



Disciplinary proceedings in progress as at 30 December 2016

| | Name of member / member practice | Date of referral to Disciplinary Panels | Disciplinary Committee members |
|--------|--|--|--|
| Case 1 | KUNG Yiu Fai, Ronald | 23 July 2013 | WONG, Kwai Huen, Albert (Chairman) WAN, Yuen Yung (Panel A) YUEN, Shuk Kam, Nicole (Panel A) TANG, Kwai Chang (Panel B) YU, Hon To, David (Panel B) |
| Case 2 | LAW, Fei Shing | 15 April 2014 | CHEUNG, Kwok Kwan (Chairman) KAN, Siu Lun (Panel A) LAM, Po Ling, Pearl (Panel A) WARDELL, James (Panel B) WONG, Chun Bong, Alex (Panel B) |
| Case 3 | ANNELLS, Deborah | 15 April 2014 | ISMAIL, Roxanne (Chairman) TSANG, Man Hing, Johnson (Panel A) YOUNG, Ngai Man, Simon (Panel A) BEST, Roger Thomas (Panel B) DONOWHO, Simon Christopher (Panel B) |
| Case 4 | (1) WONG, Tak Man, Stephen (2) RSM Nelson Wheeler (Case 1) | 17 June 2014 | CARVER, Anne Rosamunde (Chairman) WONG, Yuen Chi (Panel A) PAN, San Kong, Terry (Panel A) LAW, Pui Cheung (Panel B) TSANG, Tin For (Panel B) |
| Case 5 | (1) WONG, Tak Man, Stephen (2) RSM Nelson Wheeler (Case 2) | 17 June 2014 | LEE, Ka Sze, Carmelo (Chairman) WOO, Lee Wah, Cecilia (Panel A) DONOWHO, Simon Christopher (Panel B) MAR, Selwyn (Panel B) |
| Case 6 | LAM, Kin Choi | 26 May 2015 | CHEUNG, Kwok Kwan (Chairman) LAM, Sze Cay, Kevin (Panel A) LIU, Che Ning (Panel A) FUNG, Ying Wai, Wilson (Panel B) HO, Yuk Wai, Joan (Panel B) |
| Case 7 | (1) CHENG, Chung Ching, Raymond (2) LAI, Tak Shing, Jonathan (3) HLB Hodgson Impey Cheng | 16 September 2015 | SHUM, Sze Man, Erik Ignatius (Chairman) FUNG, Wei Lung, Brian (Panel A) YU, Ho Wun, Grace (Panel A) CHAN, Wai Tong, Christopher (Panel B) KAM, Pok Man (Panel B) |



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| Case 8 | (1) CHAN, Wai Hong, Michael (2) PricewaterhouseCoopers | 8 October 2015 | SHUM, Sze Man, Erik Ignatius (Chairman) YU, Ho Wun, Grace (Panel A) YUEN, Shuk Kam, Nicole (Panel A) CHUA, Suk Lin, Ivy (Panel B) WARDELL, James (Panel B) |
| Case 9 | WONG, Kong Yiu, Nigel (Case 1) | 20 October 2015 | CHOW, Cheuk Yu, Alfred (Chairman) NGAI, Tak Sing, Alfred (Panel A) WONG, Tze Ling (Panel A) YEUNG, Kit Kam, Lesley (Panel B) TANG, Chak Yei (Panel B) |
| Case 10 | CHEUNG, Yiu Hung | 20 October 2015 | CHOW, Cheuk Yu, Alfred (Chairman) WOO, Lee Wah, Cecilia (Panel A) WONG, Sai Hung, Oscar (Panel A) CHAN, Stephen (Panel B) WONG, Chun Bong, Alex (Panel B) |
| Case 11 | WONG, Kong Yiu, Nigel (Case 2) | 24 November 2015 | CHOW, Cheuk Yu, Alfred (Chairman) NGAI, Tak Sing, Alfred (Panel A) WONG, Tze Ling (Panel A) YEUNG, Kit Kam, Lesley (Panel B) TANG, Chak Yei (Panel B) |
| Case 12 | TSE, Lap Fu, Lawrence | 19 April 2016 | KWONG, Chi Ho, Cecil (Chairman) CHAN, Kam Hon (Panel A) LEE, Tsung Wah, Jonathan (Panel A) CHEUNG, Yat Ming, Brian (Panel B) LEE, Kwo Hang, Felix (Panel B) |
| Case 13 | (1) LAM, Kwan, Anthony (2) Charles H.C. Cheung & CPA Limited | 19 April 2016 | NG, Wai Yan (Chairman) HUI, Ming Ming, Cindi (Panel A) CHAU, Hoi Yan (Panel A) CHUNG, Kit Yi, Kitty (Panel B) LEES, John Robert (Panel B) |
| Case 14 | TAM, Tak Kuen, Alfred | 25 May 2016 | WILSON, Claire (Chairman) CHEUNG, Sau Fun, Susie (Panel A) DOE, Julianne Pearl (Panel A) CHOI, Wai Wing (Panel B) LAI, Yat Hin, Adrian (Panel B) |



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| Case 15 | (1) KWOK, Lai Ha, Helena (2) ROSS, Andrew David (3) Baker Tilly Hong Kong Limited | 19 July 2016 | KAUNG, Wai Ming, Alexander (Chairman) FENN, David (Panel A) LIU, Ling Hong, Stephen (Panel A) CHAN, Kee Sun, Tom (Panel B) COPLEY, Simon Charles (Panel B) |
| Case 16 | IP, Wing Lun, Allan | 20 Sept 2016 | (To be constituted) |
| Case 17 | (1) TSANG, Chiu Keung (2) CHAN, Kin Wai (3) Pan-China (H.K.) CPA Ltd. | 20 Sept 2016 | LEE, Wai Yan, Susanna (Chairman) CHENG, Wei Yan, Vena (Panel A) TSUI, Pui Man, Winnie (Panel A) CHAN, Wai Man, Raymond (Panel B) GUEN, Kin Shing (Panel B) |
| Case 18 | (1) SETO, Man Fai (2) Parker Randall CF (H.K.) CPA Ltd. | 20 Sept 2016 | ISMAIL, Roxanne (Chairman) CHENG, Wei Yan, Vena (Panel A) FENN, David (Panel A) POGSON, Timothy Keith (Panel B) LEES, John Robert (Panel B) |
| Case 19 | CHAN, Wone Yee | 20 Sept 2016 | LAU, Queenie Fiona (Chairman) LAW, Wing Yee, Wendy (Panel A) FENN, David (Panel A) NG, Chi Keung, Victor (Panel B) CHUNG, Kit Yi, Kitty (Panel B) |
| Case 20 | (1) KWOK, Chee Tack (2) WONG, Kam Man, Kevin (3) Ernst & Young | 18 October 2016 | (To be constituted) |
| Case 21 | (1) ROSS, Andrew David (2) FOK, Wai Ming, Henri (3) Baker Tilly Hong Kong Limited | 29 November 2016 | (To be constituted) |
| Case 22 | (1) LAI, Ka Cheung, Andrew (2) Andrew K.C. & Company | 29 November 2016 | (To be constituted) |
| Case 23 | (1) TAM, Tak Kuen, Alfred (2) Alfred T.K. Tam & Co. | 29 November 2016 | (To be constituted) |
| Case 24 | CHEUNG, Chun Bong | 29 November 2016 | (To be constituted) |



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| Case 25 | FEARN, Charles Pearson | 13 December 2016 | (To be constituted) |