members) X \_\_\_\_\_ person(s) = HK\$ \_\_\_\_\_

**Payment by:**  Cheque (no. \_\_\_\_\_) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"  
 VISA / Master card  BOC HKICPA UnionPay card

Card Number:																		Card Expiry Date (MM/YY):									
Cardholder's Name (block letters):														Cardholder's Signature:										Date:			

Please put a "✓" if you require a receipt and indicate your choice of delivery:  by e-mail  by post (to the address as shown below)

Name:	Name:
Address:	Address:

**Notes:**

- Enrolments are accepted on a first-come-first-served basis. Telephone reservations will not be accepted.
- Full payment must be made before applications will be processed.
- The closing date for enrolment is 6 December 2013.
- You may consider your registration to be successful unless you receive notification from us to the contrary.
- Unless the event is cancelled due to unforeseen circumstances, no refunds will be given after applications have been processed.
- Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 6:30 a.m. on the date of the event. In the event of cancellation due to bad weather, the admission fee will be refunded.

**Personal data** collected in this form will be used for the purposes of processing and maintaining your RIF membership and processing the registration and/or organising the event in which you have enrolled. Data collected may be accessible by the Institute's officers, persons or committees processing the RIF membership application and related matters. In addition, the Institute may use the collected data for statistical research and analysis, for keeping members informed of its services and for other uses internally. The Institute may use the personal data of your name, email address and correspondence address to inform you of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. For members and students, you may opt out from receiving such materials at any time by logging in to the following link <<https://www.hkicpa.org.hk/en/members-area/comm-preference/>>. For non-members of the Institute, you may opt out from receiving such materials at any time by sending an email to the Institute, at <[privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk)>, or a letter to the Institute's privacy officer. **Please note that if you do opt-out, you will no longer receive information about RIF news and events.**

**Payment & Enrolment Status Enquiry:** 2287 7381  
e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry:** 2287 7009 / 2287 7089  
e-mail: [may@hkicpa.org.hk](mailto:may@hkicpa.org.hk)